

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 12-01-2018, and ending 11-30-2019

Name of foundation The Hauser Foundation Inc c/o Mrs Rita Hauser % RITA HAUSER		A Employer identification number 11-0016142	
Number and street (or P O box number if mail is not delivered to street address) 700 Park Ave Ste 8 FL 2		B Telephone number (see instructions) (212) 956-3645	
City or town, state or province, country, and ZIP or foreign postal code New York, NY 100210104		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>37,380,020</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14	14		
	4 Dividends and interest from securities	592,655	572,655		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	474,971			
	b Gross sales price for all assets on line 6a	13,269,299			
	7 Capital gain net income (from Part IV, line 2)		474,971		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,067,640	1,047,640			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages	84,000	42,000		42,000
	15 Pension plans, employee benefits	17,685	8,843		8,842
	16a Legal fees (attach schedule)	464	232	0	232
	b Accounting fees (attach schedule)	35,000	17,500	0	17,500
	c Other professional fees (attach schedule)	20,329	19,405		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	40,006	3,213		3,213
	19 Depreciation (attach schedule) and depletion	6,321			
	20 Occupancy				
	21 Travel, conferences, and meetings	8,137			8,137
	22 Printing and publications	5,999	2,999		2,999
	23 Other expenses (attach schedule)	40,278	6,628		33,650
	24 Total operating and administrative expenses. Add lines 13 through 23	258,219	100,820	0	116,573
	25 Contributions, gifts, grants paid	1,076,000			1,076,000
26 Total expenses and disbursements. Add lines 24 and 25	1,334,219	100,820	0	1,192,573	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-266,579				
b Net investment income (if negative, enter -0-)		946,820			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	99,827	67,273	67,273
	2 Savings and temporary cash investments	1,116,115	1,038,468	1,038,511
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	9,372,300	7,671,764	7,798,464
	b Investments—corporate stock (attach schedule)	11,897,473	12,507,138	16,933,647
	c Investments—corporate bonds (attach schedule)	10,312,644	11,253,459	11,506,196
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ 293,395 Less accumulated depreciation (attach schedule) ▶ 293,395	6,322		
15 Other assets (describe ▶ _____)	35,929	35,929	35,929	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	32,840,610	32,574,031	37,380,020	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	10,902,008	10,902,008	
29 Retained earnings, accumulated income, endowment, or other funds	21,938,602	21,672,023		
30 Total net assets or fund balances (see instructions)	32,840,610	32,574,031		
31 Total liabilities and net assets/fund balances (see instructions) .	32,840,610	32,574,031		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,840,610
2 Enter amount from Part I, line 27a	2	-266,579
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	32,574,031
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	32,574,031

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	474,971
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,073,616	35,523,818	0.030222
2016	377,267	33,227,350	0.011354
2015	308,269	31,428,527	0.009809
2014	1,512,979	31,738,009	0.047671
2013	2,873,005	33,873,778	0.084815

2 Total of line 1, column (d)	2	0.183871
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.036774
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	35,701,038
5 Multiply line 4 by line 3	5	1,312,870
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,468
7 Add lines 5 and 6	7	1,322,338
8 Enter qualifying distributions from Part XII, line 4	8	1,192,573

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 6,064.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of RITA HAUSER Telephone no (212) 956-3645
Located at 700 PARK AVE STE 8 FL 2 NEW YORK NY ZIP+4 100210104
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.			5b		
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b		No
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RITA HAUSER 700 Park Ave Ste 8 FL 2 New York, NY 100210104	PRESIDENT 5 0	0	4,369	0
GUSTAVE HAUSER 700 Park Ave Ste 8 FL 2 New York, NY 100210104	VP, SEC, TRE 1 0	0	4,369	0
RONALD J STEIN STROOCK STROOCK LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1 0	0	0	0
ETTA BRANDMAN STROOCK STROOCK LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA A WEINSTEIN KEW GARDENS NEW YORK, NY 11415	BOOKKEEPER 40 0	84,000	8,947	0

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 _____	
2 _____	
All other program-related investments. See instructions	
3 _____	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	34,537,314
b	Average of monthly cash balances.	1b	1,671,465
c	Fair market value of all other assets (see instructions).	1c	35,930
d	Total (add lines 1a, b, and c).	1d	36,244,709
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	36,244,709
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	543,671
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	35,701,038
6	Minimum investment return. Enter 5% of line 5.	6	1,785,052

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,785,052
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	18,936
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	18,936
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,766,116
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,766,116
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,766,116

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,192,573
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,192,573
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,192,573

