

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2017**  
**Open to Public Inspection**

**For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017**

Name of foundation THE TOW FOUNDATION		<b>A Employer identification number</b> 06-6484045
Number and street (or P O box number if mail is not delivered to street address) 50 LOCUST AVENUE	Room/suite	<b>B Telephone number</b> (see instructions) (203) 761-6604
City or town, state or province, country, and ZIP or foreign postal code NEW CANAAN, CT 068404737		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 232,898,501	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	5,065,730			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	24,766	24,766		
	<b>4</b> Dividends and interest from securities	4,099,952	4,099,952		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	6,477,244			
	<b>b</b> Gross sales price for all assets on line 6a	65,793,438			
	<b>7</b> Capital gain net income (from Part IV, line 2)		6,477,244		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	1,799,448	1,799,448			
<b>12 Total.</b> Add lines 1 through 11	17,467,140	12,401,410			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	276,702	27,670		249,032
	<b>14</b> Other employee salaries and wages	524,692	0		524,692
	<b>15</b> Pension plans, employee benefits	122,940	1,562		121,378
	<b>16a</b> Legal fees (attach schedule)	13,674	0		13,674
	<b>b</b> Accounting fees (attach schedule)	91,420	45,710		45,710
	<b>c</b> Other professional fees (attach schedule)	706,017	706,017		0
	<b>17</b> Interest	310,944	310,944		0
	<b>18</b> Taxes (attach schedule) (see instructions)	176,492	50,490		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy	126,592	0		126,592
	<b>21</b> Travel, conferences, and meetings	138,224	0		138,224
	<b>22</b> Printing and publications	2,321	0		2,321
	<b>23</b> Other expenses (attach schedule)	1,043,806	874,595		169,211
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	3,533,824	2,016,988		1,390,834
	<b>25</b> Contributions, gifts, grants paid	16,756,272			16,756,272
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	20,290,096	2,016,988		18,147,106	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-2,822,956				
<b>b Net investment income</b> (if negative, enter -0-)		10,384,422			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	330,932	424,081	424,081
	<b>2</b> Savings and temporary cash investments . . . . .	2,832,186	7,660,150	7,660,150
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)	22,050,055	18,556,869	18,475,820
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	59,124,542	41,223,851	49,118,172
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	31,768,865	34,780,001	34,863,413
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	57,568,893	68,213,514	72,473,834
	<b>14</b> Land, buildings, and equipment basis ▶ _____ 35,866 Less accumulated depreciation (attach schedule) ▶ 35,866			
<b>15</b> Other assets (describe ▶ _____)	27,107,125	27,107,125	49,883,031	
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	200,782,598	197,965,591	232,898,501	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable. . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)	83,608	89,557	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	83,608	89,557	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	200,698,990	197,876,034	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	0	0		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	200,698,990	197,876,034		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	200,782,598	197,965,591		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	200,698,990
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-2,822,956
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	197,876,034
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	197,876,034

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	6,477,244
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	18,443,059	224,161,242	0.082276
2015	11,670,080	222,789,453	0.052382
2014	13,346,299	210,460,895	0.063415
2013	13,470,272	195,426,308	0.068928
2012	16,902,469	162,176,408	0.104223
<b>2</b> Total of line 1, column (d)			0.371224
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.074245
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5		229,543,466	
<b>5</b> Multiply line 4 by line 3			17,042,455
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			103,844
<b>7</b> Add lines 5 and 6			17,146,299
<b>8</b> Enter qualifying distributions from Part XII, line 4			18,147,106

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax due'. Total amount owed is 74,760.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes 'Yes' and 'No' columns for responses.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►			<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <i>If "Yes" to 6b, file Form 8870</i>			<b>6b</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			<b>7b</b>
				<b>No</b>

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes 'See Additional Data Table'.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Lists employees like DIANE SIERPINA, EILEEN C WISEMAN, NADIA ALIA, BEETA JAHEDI, and CAMILE KRAMER.

Total number of other employees paid over \$50,000. . . . . 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Lists contractors like MERRILL LYNCH TRUST CO, MORGAN STANLEY, DEUTSCHE BANK, NEUBERGER BERMAN BD LLC, and NANCY BLANEY.

Total number of others receiving over \$50,000 for professional services. . . . . 0

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities (List the foundation's four largest direct charitable activities during the tax year) and Expenses. Includes numbered rows 1 through 4.

Part IX-B Summary of Program-Related Investments (see instructions)

Table with 2 columns: Description of investments (Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2) and Amount. Includes numbered rows 1 through 3 and a Total row.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	174,056,188
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	9,766,458
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	49,216,406
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	233,039,052
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	233,039,052
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	3,495,586
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	229,543,466
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	11,477,173

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	11,477,173
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	103,844
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	103,844
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	11,373,329
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	11,373,329
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	11,373,329

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	18,147,106
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	18,147,106
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	103,844
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	18,043,262

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				11,373,329
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			0	
<b>b</b> Total for prior years 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .		452,593		
<b>c</b> From 2014. . . . .		3,151,160		
<b>d</b> From 2015. . . . .		627,114		
<b>e</b> From 2016. . . . .		7,331,251		
<b>f</b> Total of lines 3a through e. . . . .	11,562,118			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>18,147,106</u>				
<b>a</b> Applied to 2016, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				11,373,329
<b>e</b> Remaining amount distributed out of corpus	6,773,777			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,335,895			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	18,335,895			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .		452,593		
<b>b</b> Excess from 2014. . . . .		3,151,160		
<b>c</b> Excess from 2015. . . . .		627,114		
<b>d</b> Excess from 2016. . . . .		7,331,251		
<b>e</b> Excess from 2017. . . . .		6,773,777		

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2017</b>	<b>(b) 2016</b>	<b>(c) 2015</b>	<b>(d) 2014</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

**c** "Support" alternative test—enter

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

**Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**Part XV**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
LEONARD TOW

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

---

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				16,756,272
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				0



Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Table with columns Yes and No for questions 1a(1), 1a(2), 1b(1) through 1b(6), and 1c.

