Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.

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		nent of the Treasury Revenue Service			cial security numbers o gov/Form990PF for instr				Open to Public Inspection
F	or ca	lendar year 2017	or tax year begi	nning	12	2/01 <b>, 2017</b> ,	and ending	g	11/30,2018
1	lame	of foundation TH	E HAUSER FOU	NDATI	ON, INC.			A Employer ide	ntification number
	C/0	MRS. RITA F	AUSER					11-0016	142
1	Numbe	er and street (or P O	box number if mail is no	t delivered	I to street address)		Room/suite	B Telephone nu	imber (see instructions)
	700	PARK AVE ST	TE 8 FL 2					(212)	956-3645
7	City or	town, state or provin	ce, country, and ZIP or	foreign pos	stal code				
	NEW	YORK, NY 10	0021-0104					C If exemption ap pending, check	plication is here
_		eck all that apply	Initial retu	rn	Initial return	of a former p	ublic chariti	<del>_</del>	<b>.</b> $\Box$
G	Cile	ck all that apply	Final retur		Amended re	•	dollo chant	, I or eight organ	nizations, check here P L
			Address c		Name chang			85% test, che	eck here and attach
н	Che	ock type of organi			(c)(3) exempt private f		-	computation	▶□
ï	$\overline{}$		nonexempt charitable		Other taxable pr		ion AV	<i>/</i>	ation status was terminated
Ť		market value o			unting method X C				07(b)(1)(A), check here .
•		of year (from Pa			ther (specify)				on is in a 60-month termination D7(b)(1)(B), check here
			539,017.		column (d) must be on ca	ash basis 1		-	. (e) (. ) (e) (. ) (e) (. )
į.		<u> </u>	venue and Expens	<u> </u>	<u> </u>	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		<b>L</b>	(d) Disbursements
۲	ar V	total of amounts	in columns (b), (c), a rily equal the amoun	nd (d)	(a) Revenue and expenses per books	(b) Net inve		(c) Adjusted net income	for charitable purposes (cash basis only)
	1		its, etc., received (attach sc	hedule)					1
	2	Check X If th	e foundation is not req	uired to		<u> </u>			
	3	апа	ch Sch B		11.		11.		ATCH 1
	4	<del>-</del>	erest from securities	surrants.	168,910.	14	8,910.		ATCH 2
		Net rental income or							
a			om sale of assets not on line 10		1,571,507.	0 1	44 1/1907/	RP	"FIVED
Revenue	b			,053.	, 11 AP				
Š	7		come (from Part IV,	line 2)		1,57	1,507.	122	8
ĕ	8		pital gain					→ APR	J 5 2019 P
	9		ons						8
	10 a	Gross sales less return and allowances	ns					OGL	DENI 117
	ь	Less Cost of goods s						L	
		-	s) (attach schedule)						
	11		ach schedule)						
	12		through 11		1,740,428.	1,72	0,428.		
	13	Compensation of offi	cers, directors, trustees	, etc	0.				
ses	14		alaries and wages .		84,000.		2,000.		42,000.
şue	15	Pension plans, em	ployee benefits		17,867.		8,934.		8,934.
ğ	16 a	Legal fees (attach	schedule)						
Ų	14 15 16a b c 17 18 19 20 21	Accounting fees (a	attach schedule)ATC	Э.	36,050.	1	8,025.		18,025.
ž	С	Other professional	l fees (attach schedu	le).[.4]	21,798.	2	0,370.		
trai	17								
isi	18	Taxes (attach sche	edule) (see instruction	ns)[5].	8,426.		3,213.		3,213.
ij	19	Depreciation (atta	ch schedule) and de	pletion.	18,760.				1
Þ	20	Occupancy			12.22				10000
þ	21	Travel, conference	es, and meetings		10,209.		2 025		10,209.
an	22	Printing and public	cations		6,072.		3,036.		3,036.
пg	23	Other expenses (a	ttach schedule) ATC	H.6	20,784.	ļ	9,939.	·	10,848.
ati	23 24 25	Total operating a	nd administrative ex	penses.	222 222				20.00-
<b>Jec</b>		Add lines 13 throu	ıgh 23		223,966.	10	5,517.		96,265.
ŏ	25	Contributions, gift	s, grants paid		993,500.	ļ <u>.</u>			993,500.
_	26	Total expenses and dist	oursements Add lines 2	4 and 25	1,217,466.	10	5,517.		0. 1,089,765.
	27	Subtract line 26 fr	om line 12			' -		•	, , , , , , , , , , , , , , , , , , ,
			expenses and disbursem		522,962.				
	b	Net investment in	come (if negative, er	nter -0-)		1,61	4,911.		!
	С	Adjusted net inco	me (if negative, ente	r0-)					