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,766,116
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 2016, 2015, 2014		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.	1,205,382			
b From 2014.	0			
c From 2015.	0			
d From 2016.	0			
e From 2017.	0			
f Total of lines 3a through e.	1,205,382			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,192,573</u>				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				1,192,573
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	573,543			573,543
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	631,839			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	631,839			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.	0			
b Excess from 2015.	0			
c Excess from 2016.	0			
d Excess from 2017.	0			
e Excess from 2018.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
RITA HAUSER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT ATTACHED SEE STATEMENT ATTACHED New York, NY 10065	none		Charitable Contribution	1,076,000
Total				3a
b <i>Approved for future payment</i>				
Total				3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 540,000 FV NEW YORK N Y 6 810% DUE 10/15/2019	P	2014-05-01	2019-10-15
1 1,000,000 FV TRIBOROUGH BRDG & TUNL AUTH N Y 2 885% DUE 11/15/2019	P	2018-05-30	2019-11-15
1,000,000 SHS ORACLE CORP 2 375% DUE 01/15/2019	P	2015-08-04	2019-01-15
1,000,000 SHS HOME DEPOT INC 2 000% CALLABLE 05/15/2019	P	2017-11-02	2019-06-17
15,0000 FV UNITED STATES TREASURY BILL 0 00% DUE 10/29/2019	P	2019-09-30	2019-10-11
1,150,000 FV US TREASURY NOTE 1 750%	P	2019-07-24	2019-09-30
1,000,000 FV US TREASURY NOTE 1 125%	P	2018-11-29	2019-05-31
1,000,000 FV US TREASURY NOTE 1 625%	P	2018-11-29	2019-07-01
425,000 FV US TREASURY NOTE 1 250%	P	2019-06-20	2019-07-25
1,075,000 FV US TREASURY NOTE 1 250%	P	2019-06-20	2019-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
540,000		540,000	
1,000,000		1,000,000	
1,000,000		1,000,000	
1,000,000		1,000,000	
14,990		14,979	11
1,150,000		1,149,057	943
1,000,000		993,086	6,914
1,000,000		994,491	5,509
424,037		423,688	349
1,075,000		1,071,683	3,317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			943
			6,914
			5,509
			349
			3,317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1,000,000 FV US TREASURY NOTE 1 750%	P	2019-06-20	2019-11-14
1 500,000 FV US TREASURY NOTE 1 875%	P	2019-06-20	2019-11-18
500,000 FV US TREASURY NOTE 1 750%	P	2019-06-20	2019-11-18
1,350,000 FV UNITED STATES TREASURY NOTE	P	2018-11-29	2019-02-15
1,260,000 FV UNITED STATES TREASURY BILL	P	2019-06-20	2019-10-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
999,961		998,555	1,406
500,176		499,512	664
500,039		499,277	762
1,350,000		1,350,000	
1,260,000		1,260,000	
			455,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,406
			664
			762

TY 2018 Accounting Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCT FEES TO ERNST & YOUNG LLP	35,000	17,500		17,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	1994-10-31	1,376	1,376	M5					
CELLULAR TELEPHONE	1996-11-01	1,048	1,048	M7					
COMPUTER	1997-08-15	2,656	2,656	M5					
PRINTER	1997-08-15	800	800	M5					
HEATER	2000-08-31	974	974	M7					
COMPUTER	2002-11-18	2,082	2,082	M5					
COMPUTER	2002-12-16	500	500	M5					
COMPUTER	2008-09-15	5,844	5,844	M5					
FURN & FIXTURES	2011-01-18	136,810	136,810	M7					
FURN & FIXTURES	2012-02-14	139,787	133,553	M7		6,234			
COMPUTER	2014-04-15	1,518	1,431	M5		87			

TY 2018 Investments Corporate Bonds Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTEL CORP 2.350%	999,390	1,012,780
COSTCO WHOLESALE CORP 2.300%	996,670	1,012,957
GENERAL DYNAMICS 3.875%	610,900	625,291
DUPONT EI NEMOUR 4.625%	509,891	509,509
ORACLE CORP 2.375%	0	0
IBM CORP 2.250%	1,000,416	1,010,653
MASTERCARD INC 2.00%	988,680	1,003,880
HOME DEPOT INC 2.000%	0	0
BANK OF NY MELLON CORP 2.200%	716,535	758,689
WELLS FARGO 3.750%	418,653	427,882
VISA INC 2.200%	987,750	1,013,944
PEPSICO INC REGS 3.100%	997,500	1,042,773
NORTHERN TRST CO 3.375%	1,003,053	1,034,384
UNITEDHEALTH GROUP INC 2.375%	842,957	857,884
AMAZON INC 2.800%	977,716	989,779
COMCAST CORP 2.750%	203,348	205,791