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash.
(2) Other assets.

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Schedule table with columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Schedule table with columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? Yes No

Preparer information table with fields: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
FIRST REP BANK	P	2017-06-01	2017-12-31
MERRILL LYNCH	P	2017-06-01	2017-12-31
MERRILL LYNCH	P	2017-01-01	2017-12-31
MORGAN STANLEY	P	2017-01-01	2017-12-31
MORGAN STANLEY	P	2017-06-01	2017-12-31
NEUBERGER	P	2017-06-01	2017-12-31
DEUTSCHE BANK	P	2017-06-01	2017-12-31
DEUTSCHE BANK	P	2017-01-01	2017-12-31
CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28,371,294		28,223,714	147,580
695,157			695,157
333,971			333,971
285,066		199,914	85,152
32,357,037		27,317,144	5,039,893
3,250,069		3,575,422	-325,353
32,004			32,004
170,536			170,536
298,304			298,304

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			147,580
			695,157
			333,971
			85,152
			5,039,893
			-325,353
			32,004
			170,536
			298,304

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
AMY LEFKOF	DIRECTOR 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
ANDREW TOW	VICE PRESIDENT 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
DAVID TOBIAS	DIRECTOR 3 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
DAVID Z ROSENSWEIG	SECRETARY 5 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
EMILY TOW JACKSON	PRESIDENT & EXEC DIRECTOR 40 00	242,614	34,088	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
FRANK TOW	VICE PRESIDENT 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
LEONARD TOW	CHAIRMAN 5 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
MAUREEN STRAFFORD	DIRECTOR 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
PAMELA CASTORI	OUTGOING DIRECTOR 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
SCOTT SCHNEIDER	TREASURER 3 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				
TERESA LAW	DIRECTOR 2 00	0	0	0
C/O THE TOW FOUNDATION 50 LOCUST AVENUE NEW CANAAN, CT 06840				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AARP FOUNDATION 601 E STREET NORTHWEST WASHINGTON, DC 20004		PC	2017 DIRECTOR DISCRETIONARY GRANT	500
AFRICAN CARIBBEAN AMERICAN PARENTS OF CHILDREN WITH DISABILITY INC 60-B WESTON STREET HARTFORD, CT 06120		PC	AFCAMP - 2016 UNRESTRICTED FUNDING	50,000
ALEXS LEMONADE STAND FOUNDATION 333 E LANCASTER AVENUE 414 WYNNEWOOD, PA 19096		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	500
ALS ASSOCIATION OF GREATER PHILADELPHIA 321 NORRISTOWN ROAD SUITE 260 AMBLER, PA 19002		PC	2017 SPONSORSHIP OF A PLAYERS BOOTH - PHILLIES PHESTIVAL	5,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF NORTHERN CALIFORNIA 39 DRUMM STREET SAN FRANCISCO, CA 94111		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	5,000
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN THEATRE WING INC 230 WEST 41ST STREET SUITE 1101 NEW YORK, NY 10036		PC	2017 CENTENNIAL GALA	15,000
AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION 42 BROADWAY SUITE 1724 NEW YORK, NY 10004		PC	2017 LOU GEHRIG SPORTS AWARDS BENEFIT, KNOCK OUT TABLE (11/9/16) RENEWAL	22,500
AN CLAUDHEAMH SOLUIS INC 553 WEST 51ST STREET NEW YORK, NY 10019		PC	2017 STAFF DISCRETIONARY GRANT	1,000
A-T EASE FOUNDATION INC 215 THOMPSON STREET SUITE 404 NEW YORK, NY 10012		PC	2016 STAFF DISCRETIONARY GRANT	250
ATLANTIC THEATER COMPANY 76 NINTH AVENUE SUITE 537 NEW YORK, NY 100113302		PC	PLAYWRIGHT RESIDENCY FOR AMY STAATS	75,000
<b>Total</b> . . . . . ► <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AUTISM SPEAKS INC 1 EAST 33RD STREET 4TH FLOOR NEW YORK, NY 10016		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	2,500
BALA-CYNWYD MEMORIAL LIBRARY 131 OLD LANCASTER ROAD BALA CYNWYD, PA 19004		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
BARD COLLEGEPO BOX 5000 ANNADALEONHUDSON, NY 12504		PC	BARD PRISON INITIATIVE 2013 GRANT OPPORTUNITY	315,000
BARNARD COLLEGE3009 BROADWAY NEW YORK, NY 10027		PC	2017 ANNUAL GALA DONATION	5,220,300
BE THE CHANGE INC 1400 I STREET NW SUITE 400 WASHINGTON, DC 20005		PC	MOBILIZING THE OPPORTUNITY NATION COALITION AND OPPORTUNITY LEADERS TO ADVANCE CRIMINAL AND JUVENILE	25,000
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BEAM CENTER INC60 SACKETT STREET BROOKLYN, NY 11231		PC	2017 DISCRETIONARY GRANT	5,000
BOY SCOUTS OF AMERICAPO BOX 32 MILFORD, CT 06460		PC	2017 DISCRETIONARY GRANT	500
BRIDGE FUND OF NEW YORK INC 171 EAST POST ROAD ROOM 200 WHITE PLAINS, NY 10601		PC	UNRESTRICTED FUNDING FOR THE BRIDGE FUND OF WESTCHESTER	30,000
BROOKLYN COLLEGE FOUNDATION INC 2900 BEDFORD AVENUE BROOKLYN, NY 11210		PC	CLAIRE TOW DISTINGUISHED TEACHING AWARD, TOW PROFESSORSHIPS, RESEARCH TRAVEL FELLOWSHIPS	200,000
CAMPAIGN FOR THE FAIR SENTENCING OF YOUTH 1319 F ST NW SUITE 303 WASHINGTON, DC 20004		PC	UNRESTRICTED FUNDING	75,000
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CAMPAIGN FOR YOUTH JUSTICE 1220 L STREET NW SUITE 605 WASHINGTON, DC 20005				
CENTER FOR CHILDREN'S ADVOCACY INC 65 ELIZABETH STREET HARTFORD, CT 06105				
CENTER FOR CHILDREN'S LAW AND POLICY INC 1701 K ST NW SUITE 1100 WASHINGTON, DC 200061510				
CENTER FOR COMMUNITY ALTERNATIVES 115 EAST JEFFERSON STREET SYRACUSE, NY 13202				
CENTER FOR REPRODUCTIVE RIGHTS INC 199 WATER STREET NEW YORK, NY 10038				
<b>Total . . . . .</b> ▶ <b>3a</b>				16,756,272