JSA For Paperwork Reduction Act Notice, see instructions.
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Fo	rm 990	PF (2017) THE HAUSER FOUNDATION	N, INC.	1	1-0016142 Page <b>2</b>
		Attached schedules and amounts in the	Beginning of year	End	of year
ľ	art I	Balance Sheets description column should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	77,820.	99,827	
	2	Savings and temporary cash investments	2,247,509.	1,116,115	1,116,115.
	3	Accounts receivable			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			1 1
	~	Less allowance for doubtful accounts ▶		·····	
	5	Grants receivable.			
	6	Receivables due from officers, directors, trustees, and other			<del></del>
	"	disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
	'	Less allowance for doubtful accounts ▶			
Ŋ		Inventories for sale or use			
ssets	8	Prepaid expenses and deferred charges		<del></del>	
As	ւ9 10a	Investments - U S and state government obligations (attach schedule)[7,]	9,384,900.	9,372,300	9,324,062.
	b	Investments - corporate stock (attach schedule) ATCH 8	12,157,068.	11,897,471	
		Investments - corporate stock (attach schedule) ATCH 9	8,389,340.	10,312,644	
	11	Investments - land, buildings,			
		and equipment basis Less accumulated depreciation		<del></del>	
		(attach schedule)			
	12 13	Investments - mortgage loans			
	14	Land, buildings, and			ATCH 10
		Less accumulated depreciation > 287.073.	25,082.	6,322	
	15	(attach schedule) Other assets (describe ► ATCH 11 )	35,929.	35,929	
	16	Total assets (to be completed by all filers - see the	, , , ,	,	
	'*	instructions Also, see page 1, item I)	32,317,648.	32,840,608	. 35,539,017.
_	17	Accounts payable and accrued expenses			
	18	Grants payable			
Ś	19	Deferred revenue		<del></del>	<b>∃</b>
ij	20	Loans from officers, directors, trustees, and other disqualified persons.		·	<b></b>
Ē	21	Mortgages and other notes payable (attach schedule)			
Liabilities	22	Other liabilities (describe >)			-
				•	<b>-</b>
	23	Total liabilities (add lines 17 through 22)	0.	0	•
_		Foundations that follow SFAS 117, check here			,
es		and complete lines 24 through 26, and lines 30 and 31.			
ances	24	Unrestricted			.
ala		Temporarily restricted			1
8	26	Permanently restricted			1 .
2		Foundations that do not follow SFAS 117, check here ▶ X			1
Ę		and complete lines 27 through 31.			
ō	27	Capital stock, trust principal, or current funds			
ste	28	Paid-in or capital surplus, or land, bldg , and equipment fund	10,902,008.	10,902,008	.]
SS	29	Retained earnings, accumulated income, endowment, or other funds	21,415,640.	21,938,602	.] !
t A	30	Total net assets or fund balances (see instructions)	32,317,648.	32,840,610	.]
Net Assets or Fund Bal	31	Total liabilities and net assets/fund balances (see			7
_		instructions)	32,317,648.	32,840,610	.
P	art I	Analysis of Changes in Net Assets or Fund Bala			
		al net assets or fund balances at beginning of year - Part		ust agree with	
		-of-year figure reported on prior year's return)		_	32,317,648.
2		er amount from Part I, line 27a			522,962.
		lines 1, 2, and 3			32,840,610.
		creases not included in line 2 (itemize) ▶		5	
6	Tota	al net assets or fund balances at end of year (line 4 minus	line 5) - Part II, column (b)	, line 30 6	32,840,610.

Form 990-PF (2017)

Par	Part IV Capital Gains and Losses for Tax on Investment Income									
	(a) List and de 2-story b	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )						
1 a	SEE PART IV SCHE	D - Domation		-						
ь				_						
c										
<u>d</u>					-					
<u>e</u>		(f) Depreciation allowed	(g) Cost or other basis							
	(e) Gross sales price		(h) Gain or (lo ((e) plus (f) min							
<u>a</u>				_		<del></del>				
<u> </u>					<del></del>					
c										
<u>u</u>					-					
	Complete only for assets s	showing gain in column (h) and owned	by the foundation on 12/31/69	(1)	Gains (Col (h) ga	ain minus				
(ı	) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		(k), but not less t Losses (from co	han -0-) <b>or</b>				
		40 07 1270 7700	oral act gy, waity		•	,				
<u>а</u> ь										
d										
е										
2	Capital gain net income	or (net canital loss)	ain, also enter in Part I, line 7 oss), enter -0- in Part I, line 7	2	1,	571,507.				
3	Net short-term capital g	gain or (loss) as defined in sections								
	If gain, also enter in F	Part I, line 8, column (c) See ins	structions If (loss), enter -0- in							
				3		0.				
Par		* * *	duced Tax on Net investment in e section 4940(a) tax on net investi							
Was			outable amount of any year in the ba	ase period	17	Yes X No				
1	Enter the appropriate a	mount in each column for each yea	ar, see the instructions before maki	ng any en	tnes					
Cale	(a) Base penod years ndar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchantable-use assets		(d) Distribution ra (col (b) divided by					
	2016	377,267.	33,227,350.			0.011354				
	2015	308,269.	31,428,527.			0.009809				
	2014	1,512,979.	31,738,009.			0.047671				
	2013	2,873,005.	33,873,778.			0.084815				
	2012	3,671,192.	34,528,494.			0.106324				
•	Transfer 4 ashes	<i>/</i> -/		2		0.259973				
2		(d)				0.233373				
3	_	foundation has been in existence		3		0.051995				
4	Enter the net value of n	4	35,	523,818.						
5	Multiply line 4 by line 3	5	1,	847,061.						
6		nent income (1% of Part I, line 27b)		6		16,149.				
7				7	1,	863,210.				
		tions from Part XII, line 4		8		089,765.				
8			in Part VI, line 1b, and complete							

Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter-0	Par	tVI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instru	ıction	s)
Dates to Condition that meet the section 4940(e) requirements in Part V, chock bero ≥ 16, 145 bornests (conditions that meet the section 4940(e) requirements in Part V, chock bero ≥ 16, 145 bero ≥ 1 and select 1% of Part I, time 27b. c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, time 12, coil (b) 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 3 Add lines 1 and 2. 4 Subtlie A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 5 Tax based on investment income. Subtract the 4 from the 3 if zone of less, enter -0-) 5 Credits/Payments 2017 estimated tax payments and 2016 overpayment credited to 2017. 6 Exempt foreign organizations - Lax withheld at source. 6 Backlup withholding erroneously withheld 7 Total circulates and payments Add lines 6a through 6d. 7 Total circulates and payments of estimated tax Check here (∑ if Form 220 is attached 8 7 Tax due. If the total of lines 3 and 8 is more than line? reter amount owed 7 Total circulates and payment of estimated tax Check here (∑ if Form 220 is attached 11 7 Total circulates and payment of estimated tax Check here (∑ if Form 220 is attached 11 8 Tax due. If the total of lines 3 and 8 is more than line? reter amount owed 8 Tax due. If the total of lines 3 and 8 is more than line? reter amount owed 9 Tax due. If the total of lines 3 and 8 is more than line? reter amount owed 10 Overpayment, life no? is more than total of lines 5 and 8, enter the amount of line 10 to be Credited to 2016 estimated tax b  7 Total credits the amount of line 10 to be Credited to 2016 estimated tax b  7 Total credits the amount of line 10 to be Credited to 2016 estimated tax b  7 Total credits the amount of line 10 to be Credited to 2016 estimated tax b  7 Total credits the amount of line 10 to be Credited to 2016 estimated tax b  8 Total credits the amount of line 10 to be Credited to 2016 estimated tax b  10 Lines the amount	1a	Exempt operating foundations described in section 4940(d)(2), check here			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here № 23 and enter 14% of Part I, line 27b. All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, cot (b)  2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  3 Add lines 1 and 2. 3 Add lines 1 and 2. 4 A Subtile A (mone) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0-  6 Teath part I are the state of the definition of the state of the definition of the state of the definition of the state of the sta					1
All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of part Line 12, col (b)  2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  3 Add lines 1 and 2;  5 Subbitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0  6 Teathy part of the companyments of creditive 3 trusts and taxable foundations only, others, enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0  6 Backup withholding errorecustly withheld  7 Total credits and payments Add lines 5 at through 6d.  8 Enter any penalty for underpayment of estimated tax Check here	ь	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		16,	149.
All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of part Line 12, col (b)  2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  3 Add lines 1 and 2;  5 Subbitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0  6 Teathy part of the companyments of creditive 3 trusts and taxable foundations only, others, enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0  6 Backup withholding errorecustly withheld  7 Total credits and payments Add lines 5 at through 6d.  8 Enter any penalty for underpayment of estimated tax Check here		here X and enter 1% of Part I, line 27b		_	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable (oundations only, others, enter -0-) 3 Add lines 1 and 2 3 3 16,14 4 Subatile A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax pand with application for extension of time to fife (Form 8868). 6 Exempt foreign organizations -1 axis withheld at source. 8 Bb 1 Total credits and payments Add lines 6a through 6d. 8 Enter any penalty for underpayment of estimated tax Check here [X] if Form 2220 is attached. 8 Enter any penalty for underpayment of estimated tax Check here [X] if Form 2220 is attached. 8 Form 220 is attached. 9 7 8,31 8 Enter any penalty for underpayment of estimated tax Check here [X] if Form 2220 is attached. 9 7 7,830 9 0 verpayment. If line 7 is more than the total of ines 5 and 8, enter the amount overpaid. 9 7 7,830 9 0 verpayment. If line 7 is more than the total of ines 5 and 8, enter the amount overpaid. 10 Unique the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene nany political campain? 10 Unique the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate for the definition. 10 If the answer is "Set" to 1a or 1b, attach a detailed description of the activities and copies of any malerials published or distributed by the foundation in connection with the activities and copies of any malerials published or distributed by the foundation of the definition of t	c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of $J$		-	
3   16,145  4 Subtle A (income) tax (domesto section 4947(a)(1) fixists and taxable foundations only, others, enter -0.)  5   Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0.  6 Credist/Payments  2017 estimated tax payments and 2016 overpayment credited to 2017	2				
4 Subtlie A (income) tax (domestic section 4947(a)(1) trusts and laxable foundations only, others, enter -0-1		Tax dilaci section of the controller section to the controller section of the controller section		16,	149.
5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0	_				0.
6 Credits/Payments a 2017 estimated tax payments and 2016 overpayment credited to 2017				16,	149.
a 2017 estimated tax payments and 2016 overpayment credited to 2017	_				i
b Exempt foreign organizations - tax withheld at source		-   0.210			
c Tax paid with application for extension of time to file (Form 8868)					1
d Backup withholding erroneously withheld					
8 Enter any penalty for underpayment of estimated tax. Check here	d		_		_ ;
8 Enter any penalty for underpayment of estimated tax Check here				8,	319.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  1 Enter the amount of line 10 to be Credited to 2018 estimated tax  Refunded  11  2art VIIA Statements Regarding Activities  1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  Did the foundation (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation managers \( \begin{align*} \) \$  Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation managers \( \begin{align*} \) \$  2 Has the foundation managers \( \begin{align*} \) \$  Has the foundation managers in any activities that have not previously been reported to the IRS?  If "Yes," statch a detailed description of the activities  A has the foundation made any changes, not previously reported to the IRS?  If "Yes," has if lifed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  A the foundation have unrelated business gross income of \$1,000 or more during the year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  By language in the governing instrument?  Was the evaluation of the section \$0.00 (relating to sections \$4.00					
Part VIFA Statements Regarding Activities  1 burng the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  Did the foundation file Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) on the foundation of № \$  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$  2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  3 Has the foundation made any changes, not previously reported to the IRS? in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed description of the activities  3 Has the foundation have unrelated business gross income of \$1,000 or more during the year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  • By language in the governing instrument?  7 Did the foundation have all least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV  As All the first the states to which the foundation reports or with which it is registered See instructions ▶  NY,  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General for designate) of each state as required by <i>General Instruction</i> OF il "No." attach explanation  4 942(j)(5) for calendar year 2017 or the tax year begin	9			7,	330.
Part VIFA Statements Regarding Activities  1 burng the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  Did the foundation file Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) on the foundation of № \$  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$  2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  3 Has the foundation made any changes, not previously reported to the IRS? in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed description of the activities  3 Has the foundation have unrelated business gross income of \$1,000 or more during the year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  • By language in the governing instrument?  7 Did the foundation have all least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV  As All the first the states to which the foundation reports or with which it is registered See instructions ▶  NY,  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General for designate) of each state as required by <i>General Instruction</i> OF il "No." attach explanation  4 942(j)(5) for calendar year 2017 or the tax year begin	10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
Ta During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?.  Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  Did the foundation file Form 1120-PDL for this year?  It consider the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) on the foundation P ≥ (2) On foundation managers ▶ \$  2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach a detailed description of the activities.  Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.  Hif "Yes," has it filed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  For Byl anguage in the governing instrument, or Byl anguage in the governing instrument in the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  By language in the governing instrument, or Byl anguage in the governing instrument? If "Yes," attach explanation with in the meaning of section 4942(j)(3) or Byl anguage in the governing instrument?  By If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction 6? If "No," attach explanation (or designate) of each state as required by General Instruction 6? If "No," attach explanation  By Is the foundation claiming status as a private operating f	11				
participate or intervene in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  C Did the foundation file Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation \[ \begin{array}{c}	Par	t VII-A Statements Regarding Activities			
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Par	t VII-A Statements Regarding Activities (continued)		1	
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified	1		
	person had advisory privileges? If "Yes," attach statement See instructions	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address N/A			
14	DITTO UNICED > 212-056	-364	5	•
	Located at ▶700 PARK AVE, STE 8 FL 2 NEW YORK, NY  ZIP+4 ▶ 10021-0	104	_	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here			TT
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority		Yes	No
10	over a bank, securities, or other financial account in a foreign country?	1	<u> </u>	Х
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of			
	the foreign country	İ		
Dar	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required	1		<u> </u>
гаг	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	1	Yes	No
	·	-	1.00	
та	During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(-,			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a		,	
	disquainted person		,	
	(b) I diffusit goods, services, or laborates to (or accept them notify a disqualified porcent			
	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days ) Yes X No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	<u> </u>		
	section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here		1	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2017?	1c		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2017?			
	If "Yes," list the years		l	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to	ļ		
	all years listed, answer "No" and attach statement - see instructions )	2b		
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	<b>)</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or	ŀ		
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
	foundation had excess business holdings in 2017 )	3b		
42	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X
	berkers and the same seem that t	- 00		