TY 2018 Investments Corporate Stock Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MSIF GLOBAL FRANCHISE 18 SHS	369	526
MSIF GLOBAL FRANCHISE 162K SHS	2,786,295	4,708,270
MSIF GLOBAL FRANCHISE 22K SHS	398,759	625,665
MSIF GLOBAL FRANCHISE 2K SHS	42,217	62,294
MSIF GLOBAL FRANCHISE 2K SHS	38,562	56,130
MSIF GLOBAL FRANCHISE 9K SHS	170,733	248,511
MSIF GLOBAL FRANCHISE 10K SHS	189,665	276,066
MSIF GLOBAL FRANCHISE 3K SHS	63,648	84,225
MSIF GLOBAL FRANCHISE 8K SHS	154,952	226,793
MSIF GLOBAL FRANCHISE 1K SHS	21,540	29,739
MSIF GLOBAL FRANCHISE 3K SHS	53,979	74,527
MSIF GLOBAL FRANCHISE 20K SHS	402,501	589,116
MSIF GLOBAL FRANCHISE 1K SHS	21,842	29,237
MSIF GLOBAL FRANCHISE 4K SHS	73,001	102,548
MSIF GLOBAL FRANCHISE 9K SHS	190,027	266,939
MSIF GLOBAL FRANCHISE 7K SHS	138,994	197,939
MSIF GLOBAL FRANCHISE 71 SHS	1,538	2,058
MSIF GLOBAL FRANCHISE 21K SHS	307,433	578,386
MSIF GLOBAL FRANCHISE 3K SHS	68,914	98,139
MSIF GLOBAL FRANCHISE 2K SHS	26,118	47,856
MSIF GLOBAL FRANCHISE 342 SHS	8,105	9,899
MSIF GLOBAL FRANCHISE 9K SHS	221,527	258,760
MSIF GLOBAL FRANCHISE 3K SHS	62,697	73,235
MSIF GLOBAL FRANCHISE 579 SHS	14,361	16,775
MSIF GLOBAL FRANCHISE 215 SHS	5,517	6,233
MSIF GLOBAL FRANCHISE 2K SHS	49,234	55,626
MSIF GLOBAL FRANCHISE 1K SHS	29,111	36,018
MSIF GLOBAL FRANCHISE 753 SHS	18,848	20,647
MSIF GLOBAL FRANCHISE 1K SHS	37,177	40,726
MSIF GLOBAL FRANCHISE 80K SHS	1,299,809	2,181,990

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELAWARE VALUE FUND 232K SHS	5,000,000	5,199,252
DELAWARE VALUE FUND 1K SHS	22,824	23,270
MSIF GLOBAL FRANCHISE 3K SHS	68,679	85,129
MSIF GLOBAL FRANCHISE 11 SHS	252,594	313,096
MSIF GLOBAL FRANCHISE 1K SHS	189,759	224,115
MSIF GLOBAL FRANCHISE 1K SHS	20,621	24,354
MSIF GLOBAL FRANCHISE 670 SHS	12,743	15,050
MSIF GLOBAL FRANCHISE 1K SHS	21,179	22,323
MSIF GLOBAL FRANCHISE 1K SHS	21,266	22,185

TY 2018 Investments Government Obligations Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

**US Government Securities - End
of Year Book Value:**

4,014,439

**US Government Securities - End
of Year Fair Market Value:**

4,028,205

**State & Local Government
Securities - End of Year Book
Value:**

3,657,325

**State & Local Government
Securities - End of Year Fair
Market Value:**

3,770,259

**TY 2018 Land, Etc.
Schedule**

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	1,376	1,376		
CELLULAR TELEPHONE	1,048	1,048		
COMPUTER	2,656	2,656		
PRINTER	800	800		
HEATER	974	974		
COMPUTER	2,082	2,082		
COMPUTER	500	500		
COMPUTER	5,844	5,844		
FURN & FIXTURES	136,810	136,810		
FURN & FIXTURES	139,787	139,787		
COMPUTER	1,518	1,518		

TY 2018 Legal Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	464	232		232

TY 2018 Other Assets Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	35,929	35,929	35,929

TY 2018 Other Expenses Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES	401			401
POSTAGE	215			215
MEMBERSHIP DUES	5,591			5,591
SUI	69	35		34
TELEPHONE CHARGES	4,370	2,185		2,185
BUSINESS MEALS	2,661	1,330		1,331
OTHER MISC EXPENSES	5,632	2,816		2,816
OTHER INSURANCE	525	262		263
REPAIR & MAINTENANCE	20,814			20,814

