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Form 990-PF

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

1905

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning JUN 1, 2018 and ending MAY 31, 2019

Name of foundation: The Elinor Patterson Baker Foundation. A Employer identification number: 06-6276403. B Telephone number: (203) 863-2625. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$50,321,937. J Accounting method: Cash.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (Total 4,535,018), Operating and Administrative Expenses (Total 2,910,383), and Net investment income (4,412,523).

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SCANNED OCT 17 2019

Operating and Administrative Expenses

RECEIVED AUG 1 2018

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	281,861.	155,122.	155,122.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock Stmt 5	14,368,433.	16,463,325.	20,696,835.
	c Investments - corporate bonds Stmt 6	3,253,420.	3,145,923.	3,153,559.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 7	25,778,173.	25,542,152.	26,316,421.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	43,681,887.	45,306,522.	50,321,937.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted and complete lines 24 through 26, and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	43,681,887.	45,306,522.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	43,681,887.	45,306,522.		
31 Total liabilities and net assets/fund balances	43,681,887.	45,306,522.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,681,887.
2 Enter amount from Part I, line 27a	2	1,624,635.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	45,306,522.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,306,522.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a Publicly Traded Securities	P		
b Publicly Traded Securities	P		
c Capital Gains Dividends			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,223,648.		7,219,636.	3,004,012.
b 5,111,365.		6,018,979.	<907,614.>
c 1,636,258.			1,636,258.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			3,004,012.
b			<907,614.>
c			1,636,258.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,732,656.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	2,550,125.	52,400,499.	.048666
2016	2,175,802.	46,530,982.	.046760
2015	2,437,132.	44,951,735.	.054217
2014	2,287,732.	48,069,876.	.047592
2013	2,424,289.	45,671,250.	.053081

2 Total of line 1, column (d)	2	.250316
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.050063
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	51,927,315.
5 Multiply line 4 by line 3	5	2,599,637.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	44,125.
7 Add lines 5 and 6	7	2,643,762.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	2,711,243.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Line 1: 44,125. Line 2: 0. Line 3: 44,125. Line 4: 0. Line 5: 44,125. Lines 6a-6d: 0. Line 7: 0. Line 8: 1,818. Line 9: 45,943. Line 10: 0. Line 11: 0. Total due (Line 9) is 45,943.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. 1a: No. 1b: No. 1c: No. 1d: 0. 1e: 0. 2: No. 3: No. 4a: No. 4b: N/A. 5: No. 6: No. 7: No. 8a: CT. 8b: No. 9: No. 10: No.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ BNY Mellon, N.A. - Nancy Bassett Telephone no ▶ (203) 863-2625 Located at ▶ 10 Mason Street, Greenwich, CT ZIP+4 ▶ 06830		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) <ul style="list-style-type: none"> (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) <ul style="list-style-type: none"> a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____ 	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to		Yes		No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A <input type="checkbox"/>	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N.A. 10 Mason Street Greenwich, CT 06830	Trustee 40.00	281,242.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
3 All other program-related investments See instructions	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	52,426,781.
b	Average of monthly cash balances	1b	291,305.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	52,718,086.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	52,718,086.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	790,771.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	51,927,315.
6	Minimum investment return. Enter 5% of line 5	6	2,596,366.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,596,366.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	44,125.
b	Income tax for 2018 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	44,125.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,552,241.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,552,241.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,552,241.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,711,243.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,711,243.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	44,125.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,667,118.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,552,241.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013	184,798.			
b From 2014				
c From 2015	250,895.			
d From 2016				
e From 2017	5,325.			
f Total of lines 3a through e	441,018.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$	2,711,243.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				2,552,241.
e Remaining amount distributed out of corpus	159,002.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	600,020.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	184,798.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	415,222.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015	250,895.			
c Excess from 2016				
d Excess from 2017	5,325.			
e Excess from 2018	159,002.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2018, (b) 2017, (c) 2016, (d) 2015, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed BNY Mellon, N.A., Trustee of the ELINOR PATTERSON BAKER TRUST FUND

b The form in which applications should be submitted and information and materials they should include See Copy of Information Form which is attached

c Any submission deadlines None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors See attached Schedule