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CENTRAL CONNECTICUT STATE UNIVERSITY FOUNDATION PO BOX 612 NEW BRITAIN, CT 06050		PC	2017 REIMAGINING JUSTICE CONFERENCE	15,000
CHILDRENS DEFENSE FUND 15 MAIDEN LANE STE 1200 NEW YORK, NY 10038		PC	UNRESTRICTED FUNDING	75,000
CITY HARVEST INC 6 EAST 32ND ST 5TH FLOOR NEW YORK, NY 10016		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
CLIFFORD W BEERS GUIDANCE CLINIC INC 93 EDWARDS STREET NEW HAVEN, CT 06511		PC	UNRESTRICTED FUNDING	50,000
COALITION FOR JUVENILE JUSTICE 1319 F STREET NW WASHINGTON, DC 200041106		PC	PROMOTING POLICY REFORMS RELATED TO HOMELESSNESS, YOUTH JUSTICE, AND STATE ADVISORY GROUP CAPACITY	50,000
<b>Total</b> . . . . .				16,756,272
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COLD SPRING HARBOR LABORATORY OF QUANTATIVE BIOLOGY THE LUKE BUILDING COLD SPRING HARBOR LABORATORY 1 BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724		PC	2017 DOUBLE HELIX MEDALS DINNER - PATRON SPONSORSHIP REQUESTED BY LEONARD TOW	46,500
COMMON JUSTICE INC 540 ATLANTIC AVENUE 4TH FLOOR BROOKLYN, NY 11217		PC	UNRESTRICTED FUNDING	75,000
COMMUNITY FUND OF BRONXVILLE EASTCHESTER TUCKAHOE INC 17 SAGAMORE ROAD BRONXVILLE, NY 10708		PC	2017 DIRECTOR DISCRETIONARY GRANT	500
COMMUNITY PARTNERS IN ACTION 110 BARTHOLOMEW AVENUE HARTFORD, CT 06106		PC	2017 HOLIDAY GIFTS FOR JUVENILE JUSTICE YOUTH	61,250
COMMUNITY SERVICE SOCIETY OF NEW YORK 633 3RD AVENUE 10TH FLOOR NEW YORK, NY 10017		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CONGREGATIONS LINKED IN URBAN STRATEGY TO EFFECT RENEWAL INC 20 SOUTH BROADWAY ROOM 501 YONKERS, NY 10701		PC	WRMC 2017 DISCRETIONARY GRANT	25,000
CONNECTICUT CHALLENGE INC 1300 POST ROAD FAIRFIELD, CT 06824		PC	2017 BLK BIKE CT CHALLENGE CANCER RIDE	1,500
CONNECTICUT LEGAL SERVICES INC 62 WASHINGTON STREET 4TH FLOOR MIDDLETOWN, CT 06457		PC	CLS - 2016 UNRESTRICTED FUNDING	50,000
CONNECTICUT PLAYERS FOUNDATION INC DBA LONG WHARF THEATRE 222 SARGENT DRIVE NEW HAVEN, CT 06511		PC	2017 UNRESTRICTED STAFF DISCRETIONARY GRANT	50,250
CONNECTICUT VOICES FOR CHILDREN INC 33 WHITNEY AVENUE NEW HAVEN, CT 06510		PC	UNRESTRICTED FUNDING	75,000
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CONNECTICUT YOUTH SERVICES ASSOCIATION PO BOX 551 GLASTONBURY, CT 06033		PC	CT YOUTH SERVICES 2017 DISCRETIONARY GRANT	25,000
CROHNS & COLITIS FOUNDATION OF AMERICA 733 THIRD AVENUE SUITE 510 NEW YORK, NY 10017		PC	DIRECTOR'S DISCRETIONARY IN SUPPORT OF BRETT SEGALL 7/16/17 NAPA HALF MARATHON	750
CUNY GRADUATE SCHOOL OF JOURNALISM FOUNDATION 219 WEST 40TH STREET NEW YORK, NY 10018		PC	STEPHEN B SHEPARD PRIZE FOR INVESTIGATIVE REPORTING ANNUAL AWARDS	1,055,000
DOCTORS WITHOUT BORDERS USA INC 333 SEVENTH AVENUE 2ND FLOOR NEW YORK, NY 10001		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	4,000
DOMUS KIDS INC 83 LOCKWOOD AVENUE STAMFORD, CT 06902		PC	DOMUS 2017 DISCRETIONARY PLANNING GRANT	18,750
<b>Total</b> . . . . . ▶				16,756,272
<b>3a</b>				