Form **990-PF** (2017)

Par	t VII-B	Statements Regarding Activities	for Which Form	4720 May Be Req	uired (continued)			
5a	During the	e year, did the foundation pay or incur any amo	ount to	<del>-</del>			Yes	No
	(1) Carry	on propaganda, or otherwise attempt to influe	ence legislation (section	on 4945(e))?	. Yes X No			
		nce the outcome of any specific public ele						
	directly or indirectly, any voter registration drive?							
	(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes X No							
	(4) Provide a grant to an organization other than a charitable, etc., organization described in							
		n 4945(d)(4)(A)? See instructions						
		le for any purpose other than religious, ch						
		ses, or for the prevention of cruelty to children		-				
		swer is "Yes" to 5a(1)-(5), did any of the						
b		swer is fes to 5a(1)-(5), old <b>any</b> of the is section 53 4945 or in a current notice regard				I		
					ſ	5b		1
	•	ions relying on a current notice regarding disas				<b>└</b>		[
С		swer is "Yes" to question 5a(4), does the		•				
		t maintained expenditure responsibility for the g	-		. Yes X No			1
		ttach the statement required by Regulations se						
6a		oundation, during the year, receive any fun						ĺ
		onal benefit contract?				<del></del>		
b	Did the fo	undation, during the year, pay premiums, dire	ctly or indirectly, on a	personal benefit contra	act?	6b		Х
		6b, file Form 8870						(
7a		e during the tax year, was the foundation a pa						
b		id the foundation receive any proceeds or have				7b		Х
Par	t VIII	Information About Officers, Director and Contractors	rs, Trustees, Fou	ındation Managers	s, Highly Paid Emp	loyees,		
1	List all of	ficers, directors, trustees, foundation n	nanagers and thei	r compensation. See	instructions.			
		(a) Name and address	(b) Title, and average hours per week	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans	(e) Expens		
		(2) (13.110-3	devoted to position	enter -0-)	and deferred compensation	other all	owance	:s 
					0 004			•
ATC	1 12	<del></del>		0.	8,834.			0.
	Compens "NONE."	ation of five highest-paid employees	(other than thos	se included on line	e 1 - see instructio	ons). If n	one,	enter
	HOHE.		(b) Title, and average		(d) Contributions to			
(a)	Name and a	ddress of each employee paid more than \$50,000	hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expens other all		
		<del></del>	devoted to position		compensation			
ATC	13			84,000.	9,032.			0.
Total	number o	f other employees paid over \$50,000						
						Form 990	)-PF	(2017)