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See Schedule Attached	None	PC	All for unrestricted, general charitable purposes	2,532,500.
Total				2,532,500.
b Approved for future payment				
None				
Total				0.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1- Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Content: NOT APPLICABLE

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Content: N/A

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge
Signature of officer or trustee: Nancy H. Barnett UP, Date: 7/25/19, Title: Vice President

Paid Preparer Use Only Form section containing fields for Preparer's name (Albert C. Rua), Signature, Date (7/17/19), Firm's name (Cummings & Lockwood LLC), Firm's address (PO Box 2505, Greenwich, CT 06836), Firm's EIN (06-0312590), and Phone no (203-869-1200).

May the IRS discuss this return with the preparer shown below? See instr [X] Yes [] No

Form 990-PF		Dividends and Interest from Securities			Statement	1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
BNY Mellon, N.A.	2,438,620.	1,636,258.	802,362.	802,362.		
To Part I, line 4	2,438,620.	1,636,258.	802,362.	802,362.		

Form 990-PF		Legal Fees			Statement	2
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes		
Cummings & Lockwood LLC, tax, legal and accounting services	19,320.	9,660.		9,660.		
To Fm 990-PF, Pg 1, ln 16a	19,320.	9,660.		9,660.		

Form 990-PF		Taxes			Statement	3
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes		
U.S. Treasury, Excise Tax	76,645.	0.		0.		
To Form 990-PF, Pg 1, ln 18	76,645.	0.		0.		

Form 990-PF		Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes		
Treasurer State of CT, probate court fees	676.	338.		338.		
To Form 990-PF, Pg 1, ln 23	676.	338.		338.		

Form 990-PF	Corporate Stock	Statement	5
<u>Description</u>		<u>Book Value</u>	<u>Fair Market Value</u>
Schedule Attached		16,463,325.	20,696,835.
Total to Form 990-PF, Part II, line 10b		<u>16,463,325.</u>	<u>20,696,835.</u>

Form 990-PF	Corporate Bonds	Statement	6
<u>Description</u>		<u>Book Value</u>	<u>Fair Market Value</u>
Schedule Attached		3,145,923.	3,153,559.
Total to Form 990-PF, Part II, line 10c		<u>3,145,923.</u>	<u>3,153,559.</u>

Form 990-PF	Other Investments	Statement	7
<u>Description</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Schedule Attached	COST	25,542,152.	26,316,421.
Total to Form 990-PF, Part II, line 13		<u>25,542,152.</u>	<u>26,316,421.</u>

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
Cash and Cash Equivalents			
	Federated Govt Oblig Fund #395	\$ 155,121.62	\$ 155,121.62
	Total Cash and Cash Equivalents	<u>\$ 155,121.62</u>	<u>\$ 155,121.62</u>
No. of Shares	Stocks		
3,170	Abbott Labs Inc.	\$ 241,332.10	\$ 169,090.94
662	Accenture Ltd., Class A	117,882.34	114,693.82
878	Adobe Sys Inc	237,850.20	100,451.65
9,140	Advanced Micro Devices Inc.	250,527.40	217,743.39
471	Align Technology Inc	133,928.85	72,805.33
829	Allergan Inc	101,063.39	133,319.60
502	Alphabet Inc.	555,463.00	403,886.57
394	Amazon.Com Inc.	699,377.58	103,287.87
3,660	American Int'l Group, Inc.	186,916.20	147,914.62
1,938	American Tower Corp	404,596.26	235,155.33
1,667	Apple Inc.	291,841.69	268,461.42
413	Arista Networks Inc.	101,015.67	105,705.78
1,060	Atlassian Corp PLC	133,432.80	60,291.90
14,660	Bank of America Corp.	389,956.00	241,888.78
559	Boeing Co	190,959.99	197,331.60
5,540	Boston Scientific Corp.	212,791.40	203,574.16
2,300	Bristol Myers Squibb Co.	104,351.00	122,283.54
2,463	Celanese Corp Ser A	233,812.59	101,826.60
4,066	Chevron Corp.	462,914.10	415,359.78