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ENDEAVOR THERAPEUTIC HORSEMANSHIP INC 1 SUCCABONE ROAD BEDFORD, NY 10506		PC	2017 DISCRETIONARY GRANT FOR THE CHARLIE ROSE MEMORIAL FUND	500
ENVIRONMENTAL DEFENSE FUND INCORPORATED 257 PARK AVENUE SOUTH NEW YORK, NY 10010		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
EQUESTRIAN AID FOUNDATION INC 11924 W FOREST HILL BLVD SUITE 10A-377 WELLINGTON, FL 33414		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,500
EYE TO EYE INC 1430 BROADWAY 6TH FLOOR NEW YORK, NY 10018		PC	2016 DISCRETIONARY GRANT	10,000
FAMILY RE ENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604		PC	UNRESTRICTED FUNDING	50,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FJC520 EIGHTH AVENUE 20TH FLOOR NEW YORK, NY 10018		PC	2017 DISCRETIONARY GRANT	3,000
FORTUNE SOCIETY INC 29-76 NORTHERN BOULEVARD LONG ISLAND CITY, NY 11101		PC	UNRESTRICTED FUNDING	100,000
FOUNTAIN HOUSE INC 425 WEST 47TH STREET NEW YORK, NY 10036		PC	2016 DISCRETIONARY GRANT	1,000
FREE LIBRARY OF PHILADELPHIA FOUNDATION 1901 VINE STREET PHILADELPHIA, PA 19103		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,750
FREEDOM SCHOOL PARTNERS INC PO BOX 37363 CHARLOTTE, NC 28237		PC	2017 DIRECTOR'S DISCRETIONARY GRANT TOWARDS SHALOM PARK FREEDOM SCHOOL	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRIENDS OF ISLAND ACADEMY INC 127 W 127TH STREET SUITE 125 NEW YORK, NY 10027		PC	UNRESTRICTED FUNDING	100,000
FRIENDS OF KAREN INC 118 TITICUS ROAD NORTH SALEM, NY 10560		PC	UNRESTRICTED FUNDING	45,000
FRIENDS OF THE CYNWYD TRAIL INC PO BOX 695 BALA CYNWYD, PA 19004		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	500
GEORGETOWN UNIVERSITY 2115 WISCONSIN AVENUE NORTHWEST SUITE 500 WASHINGTON, DC 20007		PC	2017 JANET RENO ENDOWMENT FUND FOR CHILDREN AND FAMILIES	10,000
GETABOUT INCPO BOX 224 NEW CANAAN, CT 06840		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GRAMATAN VILLAGE 85 PONDFIELD ROAD BRONXVILLE, NY 10708		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
GREEK ORTHODOX ARCHDIOCESE OF AMERICA 1527 BEDFORD STREET STAMFORD, CT 06905		PC	2017 CAPITAL CAMPAIGN & UNRESTRICTED FUNDING	2,000
HEREDITARY DISEASE FOUNDATION 3960 BROADWAY 6TH FLOOR NEW YORK, NY 10032		PC	2017 DIRECTOR DISCRETIONARY GRANT IN HONOR OF KAREN NEWMAN	4,250
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021		PC	HSS 2013 DAVID Z ROSENSWEIG GENOMICS RESEARCH CENTER	1,120,000
HOUR CHILDREN INC 36-11 12TH STREET LONG ISLAND CITY, NY 11106		PC	HOUR CHILDREN'S PROGRAMS AT THE BEDFORD HILLS CORRECTIONAL FACILITY	50,000
<b>Total</b> . . . . . ►				16,756,272
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HUDSON LINK FOR HIGHER EDUCATION IN PRISON INC 23-25 SPRING STREET OSSINING, NY 105620862		PC	GREENE CORRECTIONAL FACILITY COLLEGE DEGREE PROGRAM	55,000
INNOCENCE PROJECT INC 40 WORTH STREET SUITE 701 NEW YORK, NY 100132994		PC	2017 UNRESTRICTED DISCRETIONARY GRANT	103,500
INTERNATIONAL RESCUE COMMITTEE INC 122 EAST 42ND STREET NEW YORK, NY 10168		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	5,000
JACK M BARRACK HEBREW ACADEMY 272 S BRYN MAWR AVENUE BRYN MAWR, PA 19010		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	5,000
JEWISH FEDERATION OF GREATER PHILADELPHIA JEWISH COMMUNITY SERVICES BUILDING 2100 ARCH STREET PHILADELPHIA, PA 19103		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,500
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JOHN JAY COLLEGE FOUNDATION INC 524 WEST 59TH STREET NEW YORK, NY 10019		PC	2017 JOHN JAY COLLEGE - EDUCATING JUSTICE GALA (HONORING JEREMY TRAVIS)	10,250
JUSTICE POLICY INSTITUTE 1012 14TH STREET NW SUITE 600 WASHINGTON, DC 20005		PC	UNRESTRICTED FUNDING	50,000
JUSTLEADERSHIPUSA INC 1900 LEXINGTON AVENUE NEW YORK, NY 10035		PC	UNRESTRICTED FUNDING	100,000
JUVENILE LAW CENTER 1315 WALNUT STREET 4TH FLOOR PHILADELPHIA, PA 19107		PC	UNRESTRICTED FUNDING	100,000
KATAL CENTER FOR HEALTH EQUITY AND JUSTICE INC 200 VARICK ST SUITE 201 NEW YORK, NY 10014		PC	ADVANCING CRIMINAL JUSTICE REFORM IN CONNECTICUT	50,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
KENNESAW STATE UNIVERSITY RESEARCH AND SERVICE FOUNDATION INC 1000 CHASTAIN RD KENNESAW, GA 30144		PC	JUVENILE JUSTICE J-SCHOOL COLLABORATIVE	85,000
KENTS HILL SCHOOL PO BOX 257 1614 MAIN STREET READFIELD, ME 04355		PC	2017 DISCRETIONARY GRANT	5,000
KIDS IN CRISIS INC1 SALEM STREET COS COB, CT 06807		PC	UNRESTRICTED FUNDING	50,000
LEGAL ACTION CENTER OF THE CITY OF NEW YORK INC 225 VARICK STREET 4TH FLOOR NEW YORK, NY 10014		PC	NY ATI/REENTRY ADVOCACY PROJECT	75,000
LEGAL AID OF NORTH CAROLINA INC PO BOX 28741 RALEIGH, NC 27611		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LEGAL SERVICES OF SOUTHERN PIEDMONT INC 1431 ELIZABETH AVENUE CHARLOTTE, NC 28204		PC	2017 DIRECTOR DISCRETIONARY GRANT, DACA FUND	5,000
LIFEBRIDGE COMMUNITY SERVICES INC 475 CLINTON AVENUE BRIDGEPORT, CT 066051700		PC	UNRESTRICTED FUNDING	70,000
MALTA PRISON VOLUNTEERS OF CONNECTICUT INC PO BOX 481 SOUTHPORT, CT 068900481		PC	UNRESTRICTED FUNDING	25,000
MANHATTAN CLASS COMPANY INC 231 WEST 29TH STREET SUITE 303 NEW YORK, NY 10001		PC	PLAYWRIGHT RESIDENCY FOR JOCELYN BIOH	75,000
MANHATTAN THEATRE CLUB INC 311 WEST 43RD STREET 8TH FLOOR NEW YORK, NY 10036		PC	2017 STARGATE THEATRE PROGRAM	40,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MARTHA'S VINEYARD CHAMBER MUSIC SOCIETY PO BOX 4189 VINEYARD HAVEN, MA 02568		PC	2017 DISCRETIONARY GRANT	5,000
MASSACHUSETTS ADVOCATES FOR CHILDREN INC 25 KINGSTON STREET 2F BOSTON, MA 02111		PC	2017 DIRECTOR DISCRETIONARY GRANT	5,000
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065		PC	MSK SKIN CANCER INFORMATICS PROGRAM PROPOSAL	1,010,000
METROPOLITAN COUNCIL ON JEWISH POVERTY 77 WATER STREET 7TH FLOOR NEW YORK, NY 10005		PC	2016 DISCRETIONARY GRANT	2,000
MIDDLESEX UNITED WAY INC 100 RIVERVIEW CENTER SUITE 230 MIDDLETOWN, CT 06457		PC	2017 MIDDLESEX COALITION FOR CHILDREN RENEWAL	5,000
<b>Total . . . . .</b> ▶				16,756,272
<b>3a</b>				