Part VIII Information About Officers, Directors, Trustees, Foundatio and Contractors (continued)	on Managers, Highly Paid Emplo	yees,
3 Five highest-paid independent contractors for professional services. S	ee instructions. If none, enter "NONI	E."
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NOVE	_ <del>_</del>	
NONE		
	—	
otal number of others receiving over \$50,000 for professional services	<u> </u>	
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant st organizations and other beneficianes served, conferences convened, research papers produced, etc.	tatistical information such as the number of	Expenses
1 N/A		
3		
4		
Part IX-B Summary of Program-Related Investments (see instruction  Describe the two largest program-related investments made by the foundation during the tax year on		Amount
1 NONE	mies i diu 2	
2		
All other program-related investments See instructions		
3 NONE		
otal. Add lines 1 through 3		

Form **990-PF** (2017)

Pa	rt X	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign fou	ndations,
1	Fair	market value of assets not used (or held for use) directly in carrying out charitable, etc.,		<del> </del>
	purpo	oses		
а	Avera	age monthly fair market value of securities	1a	32,630,336.
b		age of monthly cash balances	1b	3,392,203.
С	Fair r	market value of all other assets (see instructions)	1c	42,251.
		(add lines 1a, b, and c)	1d	36,064,790.
е	Redu	ction claimed for blockage or other factors reported on lines 1a and		
	1c (a	ttach detailed explanation)		
2		isition indebtedness applicable to line 1 assets	2	
3		ract line 2 from line 1d	3	36,064,790.
4	Cash	deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see		
		ictions)	4	540,972.
5		ralue of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	35,523,818.
6		num investment return. Enter 5% of line 5	6	1,776,191.
Pa		<b>Distributable Amount</b> (see instructions) (Section 4942(j)(3) and (j)(5) private operating four and certain foreign organizations, check here ▶ and do not complete this part )	ndations	
1	Mınım	num investment return from Part X, line 6	1	1,776,191.
2 a	Tax o	on investment income for 2017 from Part VI, line 5		-
b	Incon	ne tax for 2017 (This does not include the tax from Part VI). 2b		
С	Add I	ines 2a and 2b	2c	16,149.
3		butable amount before adjustments Subtract line 2c from line 1	3	1,760,042.
4		veries of amounts treated as qualifying distributions	4	
5		ines 3 and 4	5	1,760,042.
6		ction from distributable amount (see instructions)	6	
7		ibutable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII,		
		, , , , , , , , , , , , , , , , , , ,	7	1,760,042.
Pa		Qualifying Distributions (see instructions)	•	
1	Amou	unts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
а	Expe	nses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,089,765.
b	Progr	ram-related investments - total from Part IX-B	1b	
2	Amou	unts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purpo	oses	2	
3	Amou	unts set aside for specific charitable projects that satisfy the		
а	Suita	bility test (prior IRS approval required)	3a	
b		distribution test (attach the required schedule)	3b	
4	Qualif	ying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,089,765.
5	Found	dations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
		1% of Part I, line 27b See instructions	5	16,149.
6		sted qualifying distributions. Subtract line 5 from line 4	6	1,073,616.
		The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	lculating	g whether the foundation

Form **990-PF** (2017)

qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see in	(a)	(b)	(c)	(d)
1 Distributable amount for 2017 from Part line 7	XI, Corpus	Years prior to 2016	2016	2017
2 Undistributed income, if any, as of the end of 20				
a Enter amount for 2016 only.				
<b>b</b> Total for pnor years 20 15 ,20 14 ,20 13	3			"
3 Excess distributions carryover, if any, to 201				
a From 2012 1,907,72	1.			
<b>b</b> From 2013 1,205,38	2.			
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e				<del>                                     </del>
4 Qualifying distributions for 2017 from Part 2 line 4 ▶ \$ 1,089,765.	KII,			
a Applied to 2016, but not more than line 2a	•			
<b>b</b> Applied to undistributed income of prior year (Election required - see instructions)				
c Treated as distributions out of corpus (Electrogrammed - see instructions)				
d Applied to 2017 distributable amount	i i			1,089,765.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 20 (If an amount appears in column (d), the sai amount must be shown in column (a))				670,277.
6 Enter the net total of each column indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line	2,442,826.			
b Prior years' undistributed income Subtra	act			
line 4b from line 2b,	ed las			
tax has been previously assessed d Subtract line 6c from line 6b Taxa	•			
amount - see instructions	ı			
e Undistributed income for 2016 Subtract li 4a from line 2a Taxable amount - s instructions	ee			
f Undistributed income for 2017 Subtract lin	es			
4d and 5 from line 1 This amount must distributed in 2018	be			
7 Amounts treated as distributions out of corp	us			
to satisfy requirements imposed by secti 170(b)(1)(F) or 4942(g)(3) (Election may	be			
required - see instructions)				<del> </del>
8 Excess distributions carryover from 2012 r applied on line 5 or line 7 (see instructions)	1 1 227 444			
9 Excess distributions carryover to 201 Subtract lines 7 and 8 from line 6a	1 1 205 302			
10 Analysis of line 9 a Excess from 2013 1,205,38	2.			
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				Form <b>990-PF</b> (2017

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)									
3 Grants and Contributions Paid During the Year or Approved for Future Payment  Recipient If recipient is an individual, show any relationship to any foundation manager or substantial contributor or substantial contributor  Name and address (home or business)  Purpose of grant or contribution  Amount									
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or	Amount					
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	711100111					
Name and address (home or business)  a Paid during the year SEE STATEMENT ATTACHED	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount 993,500					
Total				993,500.					