**Schedule B-1
Balance On Hand**

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Stocks	Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
4,690	Cisco Systems Inc.	\$ 244,020.70	\$ 221,777.70
1,534	CME Group Inc.	294,712.08	274,253.78
8,440	Comcast Corp. CL A	346,040.00	303,729.40
2,830	Comerica Inc.	194,760.60	268,638.34
997	Constellation Brands	175,920.65	157,626.36
1,030	Costco Wholesale Corp.	246,767.40	146,035.45
4,460	CSX Corp.	332,136.20	172,502.10
3,930	CVS Health Corp.	205,814.10	289,253.13
1,720	Deere & Co	241,092.40	221,977.67
2,574	Dollar Tree Inc	261,492.66	255,933.37
2,016	Dow Inc.	94,268.16	105,767.06
3,140	Dowdupont Inc.	95,832.80	104,624.52
2,420	Eaton Corp.	180,265.80	99,588.25
469	Equinix Inc	227,835.51	202,237.35
1,480	Exact Sciences Corp	153,372.40	96,321.87
5,550	Exelon Corp	266,844.00	208,585.07
3,820	Exxon Mobil Corp.	270,341.40	292,087.90
3,466	Facebook Inc CL A	615,111.02	405,504.33
10,390	General Electric Company	98,081.60	111,567.82
1,590	Gilead Sciences Inc	98,977.50	113,480.92
1,081	Home Depot Inc.	205,227.85	56,774.80
2,142	Honeywell Intl Inc	351,952.02	82,121.63

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Stocks	Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
1,891	Illinois Tool Works Inc.	\$ 264,059.24	\$ 164,757.65
419	Illumina Inc.	128,595.29	133,711.44
3,650	Ingersoll-Rand Company	431,941.00	136,507.00
1,813	Johnson & Johnson	237,774.95	250,423.12
4,022	JP Morgan Chase & Co.	426,171.12	406,908.25
634	LAM Research Corp	110,702.74	107,097.30
11,270	Marvell Technology Group	251,321.00	233,437.38
1,620	Medtronic Inc.	149,979.60	141,424.99
4,350	Merck & Co. Inc.	344,563.50	268,154.18
8,470	MGM Resorts Intl	210,225.40	276,918.95
9,100	Micron Technology Inc.	296,751.00	347,642.62
5,744	Microsoft Corp.	710,417.92	537,631.37
7,800	Mondelez International	396,630.00	328,911.78
1,785	Monster Beverage Company	110,420.10	48,552.75
710	Netflix Com Inc.	243,728.80	259,299.83
1,625	Nextera Energy Inc	322,091.25	271,759.75
4,536	Nike Inc., Cl B	349,907.04	294,388.11
1,920	Nutanix Inc.	53,894.40	100,919.36
1,991	Paypal Holdings Inc.	218,512.25	213,934.09
4,960	Pfizer Inc.	205,939.20	192,712.27
4,273	Philip Morris Intl Inc.	329,576.49	344,342.12
1,121	Pioneer Natural Resources Co.	159,137.16	171,583.39
2,483	Procter & Gamble	255,525.53	231,464.34
1,966	Salesforce.Com Inc.	297,672.06	185,783.16

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
No. of Shares	Stocks		
5,100	Schwab Charles Corp.	\$ 212,211.00	\$ 163,483.15
1,707	Splunk Inc.	194,580.93	164,067.09
3,120	Square Inc.	193,284.00	227,360.72
3,260	State Street Corp.	180,115.00	259,387.07
1,009	Svb Financial Group	203,212.60	195,627.57
7,610	Synchrony Financial	255,924.30	212,371.49
1,250	T-Mobile US Inc.	91,800.00	83,394.78
5,470	Taiwan Semiconductor Mfg Co Adr Sponsored	209,774.50	232,946.86
1,482	Texas Instruments Inc.	154,587.42	161,844.11
880	Thermo Fisher Scientific Inc	234,942.40	232,125.19
956	United Health Group Inc	231,160.80	146,484.47
1,827	Valero Energy Corp	128,620.80	86,253.24
2,130	Verizon Communications	115,765.50	118,261.20
3,156	Visa Inc CL A	509,157.48	246,215.39
4,500	Voya Financial Group	229,185.00	155,008.05
2,794	Walt Disney Company	368,919.76	209,029.65
2,870	Western Digital Corp	106,821.40	154,176.22
3,130	YUM Brands Inc	320,355.50	161,562.41
	Total Stocks	<u>\$ 20,696,834.84</u>	<u>\$ 16,463,325.36</u>
Par Value	Bonds, Debentures & T-Bills		
75,000	Abbvie Inc. 3.75% 11/14/23	\$ 77,253.75	\$ 75,696.00
60,000	Actavis Fnding 3.45% 3/15/22	60,360.60	61,680.60