TY 2018 Other Professional Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES TO MSDW	19,405	19,405		
MUNI BOND- INVESTMENT MGMT FEE	924			

TY 2018 Taxes Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FICA	6,426	3,213		3,213
FEDERAL TAXES PAID	33,580			

Hauser Foundation 11/30/2019 Grant List

Donee Name and Address	Amount	Purpose	Type
AMERICAN ACADEMY OF ARTS & SCIENCES Norton's Woods 136 Irving Street Cambridge, Massachusetts 02138-1996	5,000	Educational	PC
CARNEGIE COUNCIL 170 East 64th Street New York, New York 10065-7478	1,000	Foreign Affairs	PC
CENTRAL PARK CONSERVANCY 14 East 60th Street New York, New York 10022	1,000	Community	PC
CHAMBER MUSIC SOCIETY OF LINCOLN CENTER 70 Lincoln Center Plaza, 10th Floor New York, New York 10023-6582	250,000	Arts/Cultural	PC
COUNCIL ON FOREIGN RELATIONS 58 East 68th Street New York, New York 10065	25,000	Foreign Affairs	PC
CURTIS INSTITUTE OF MUSIC 1726 Locust Street Philadelphia, Pennsylvania 19103	175,000	Arts/Cultural	PC
FOOD FOR NEEDY, INC Attention Ernie Bogen 5055 Collins Avenue Miami Beach, Florida 33140	2,500	Community	PC
THE FOUNDATION FOR A CIVIL SOCIETY The Iran Project 25 East End Avenue, 1B New York, New York 10028	1,500	Foreign Affairs	PC
HANDEL + HAYDN SOCIETY 9 Harcourt Street Boston, Massachusetts 02116	10,000	Arts/Cultural	PC
HEREDITARY DISEASE FOUNDATION 3960 Broadway 6th Floor New York, New York 10032	5,000	Educational	PC
THE HUNTER COLLEGE FOUNDATION, INC 695 park Avenue, East 1313 New York, New York 10065	100,000	Educational	PC

Hauser Foundation 11/30/2019 Grant List

Donee Name and Address	Amount	Purpose	Type
LINCOLN CENTER FOR THE PERFORMING ARTS 70 Lincoln Center Plaza New York, New York 10023	250,000	Arts/Cultural	PC
THE PALEY CENTER FOR MEDIA 25 West 52nd Street New York, New York 10001	250,000	Arts/Cultural	PC
Total Grants	1,076,000		

Hauser Foundation 11/30/2019 Grant List

Donee Name and Address	Amount	Purpose	Type
AMERICAN ACADEMY OF ARTS & SCIENCES Norton's Woods 136 Irving Street Cambridge, Massachusetts 02138-1996	5,000	Educational	PC
CARNEGIE COUNCIL 170 East 64th Street New York, New York 10065-7478	1,000	Foreign Affairs	PC
CENTRAL PARK CONSERVANCY 14 East 60th Street New York, New York 10022	1,000	Community	PC
CHAMBER MUSIC SOCIETY OF LINCOLN CENTER 70 Lincoln Center Plaza, 10th Floor New York, New York 10023-6582	250,000	Arts/Cultural	PC
COUNCIL ON FOREIGN RELATIONS 58 East 68th Street New York, New York 10065	25,000	Foreign Affairs	PC
CURTIS INSTITUTE OF MUSIC 1726 Locust Street Philadelphia, Pennsylvania 19103	175,000	Arts/Cultural	PC
FOOD FOR NEEDY, INC Attention Ernie Bogen 5055 Collins Avenue Miami Beach, Florida 33140	2,500	Community	PC
THE FOUNDATION FOR A CIVIL SOCIETY The Iran Project 25 East End Avenue, 1B New York, New York 10028	1,500	Foreign Affairs	PC
HANDEL + HAYDN SOCIETY 9 Harcourt Street Boston, Massachusetts 02116	10,000	Arts/Cultural	PC
HEREDITARY DISEASE FOUNDATION 3960 Broadway 6th Floor New York, New York 10032	5,000	Educational	PC
THE HUNTER COLLEGE FOUNDATION, INC 695 park Avenue, East 1313 New York, New York 10065	100,000	Educational	PC

Hauser Foundation 11/30/2019 Grant List

Donee Name and Address	Amount	Purpose	Type
LINCOLN CENTER FOR THE PERFORMING ARTS 70 Lincoln Center Plaza New York, New York 10023	250,000	Arts/Cultural	PC
THE PALEY CENTER FOR MEDIA 25 West 52nd Street New York, New York 10001	250,000	Arts/Cultural	PC
Total Grants	1,076,000		