**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MUSIC IN THE PARK ATL 2952 MOCKINGBIRD LANE SW ATLANTA, GA 30311		PC	2017 DIRECTOR DISCRETIONARY GRANT	5,000
NAMI OF CONNECTICUT INC 576 FARMINGTON AVENUE HARTFORD, CT 06105		PC	ALLIANCE FOR CHILDREN'S MENTAL HEALTH	50,000
NAMI3803 FAIRFAX DRIVE ARLINGTON, VA 22203		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
NATIONAL CENTER FOR FAMILY PHILANTHROPY INC 1667 K STREET NW SUITE 550 WASHINGTON, DC 20006		PC	2017 MEMBERSHIP CONTRIBUTION RENEWAL	4,900
NATIONAL JUVENILE DEFENDER CENTER 1350 CONNECTICUT AVENUE NW SUITE 304 WASHINGTON, DC 20036		PC	USING INNOVATIVE DATA SETS AND CROSS-DISCIPLINARY CONSENSUS TO ENHANCE JUSTICE FOR CHILDREN	100,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATURAL RESOURCES DEFENSE COUNCIL INC 40 WEST 20TH STREET NEW YORK, NY 10011				
		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
NETWORK FOR GOOD INC PO BOX 96162 WASHINGTON, DC 20090				
		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,100
NEW CANAAN VOLUNTEER AMBULANCE CORPS INC 182 SOUTH AVENUE NEW CANAAN, CT 06840				
		PC	2017 DIRECTOR DISCRETIONARY GRANT	5,000
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS & IDEAS INC 195 CHURCH STREET 12TH FLOOR NEW HAVEN, CT 06510				
		PC	2017 EXECUTIVE COMMITTEE DISCRETIONARY GRANT FOR THE MARY LOU ALESKIE FUND	5,000
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NORTHWEST SUITE 300 WASHINGTON, DC 20036				
		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	80,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013				
	PC		MONETIZING NYPR'S DIGITAL AUDIENCE	273,925
NEW YORK SHAKESPEARE FESTIVAL 425 LAFAYETTE STREET NEW YORK, NY 10003				
	PC		PLAYWRIGHT RESIDENCY FOR PATRICIA IONE LLOYD	450,000
NEW YORK THEATRE WORKSHOP INC 79 EAST 4TH STREET NEW YORK, NY 10003				
	PC		PLAYWRIGHT RESIDENCY FOR HAMMAAD CHAUDRY	75,000
NORWALK HOSPITAL ASSOCIATION 34 MAPLE ST NORWALK, CT 068503815				
	PC		2017 DISCRETIONARY GRANT FOR PULMONARY ED IN HONOR OF CHRIS MANFREDI AT NORWALK HOSPITAL	10,000
NUTMEG BIG BROTHERS BIG SISTERS INC 30 LAUREL STREET SUITE 3 HARTFORD, CT 060161377				
	PC		UNRESTRICTED FUNDING	30,000
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				