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

Par Value	Bonds, Debentures & T-Bills	Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
75,000	Activision 2.6% 6/15/22	\$ 74,957.25	\$ 75,213.75
65,000	Aercap Ireland 4.5% 5/15/21	66,756.30	68,142.20
80,000	Amgen Inc. 2.65% 5/11/22	80,029.60	77,853.60
60,000	Anheuser-Busch 3.3% 2/1/23	61,039.80	60,456.60
80,000	AT&T Inc 4.6% 2/15/21	82,257.60	85,050.40
60,000	B N S 2.5% 1/8/21	60,121.20	59,082.00
70,000	B P Capital 3.245% 5/6/22	71,590.40	72,347.75
65,000	Banco Santander 3.125% 2/23/23	64,661.35	62,541.75
50,000	Bank of America 2.881% 4/24/23	50,056.00	48,635.00
75,000	Barclays PLC 3.2% 8/10/21	74,900.25	73,881.00
75,000	Biogen Inc 2.9% 9/15/20	75,219.00	74,910.00
75,000	Boston Properties 4.125% 5/15/21	76,911.75	78,546.75
16,800	California ST Earthquake Auth 2.805% 7/1/19	16,799.83	16,798.63
80,000	Citigroup 3.142% 1/24/23	80,545.60	78,544.80
60,000	Constellation Brands 2.25% 11/6/20	59,498.40	59,882.40
65,000	Credit Suisse Grp 3.8% 6/9/23	66,569.75	66,346.80
75,000	CVS Health Corp 3.7% 3/9/23	76,569.00	74,328.00
65,000	Deutsche Bank 3.375% 5/12/21	64,879.75	64,700.35
75,000	Discovery Commun 2.95% 3/20/23	74,979.00	71,829.00

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value		Fiduciary
		05/31/2019		Acquisition Value
				05/31/2019
Par	Bonds, Debentures & T-Bills			
Value				
50,000	Dowdupont Inc 4.205% 11/15/23	\$ 52,606.00		\$ 52,331.50
65,000	EBAY Inc. 3.8% 3/9/22	66,697.15		65,408.85
60,000	Electronic Arts 3.7% 3/1/21	61,120.20		60,970.80
100,000	Esc Lehman Brothers Holdings 12/30/2016	1,720.00		1.00
65,000	Fidelity Natl Info 2.25% 8/15/21	64,501.45		65,110.15
75,000	Florida ST Hurricane 2.995% 7/1/20	75,533.25		77,313.20
80,000	Ford Motor Credit 3.2% 1/15/21	79,809.60		81,642.40
65,000	General Dynamics 3% 5/11/21	65,805.35		64,548.25
55,000	General Motors Finl 3.2% 7/6/21	54,936.75		54,899.35
45,000	Glaxosmithkline Cap 3.375% 5/15/23	46,350.90		44,936.55
80,000	Goldman Sachs 2.875% 2/25/21	80,325.60		79,830.40
65,000	HSBC PLC 2.95% 5/15/21	65,268.45		65,216.45
65,000	Intel Corp 2.45% 7/9/20	65,017.55		66,046.50
80,000	Intercontinental Exchange 2.75% 12/1/20	80,229.60		80,331.20
60,000	John Deere 2.15% 9/8/22	59,487.00		59,878.20
80,000	JPMorgan Chase 3.25% 9/23/22	81,460.80		79,637.60
80,000	Lloyds Banking 3% 1/11/22	79,956.80		80,866.40