Part XVI-A Analysis of Income-Prod	ucing Act	ivities		•	
Enter gross amounts unless otherwise indicated	Unrela	ated business income	Excluded by	y section 512, 513, or 514	(e)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
a					
b					
c				_	
d				_	
е	-				
f				_	
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments •	525990		14	11.	
4 Dividends and interest from securities	525990		14	168,910.	
5 Net rental income or (loss) from real estate					* * * * * * * * * * * * * * * * * * * *
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property			<u> </u>		
7 Other investment income	E 2 E 0 0 0		1.0	1 571 507	1
8 Gain or (loss) from sales of assets other than inventory	525990		18	1,571,507.	•
9 Net income or (loss) from special events · · ·	<del></del>				
10 Gross profit or (loss) from sales of inventory	<del> </del>				<del> </del>
11 Other revenue a		•			
b					
c					
d e					
12 Subtotal Add columns (b), (d), and (e)			<u> </u>	1,740,428.	
13 Total. Add line 12, columns (b), (d), and (e)			I		1,740,428.
(See worksheet in line 13 instructions to verify calci	ulations)				
Part XVI-B Relationship of Activitie	s to the A	ccomplishment of Ex	cempt Pur	ooses	
Line No. Explain below how each activit	v for which	n income is reported in	n column (e	e) of Part XVI-A contribu	ited importantly to the
accomplishment of the foundation	-		-	•	•
			·		
	_	<del></del>			
		. <u>.</u>			<del></del>
					- 000 DE (00.5)

JSA 7E1492 1 000

Part XVII	Information Regarding	Transfers to and	Transactions and	Relationships	With Noncharitable
	Exempt Organizations				

		Excilipt Olgai	1124110110								
1	Did th	e organization direct	ly or indirectly e	engage in any of the	efollowing	with any	other orga	nization described		Yes	No
	ın se	ction 501(c) (other	than section	501(c)(3) organiza	tions) or i	n section	n 527, rel	ating to political			
		izations?			•						
2	-	fers from the reportin	o foundation to	a noncharitable exe	mpt organiz	zation of.					
a		ish	=						1a(1)		Х
											Х
_		her assets				• • • • •			1a(2)	_	
b	-	transactions									х
		les of assets to a no									
		irchases of assets fro							1b(2)		X
	(3) Re	ental of facilities, equi	pment, or other	assets					1b(3)	_	X
	(4) Re	eimbursement arrange	ements						1b(4)		X
		ans or loan guarantee							1b(5)		X
		erformance of service									Х
c		ng of facilities, equipn									X
		answer to any of th								faır m	arket
٠		of the goods, other									
		in any transaction or									
4-3.1		(b) Amount involved	<del></del>	onchantable exempt organ				ers, transactions, and sha			
(a) L	ine no	` '	(c) Name of the	onchantable exempt organ	iization	N/A	iption of transi	ers, transactions, and sna	ilig alla	ngenie	111.5
		N/A	-			N/A		<del></del>			
	-										
						_					
		<del></del> .	<del></del>								
				<del></del>							
		<u>-</u>									
2a	Is the	foundation directly	or indirectly affi	liated with, or relat	ted to, one	or more	tax-exempt	t organizations		_	_
		ibed in section 501(c)							Ye	es X	No
ь		s." complete the follo									
		(a) Name of organizatio		(b) Type of on	ganization			(c) Description of relations	hip		
								<u></u> -			
						<del></del>					
						-					
		<del> </del>		<del> </del>		l				-1-6-4	
	Corre	er penalties of penury, I declared, and complete Declaration o	are that I have examin fprepare√(other than ta	ed this return, including ac xpayer) is based on all inform:	companying sone ation of which pr	edules and si eparer <u>ha</u> s any	ratements, and t /knowledge	o the best of my knowledg	e and d	ener, it	is true,
Sig		K 4 5	1			. /ν		May the IRS	discus	s this	return
_		Ma 2	Vause	R 104/04/	2017	The	oden	with the pro			below?
Her	e   Sig	nature of officer or trustee	<del>.</del>	Date /	1	Title		See Instruction	s X	Yes	No
		Print/Type preparer's na	ıme	Preparer's signature	<i>- '</i>	_	Date	Check if F	TIN		
Paid	d	ELDA DI RE		1 Elde	a Dik	E C	3/29.20		P012	6955	2
Pre	parer	- DD	RNST & YOUNG					Firm's EIN ▶ 34-65			
			TIMES SQUAF					THINSEIN POT OF		-	
JSE	Only					100	3.6	Phone no 212-7	13-31	200	
		l NE	W YORK, NY			100	סכ	THORIC NO	-		
								Foi	m <b>99</b> (	ノーピト	(2017)

2017 FORM 990-PF THE HAUSER FOUNDATION, INC.

990-PF THE HAUSER FOUNDATION, INC. 11-0016142
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kınd of F	roperty		Desc	ription		or P	Date	Date sold
		Castan			Evenes of	D	acquired Gain	<del></del>
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adı basıs		or (loss)	
CAPCITICO OI GOIO	dilo madio	TOTAL CAPITA					370,258.	
		32,200 SHS (				Р	01/14/2015	01/12/2018
1,921,065.		PROPERTY TYP 1,997,875.	PE: SECURIT	IES			-76,810.	
		226,449.57			JNITY P	P	12/05/2015	10/25/2018
5,000,000.		PROPERTY TYI 3,700,191.	PE: SECURIT	1ES			1,299,809.	
765,000.		765,000 FV (PROPERTY TY)			È	P	09/20/2012	12/01/2017
		35,000 FV CA			RES CEN	Р	09/20/2012	12/01/2017
35,000.		PROPERTY TYI	PE: SECURIT	165			600.	
1,820,700.		1,785,000 SF PROPERTY TYI 1,820,700.			25%	Ρ	01/04/2013	01/16/2018
		6.010 SHS FT				Ρ	01/22/1991	04/25/2018
		2.					-2.	
1,100,000.		1,100,000 FV PROPERTY TY 1,100,000.				D	02/28/2013	05/15/2018
1,050,000.		1,050,000 FV PROPERTY TY 1,050,000.				Ρ	08/16/2013	06/15/2018
		1,000,000 SH			L5%	Ρ	03/20/2018	07/20/2018
1,000,000.		PROPERTY TY: 998,470.	PE: SECURIT	IES			1,530.	
1,100,000.		1,100,000 FV PROPERTY TY: 1,100,000.				P	09/26/2013	08/01/2018
		460,000 FV 1				Р	05/01/2014	10/17/2018
460,000.		PROPERTY TY: 478,978.	FE: SECUKIT	152			-18,978.	
		1,000,000 SH	S DUPONT E	I NEMOUR		Р	11/24/2015	11/29/2018
		1				1		