**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ORGAN ALLIANCE INC 344 WEST 23RD STREET 1A NEW YORK, NY 10011		PC	2016 DISCRETIONARY GRANT	5,000
OSBORNE ASSOCIATION INC 809 WESTCHESTER AVENUE BRONX, NY 10455		PC	COMING TO TERMS - POLICY AND PRACTICE	120,000
OUR PIECE OF THE PIE INC 20-28 SARGEANT STREET HARTFORD, CT 06105		PC	UNRESTRICTED FUNDING	50,000
OUR SPACE INC 464 COMMON STREET BOX 306 BELMONT, MA 02478		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,500
OURBRIDGE INC 3925 WILLARD FARROW DRIVE CHARLOTTE, NC 28215		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	2,500
<b>Total . . . . . ▶</b>				16,756,272
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PARALYZED VETERANS OF AMERICA 801 EIGHTEENTH STREET NW WASHINGTON, DC 20006		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,000
PARTNERS HEALTHCARE SYSTEM INC PO BOX 1477 OAK BLUFFS, MA 02557		PC	2017 UNRESTRICTED DISCRETIONARY GRANT	5,000
PARTNERSHIP FOR STRONG COMMUNITIES INC 227 LAWRENCE ST HARTFORD, CT 06106		PC	YOUTH HOMELESSNESS AND JUVENILE JUSTICE INVOLVEMENT	50,000
PEAK GRANTMAKING INC 1666 K STREET NW SUITE 440 WASHINGTON, DC 20006		PC	2017 PEAK GRANTMAKING MEMBERSHIP RENEWAL	1,900
PENNENVIRONMENT RESEARCH AND POLICY CENTER 1429 WALNUT STREET PHILADELPHIA, PA 19102		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	500
<b>Total . . . . .</b> ▶ <b>3a</b>				16,756,272

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PHILABUNDANCE 3616 SOUTH GALLOWAY STREET PHILADELPHIA, PA 19148		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	500
PHILANTHROPY NEW YORK INC 1500 BROADWAY 7TH FLOOR NEW YORK, NY 10036		PC	2017 MEMBERSHIP CONTRIBUTION	13,730
PHOENIX ASSOCIATION INC 5 RIVER ROAD SUITE 243 WILTON, CT 06897		PC	2017 UNRESTRICTED DISCRETIONARY GRANT	5,000
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAM STREET 10TH FLOOR NEW YORK, NY 10038		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
PLANNED PARENTHOOD MAR MONTE INC DEVELOPMENT DEPARTMENT 1605 THE ALAMEDA SAN JOSE, CA 95126		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	5,000
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PLANNED PARENTHOOD OF SOUTHERN NEW ENGLAND INC 345 WHITNEY AVENUE NEW HAVEN, CT 06511		PC	2016 STAFF DISCRETIONARY GRANT	500
PLANNED PARENTHOOD SOUTH ATLANTIC 4822 ALBEMARLE ROAD SUITE 104 CHARLOTTE, NC 28205		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	4,000
PLANNED PARENTHOOD SOUTHEASTERN PA 1144 LOCUST STREET PHILADELPHIA, PA 19107		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
POLICE ATHLETIC LEAGUE 34 1/2 EAST 12TH STREET NEW YORK, NY 10003		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,500
PRIMARY STAGES COMPANY INC 307 WEST 38TH STREET SUITE 1510 NEW YORK, NY 10018		PC	PLAYWRIGHT RESIDENCY FOR SHARON WASHINGTON	75,000
<b>Total . . . . . ▶</b>				16,756,272
<b>3a</b>				



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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PRISON COMMUNITIES INTERNATIONAL INC 12 HUNTVILLE ROAD KATONAH, NY 105362002		PC	UNRESTRICTED FUNDING	35,000
PRO PUBLICA INC 155 6TH AVENUE 13TH FLOOR NEW YORK, NY 10013		PC	2016 STAFF DISCRETIONARY GRANT	200
PROJECT CITIZENSHIP INC 4 FANEUIL HALL SOUTH MARKET BUILDING 3RD FLOOR SUITE 4025 BOSTON, MA 02109		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,500
RATTLESTICK PRODUCTIONS INC 224 WAVERLY PLACE NEW YORK, NY 10014		PC	PLAYWRIGHT RESIDENCY FOR DIANA OH	75,000
REFUGEE SUPPORT SERVICES OF THE CAROLINAS INC GALILEE CENTER 3601 CENTRAL AVENUE CHARLOTTE, NC 28205		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	2,500
<b>Total . . . . . ▶</b> <b>3a</b>				16,756,272

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
REGIONAL YOUTH ADULT SOCIAL ACTION PARTNERSHIP INC 2470 FAIRFIELD AVENUE BRIDGEPORT, CT 06605		PC	2016 STAFF DISCRETIONARY GRANT	265,100
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 524 WEST 59TH STREET ROOM 623T NEW YORK, NY 10019		PC	JOHN JAY COLLEGE 2014 PRI ADVOCACY FELLOWS	250,000
ROUNABOUT THEATRE COMPANY INC 231 W 39TH STREET SUITE 1200 NEW YORK, NY 10018		PC	PLAYWRIGHT RESIDENCY FOR ALEX LUBISCHER	121,500
SAN FRANCISCO PUBLIC HEALTH FOUNDATION 367 CEDAR STREET SUITE 410 NEW HAVEN, CT 06510		PC	BUILDING TRANSITIONS CLINIC NETWORK IN CONNECTICUT-TC- BRIDGEPORT	150,000
SKYS THE LIMIT FUND 510A VALLEY WAY MILPITAS, CA 95035		PC	2017 DISCRETIONARY GRANT FOR TRUE NORTH FUND	5,000
<b>Total</b> . . . . . ▶				16,756,272
<b>3a</b>				