990-PF THE HAUSER FOUNDATION, INC. 11-0016142
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kınd of P				ription		P O	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	U	Gain or (loss)	
ENDELISES OF SAIR	allowable	PROPERTY TY			, auj vasis	П	(1033)	
1,018,030.		1,022,930.					-4,900.	
)TAL GAIN(L	oss)						1,571,507.	
		•						
				•				
								•

ATTACHMENT 1

- INTEREST ON TEMPORARY CASH INVESTMENTS PART I FORM 990PF,

NET	INVESTMENT	INCOME	П
REVENUE AND	EXPENSES	PER BOOKS	11.
		DESCRIPTION	BANK
		DESCR	CHASE BANK

TOTAL

ATTACHMENT 1 PAGE 16

# FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

	REVENUE AND EXPENSES	NET INVESTMENT
	PER BOOKS	INCOME
END INCOME	37,043.	37,043.
DEPOSIT INTEREST	19,139.	19,139.
SECURITIES TAXABLE INT	586,645.	586,645.
SECUIRTY INT (MUNI)	20,000.	
BOND PURCHASE INT TAX	-75,183.	-75,183.
BD PURCH INT (TAXABLE)CF	-7,833.	-7,833.
AMORT ADJ CORP BDS/NOTES	-477,801.	-477,801.
AMORT ADJ CORP BONDS CF	-194.	-194.
AMORT USGI BONDS	-9,544.	-9,544.
AMORT ADJ MORTG SEC BDS	-122.	-122.
EST INCOME	13.	13.
END INCOME	76,747.	76,747.
TOTAL	168,910.	148,910.

ATTACHMENT 2 PAGE 17

ATTACHMENT 3

THE HAUSER FOUNDATION, INC.

FEES	
ACCOUNTING	
ı	
Н	
PART	
990PF,	
FORM	

CHARITABLE PURPOSES	18,025.	18,025.
ADJUSTED NET INCOME		
NET INVESTMENT INCOME	18,025.	18,025.
REVENUE AND EXPENSES PER BOOKS	36,050.	36,050.
DESCRIPTION	ACCT FEES TO ERNST & YOUNG LLP	TOTALS

THE HAUSER FOUNDATION, INC.

11-0016142

4

ATTACHMENT

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

NET INVESTMENT INCOME	19,822.	20,370.
REVENUE AND EXPENSES PER BOOKS	20,702.	21,798.
NORTHATION	MANAGEMENT FEES TO MSDW LEGAL FEES	TOTALS

### FORM 990PF, PART I - TAXES

CHARITABLE <u>PURPOSES</u>	3,213.	3,213.
NET INVESTMENT INCOME	3,213.	3,213.
REVENUE AND EXPENSES PER BOOKS	6,426. 2,000.	8,426.
		TOTALS
DESCRIPTION	FICA EXCISE TAX	

ATTACHMENT 5 PAGE 20

THE HAUSER FOUNDATION, INC.

#### OTHER EXPENSES ı PART FORM 990PF,

	REVENUE	!	
	AND	LUZ	
	EXPENSES	INVESTMENT	CHARITABLE
DESCRIPTION	PER BOOKS	INCOME	PURPOSES
OFFICE SUPPLIES	1,080.		540.
POSTAGE	393.		197.
ADVERTISING	315.		158.
MEMBERSHIP DUES	5,664.	2,832.	2,832.
NYS FILING FEES	750.		750.
Ins	100.		50.
TELEPHONE CHARGES	4,065.		2,033.
MEALS & ENTERTAINMENT	4,270.		2,135.
OTHER MISC EXPENSES	3,362.	1,681.	1,681.
OTHER INSURANCE	625.		313.
REPAIR & MAINTENANCE	159.		159.

540. 197. 158. 2,832. 750. 2,033. 2,135. 1,681. 313.

10,848.

9,939.

20,783.

TOTALS

L LN	ENDING <u>FMV</u>	5,630. 6,899. 988,378. 1,361,767. 993,090. 1,001,203.	4,356,967.	564,231. 931,571. 1,246,456. 999,532. 1,225,305.	4,967,095.	9,324,062.
ATTACHMENT	ENDING BOOK VALUE	5,733. 6,958. 1,027,260. 1,350,949. 993,086.	4,378,478.	559, 663. 983, 890. 1,232,039. 1,001,834. 1,216,396.	4,993,822.	9,372,300.
TE OBLIGATIONS	BEGINNING BOOK VALUE	10. 7,326. 7,581. 1,036,805.	1,051,722.	34,400. 765,000. 2,215,236. 1,100,000. 1,057,620. 1,077,032. 983,890.	8,333,178.	9,384,900.
FORM 990PF, PART II - U.S. AND STATE	DESCRIPTION	FNMA POOL 50065 GNMA POOL 8608 FNMA POOL 433596 FEDERAL HOME LOAN BANKS US TREASURY NOTE 2.75% US TREASURY NOTE 1.125% US TREASURY NOTE 1.625%	US OBLIGATIONS TOTAL	CALIFORNIA ST DEPT WTR RES CEN CALIFORNIA ST BE 1291 17DE01CA CARMEL IND REDEV DIST CTFS UNIV CA GENL BE 1296 18MY15UN OHIO ST MAJOR BE 4168 18JN15OH NEW YORK N Y 6.810% NEW YORK CITY TRANSITIONAL FPENNSYLVANIA S BE 2.168% TRIBOROUGH BRDG & TUNL 2.829% METROPOLITIAN T BE 2.168%	STATE OBLIGATIONS TOTAL	US AND STATE OBLIGATIONS TOTAL

#### - CORPORATE STOCK PART II FORM 990PF,

ENDING <u>FMV</u>	468. 468. 557,226. 55,480. 49,990. 221,328. 245,868. 75,012. 26,486. 66,374. 237,739. 176,287. 176,287. 176,287. 237,739. 176,497. 87,404. 38,107. 230,456. 65,224. 14,940. 5,551. 49,541.
ENDING BOOK VALUE	2,786,295. 398,759. 42,217. 38,562. 170,733. 189,665. 63,648. 154,952. 21,540. 53,979. 402,501. 21,842. 73,001. 190,026. 138,994. 1,538. 307,432. 5,000,000. 68,914. 26,118. 8,105. 221,527. 62,697. 14,361.
BEGINNING BOOK VALUE	370. 398,760. 398,760. 42,217. 38,562. 170,733. 189,665. 63,648. 1,997,875. 154,952. 21,540. 53,979. 402,501. 21,842. 73,001. 190,026. 138,994. 1,538. 307,432. 5,000,000. 68,914. 26,118.
DESCRIPTION	MSIF GLOBAL FRANCHISE 18 SHS MSIF GLOBAL FRANCHISE 162K SHS MSIF GLOBAL FRANCHISE 22K SHS MSIF GLOBAL FRANCHISE 2K SHS MSIF GLOBAL FRANCHISE 2K SHS MSIF GLOBAL FRANCHISE 2K SHS MSIF GLOBAL FRANCHISE 10K SHS MSIF GLOBAL FRANCHISE 3K SHS MSIF GLOBAL FRANCHISE 3K SHS MSIF GLOBAL FRANCHISE 1K SHS MSIF GLOBAL FRANCHISE 2K SHS

ATTACHMENT 8 PAGE 23

STOCK
CORPORATE
- II
PART
990PF,
FORM

ENDING <u>FMV</u>	16,710. 32,961. 1,765,956. 14,729,419.
ENDING BOOK VALUE	18,848. 37,177. 1,299,809. 11,897,471.
BEGINNING BOOK VALUE	12,157,068.
DESCRIPTION	MSIF GLOBAL FRANCHISE 753 SHS MSIF GLOBAL FRANCHISE 1K SHS MSIF GLOBAL FRANCHISE 80K SHS

## FORM 990PF, PART II - CORPORATE BONDS

ENDING <u>FMV</u>	970,070. 971,017. 616,057. 1,008,356. 981,183. 967,900. 1,004,047. 705,672. 991,984. 1,001,723. 1,001,723.
ENDING BOOK VALUE	999,390. 996,670. 618,613. 1,000,648. 1,000,751. 988,680. 1,001,353. 716,535. 987,750. 997,750.
BEGINNING BOOK VALUE	999,390. 996,670. 626,136. 1,042,742. 1,001,078. 988,680. 1,012,303. 716,535.
DESCRIPTION	INTEL CORP 2.350% COSTCO WHOLESALE CORP 2.300% GENERAL DYNAMICS 3.875% DUPONT EI NEMOUR 4.625% ORACLE CORP 2.375% IBM CORP 2.250% MASTERCARD INC 2.00% HOME DEPOT INC 2.000% BANK OF NY MELLON CORP 2.200% VISA INC 2.2% PEPSICO INC REGS 3.1% NORTHERN TRST CO 3.375%

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990PF,	
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ENDING <u>FMV</u>	35,929.	35,929.
ENDING BOOK VALUE	35,929.	35,929.
BEGINNING BOOK VALUE	35,929.	35,929.
		TOTALS
DESCRIPTION	ARTWORK	

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS,	ERS, DIRECTORS, AND TRUSTEES		ATTACH	ATTACHMENT 12
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
RITA HAUSER 700 PARK AVE STE 8 FL 2 NEW YORK, NY 10021-0104	PRESIDENT		4,417.	
GUSTAVE HAUSER 700 PARK AVE STE'8 FL 2 NEW YORK, NY 10021-0104	VP, SEC, TRE		4,417.	
RONALD J. STEIN STROOCK, STROOCK & LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR			
ETTA BRANDMAN STROOCK, STROOCK & LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR			

8,834.

GRAND TOTALS

ATTACHMENT 13	CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES	9,032. 0.	9,032.
EMPLOYEES	COMPENSATION	84,000.	84,000.
OF THE FIVE HIGHEST PAID EMPLOYEES	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	40.00	TOTAL COMPENSATION
990PF, PART VIII - COMPENSATION OF THE	NAME AND ADDRESS	PATRICIA A. WEINSTEIN KEW GARDENS NEW YORK, NY 11415	