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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOHO REPERTORY THEATRE INC 401 BROADWAY SUITE 300 NEW YORK, NY 10013		PC	2017 RENOVATION CAMPAIGN CHALLENGE GRANT	50,000
SOUTHERN POVERTY LAW CENTER INC 400 WASHINGTON AVENUE MONTGOMERY, AL 36104		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,000
ST LUKES FOUNDATION INC 377 NORTH WILTON ROAD NEW CANAAN, CT 068402317		PC	2017 UNRESTRICTED DISCRETIONARY GRANT	5,000
THE COLLEGE AND COMMUNITY FELLOWSHIP INC 475 RIVERSIDE DRIVE SUITE 1626 NEW YORK, NY 10115		PC	UNRESTRICTED FUNDING	35,000
THE COUNCIL OF STATE GOVERNMENTS 22 CORTLANDT STREET 22ND FLOOR NEW YORK, NY 10007		PC	UNRESTRICTED FUNDING	100,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE FAIRFIELD COUNTY COMMUNITY FOUNDATION INC 383 MAIN AVENUE NORWALK, CT 068511543		PC	2017 DISCRETIONARY GRANT IN MEMORY OF SUSAN ROSS	500
THE FORUM FOR YOUTH INVESTMENT 7064 EASTERN AVENUE WASHINGTON, DC 20012		PC	SPARKACTION 2017 DISCRETIONARY GRANT	20,000
THE JEWISH FOUNDATION FOR THE RIGHTEOUS INC 305 7TH AVENUE 19TH FLOOR NEW YORK, NY 10001		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,500
THE LEGAL AID SOCIETY 199 WATER STREET NEW YORK, NY 10038		PC	2017 DIRECTOR DISCRETIONARY GRANT	3,000
THE MARSHALL PROJECT INC 156 W 56TH ST SUITE 701 NEW YORK, NY 10019		PC	THE MARSHALL PROJECT/CUNY TOW FELLOW	100,000
<b>Total</b> . . . . . <b>3a</b>				16,756,272


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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE NEW YORK IMMIGRATION COALITION INC 131 W 33RD STREET SUITE 610 NEW YORK, NY 10001		PC	2016 STAFF DISCRETIONARY GRANT	450
THE SALVATION ARMY 120 W 14TH STREET NEW YORK, NY 10011		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,000
THE SMILE TRAIN 41 MADISON AVE 28TH FLOOR NEW YORK, NY 10010		PC	2017 DIRECTOR DISCRETIONARY GRANT	2,000
THE WINIFRED MASTERSON BURKE REHABILITATION HOSPITAL 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
THEATRE FOR A NEW AUDIENCE INC 154 CHRISTOPHER STREET SUITE 3D NEW YORK, NY 10014		PC	2017 NYC PUBLIC SCHOOL SHAKESPEARE EDUCATION PROGRAMS	10,000
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TIDES CENTER 568 BROADWAY SUITE 701 NEW YORK, NY 10012		PC	UNRESTRICTED FUNDING	35,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 630 W 168TH STREET PS ROOM 5-423 NEW YORK, NY 10032		PC	CHALLENGE GRANT FOR RESEARCH AND CLINICAL CARE	1,225,000
TRUSTEES OF COLUMBIA UNIVERSITY 415 WEST 116TH STREET BOX 1-17 NEW YORK, NY 10027		PC	2017 DIRECTOR DISCRETIONARY GRANT	1,000
UNITED JEWISH CHARITIES OF GREATER CHARLOTTE INC 5007 PROVIDENCE ROAD CHARLOTTE, NC 28226		PC	2017 DIRECTOR'S DISCRETIONARY GRANT	1,000
UNIVERSITY OF NEW HAVEN 300 BOSTON POST RD WEST HAVEN, CT 065161916		PC	TOW YOUTH JUSTICE INSTITUTE	366,667
<b>Total</b> . . . . . <b>3a</b>				16,756,272

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VERA INSTITUTE OF JUSTICE INC 233 BROADWAY 12TH FLOOR NEW YORK, NY 10279		PC	UNRESTRICTED FUNDING	325,000
VISITING NURSE & HOSPICE OF FAIRFIELD COUNTY INC PO BOX 489 WILTON, CT 06897		PC	2017 DIRECTOR DISCRETIONARY GRANT	5,000
VIVIAN BEAUMONT THEATER INC 150 WEST 65TH STREET NEW YORK, NY 10023		PC	2017 DISCRETIONARY GRANT	50,000
WASHINGTON SCHOLARSHIP FUND INC PO BOX 243 WASHINGTON DEPOT, CT 06794		PC	2016 STAFF DISCRETIONARY GRANT	1,000
WATERBURY YOUTH SERVICE SYSTEM INC 83 PROSPECT STREET WATERBURY, CT 06702		PC	JUVENILE JUSTICE ADVOCACY	50,000
<b>Total</b> . . . . . 				16,756,272
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WESLEYAN UNIVERSITY OFFICE OF FOUNDATION GRANTS WESLEYAN UNIVERSITY 237 HIGH STREET MIDDLETOWN, CT 06459		PC	UNRESTRICTED FUNDING	50,000
WESTCHESTER CHILDREN'S ASSOCIATION 470 MAMARONECK AVENUE WHITE PLAINS, NY 10605		PC	UNRESTRICTED FUNDING	25,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135		PC	FRONTLINE/COLUMBIA JOURNALISM SCHOOL FELLOWSHIP	83,000
WILLIAM J BRENNAN JR CENTER FOR JUSTICE INC 120 BROADWAY SUITE 1750 NEW YORK, NY 10271		PC	LAW ENFORCEMENT LEADERS	75,000
WINGS CLUB OF NY FOUNDATION 200 PARK AVENUE SUITE 176 NEW YORK, NY 10166		PC	2016 DISCRETIONARY GRANT	5,000
<b>Total . . . . .</b> ► <b>3a</b>				16,756,272



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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WNET825 EIGHTH AVENUE 14TH FLOOR NEW YORK, NY 10019			2017 DISCRETIONARY GRANT	50,000
YELLOWSTONE FOREVERPO BOX 117 YELLOWSTONE NATIONAL P, WY 82190			2017 DISCRETIONARY GRANT	5,000
YOUTH CONTINUUM INC 141 VALLEY STREET NEW HAVEN, CT 06515			UNRESTRICTED FUNDING	40,000
YOUTH POLICY INSTITUTE OF IOWA 6200 AURORA AVE STE 206E DES MOINES, IA 50322			2017 UNRESTRICTED FUNDING TO SUPPORT THE YOUTH TRANSITION FUNDERS GROUP AND THE JUVENILE JUSTICE WOR	15,000
YOUTH REPRESENT INC 11 PARK PLACE ROOM 1512 NEW YORK, NY 10007			UNRESTRICTED FUNDING	75,000
<b>Total . . . . .</b> ▶				16,756,272
<b>3a</b>				

**TY 2017 Accounting Fees Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING	80,520	40,260		40,260
TAX SERVICES	10,900	5,450		5,450

**TY 2017 General Explanation Attachment****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1		FORM 990PF, PART VII-B LINE 1(A)3 & 4	THE ORGANIZATION PAYS COMPENSATION TO ONE OF ITS BOARD MEMBERS FOR HER DUTIES AS EXECUTIVE DIRECTOR OF THE ORGANIZATION IN ADDITION THE ORGANIZATION REIMBURSES THIS BOARD MEMBER FOR ORDINARY AND NECESSARY BUSINESS EXPENSES THIS MEETS THE DEFINITION OF SELF-DEALING AND AS SUCH, PART VII-B QUESTIONS 1A(3 AND 4) HAVE BEEN ANSWERED YES HOWEVER, THE PAYMENTS ARE REASONABLE AND NOT EXCESSIVE THE PAYMENT DOES NOT FAIL TO QUALIFY AS AN EXCEPTION AND THEREFORE PART VII-B QUESTION 1B HAS BEEN ANSWERED NO

**TY 2017 Investments Corporate Bonds Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
DEUTSCHE BANK- CORP. BONDS	15,334,682	15,411,228
FIRST REPUBLIC FIXED INCOME - CORP. BONDS	16,552,054	16,536,375
MORGAN STANLEY - CORP. NOTES	2,893,265	2,915,810

**TY 2017 Investments Corporate Stock Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
MERRILL LYNCH - CORP STOCK	22,049,424	26,717,317
MORGAN STANLEY - STOCKS	9,592,995	11,307,477
NEUBERGER BERMAN EQUITY	9,581,432	11,093,378

**TY 2017 Investments Government Obligations Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**US Government Securities - End  
of Year Book Value:**

18,556,869

**US Government Securities - End  
of Year Fair Market Value:**

18,475,820

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2017 Investments - Other Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
CITIBANK OFFSHORE INVESTMENTS	AT COST	2,196,919	1,856,519
GOLUB CAPITAL PARTNER OFFSHORE INVESTMENTS	AT COST	7,275,000	7,458,783
MERRILL LYNCH TRUST & PIA	AT COST	20,970,580	23,136,947
MORGAN STANLEY - MUTUAL FUNDS	AT COST	13,795,938	14,699,887
VANGUARD BROKERAGE INVESTMENTS - EQUITY FUND	AT COST	12,688,678	14,002,845
VANGUARD BROKERAGE INVESTMENTS - FIXED INCOME	AT COST	11,286,399	11,318,853

**TY 2017 Legal Fees Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL	13,674	0		13,674



**TY 2017 Other Assets Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LIFE INSURANCE POLICIES	27,107,125	27,107,125	49,883,031

**TY 2017 Other Expenses Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK ,CR CARD, WIRES, INT , STOCK CHGS	3,457	2,711		746
BOARD, INVESTMENT COMMITTEE EXPENSES	30,000	30,000		0
BOND AMORTIZATION	343,141	343,141		0
COMMUNICATION EXPENSES	4,188	0		4,188
COMPUTER EXPENSES	120,526	0		120,526
GIFTS,REMUNERATION BOARD	3,092	0		3,092
INSURANCE	509,718	498,743		10,975
MEMBERSHIP DUES	3,570	0		3,570
MISCELLANEOUS,GIFTS, CHAR EXP	42	0		42
OFFICE EXPENSES	3,382	0		3,382

**Other Expenses Schedule**

<b>Description</b>	<b>Revenue and Expenses per Books</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
PAYROLL PROCESSING FEES	2,013	0		2,013
REIMBURSABLE EXPENSES	-522	0		-522
STAFF PROFESSIONAL DEVELOPMENT	2,912	0		2,912
STATE INCORP FILING FEES	100	0		100
TELEPHONE EXPENSES	18,187	0		18,187

**TY 2017 Other Income Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GOLUB CAPITAL PARTNERS	613,304	613,304	613,304
ADELPHIA SETTLEMENT	474,694	474,694	474,694
CITIBANK	711,450	711,450	711,450

**TY 2017 Other Liabilities Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045

<b>Description</b>	<b>Beginning of Year - Book Value</b>	<b>End of Year - Book Value</b>
PREPAID	83,608	82,799
CREDIT CARDS	0	6,758

**TY 2017 Other Professional Fees Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
CITIBANK FEES	24,000	24,000		0
DEUTSCHE BANK INVESTMENT FEES	132,449	132,449		0
MERRILL LYNCH INVESTMENT FEES	226,069	226,069		0
FIRST REPUBLIC	55,755	55,755		0
MORGAN STANLEY INVESTMENT FEES	144,373	144,373		0
NEUBERGER BERMAN INVESTMENT FEES	123,371	123,371		0

**TY 2017 Taxes Schedule****Name:** THE TOW FOUNDATION**EIN:** 06-6484045

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAX	50,490	50,490		0
US TAXES	126,002	0		0

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047  
**2017**

**Name of the organization**  
THE TOW FOUNDATION

**Employer identification number**  
06-6484045

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)



<b>Name of organization</b> THE TOW FOUNDATION	<b>Employer identification number</b> 06-6484045
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LEONARD TOW <hr/> 50 LOCUST AVENUE <hr/> NEW CANAAN, CT068404737	\$ 5,062,270	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>

<b>Name of organization</b> THE TOW FOUNDATION	<b>Employer identification number</b> 06-6484045
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**Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	3,000,000 CONNECTICUT STATE BONDS & 2,000,000 INDIANAPOLIS IND BONDS	\$ 5,062,270	2017-08-16
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____
_____	_____ _____ _____	_____ \$ _____	_____ _____

<b>Name of organization</b> THE TOW FOUNDATION	<b>Employer identification number</b> 06-6484045
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**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____