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
	Par Value		
	Bonds, Debentures & T-Bills		
70,000	McCormick & Co. 2.7% 8/15/22	\$ 69,930.70	\$ 68,376.00
85,000	Morgan Stanley 2.625% 11/17/21	84,824.90	83,906.90
60,000	Nbc Universal 4.375% 4/1/21	62,029.20	66,769.80
80,000	Petroleos Mexicanos 5.5% 1/21/21	82,200.00	84,992.00
80,000	Rabobank Nederland 3.95% 11/9/22	82,094.40	84,288.80
70,000	Telefonica Emisiones 5.462% 2/16/21	73,203.20	76,808.20
50,000	Unitedhealth Group Inc. 3.5% 6/15/23	51,638.00	49,975.00
80,000	Verizon Communications 2.946% 3/15/22	81,031.20	78,211.20
70,000	Wells Fargo & Co. 2.625% 7/22/22	69,824.30	67,158.70
	Total Bonds, Debentures & T-Bills	<u>\$ 3,153,558.53</u>	<u>\$ 3,145,922.78</u>
	No. of Shares		
	Mutual Funds		
211,441.642	BNY Mellon Emerging Markets FD CL M	\$ 2,120,759.67	\$ 2,139,218.28
117,399.278	BNY Mellon Income Stock Fund	965,022.07	937,967.75
68,993.928	BNY Mellon Int'l Equity Income Fund	867,943.61	950,000.00
176,262.968	BNY Mellon Int'l Fd	2,145,120.32	2,000,000.00
59,584.861	BNY Mellon Intermediate Bd Fd	746,598.31	772,558.27

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Mutual Funds	Fair Market Value 05/31/2019	Fiduciary Acquisition Value 05/31/2019
354,247.758	BNY Mellon Mid Cap Multi Strat Fund	\$ 5,859,257.92	\$ 4,909,686.84
102,595.391	BNY Mellon Sm/Mid Cap-M	1,266,027.12	1,406,947.83
170,147.846	Dreyfus Altern Diver Str	2,108,131.81	2,149,647.04
35,824.213	Dreyfus Diversified Em Mk	774,877.73	750,352.92
73,729.326	Dreyfus Floating Rate Income Fund	864,107.70	925,000.00
83,058.594	Dreyfus High Yield Fund	498,351.56	532,589.03
107,836.088	Dreyfus Int'l Small Cap	1,374,910.12	1,500,000.00
80,279.163	Dreyfus Int'l Stock Fund	1,495,600.81	1,176,336.49
53,405.328	Dreyfus Opport Sm Cap Y	1,316,441.34	1,608,274.34
57,234.734	Dreyfus Select Mger S/C Gwth	1,377,067.70	1,199,355.37
64,083.925	Dreyfus Select Mger S/C Value	1,301,544.52	1,410,144.88
63,445.993	Dreyfus/Newton Int'l Eqty	1,234,659.02	1,174,072.96
	Total Mutual Funds	<u>\$ 26,316,421.33</u>	<u>\$ 25,542,152.00</u>
	Total Schedule B-1	<u>\$ 50,321,936.32</u>	<u>\$ 45,306,521.76</u>

Schedule B-1 (Continued)

Balance On Hand

RETURN TO:

Nancy Bassett
BNY Mellon, Trustee
Elmor Patterson Baker Trust
10 Mason Street
Greenwich, CT 06830

A. Please identify your organization's main focus of activity:

Animal Protection

- Shelter
- Non-shelter
- Animal Care, Rescue, Spay/Neuter
- Wildlife Conservation
- Habitat & Environmental Protection

B. Organization Address and Contact Details:

~~NO GRANTS WILL BE AWARDED FOR THIS SECTION IS NOT COMPLETED~~

Official Organization Name _____
(As Listed with the IRS)

Location - City, State: _____

Contact Person: _____

Telephone Number: _____

Email: _____

Website: _____

EIN: _____

Date of Incorporation: _____

Operating Budget: _____

Board Size: _____ # of Meetings: _____

Paid Employees: Full Time: _____ Part Time: _____

Volunteers: _____

Number of Animals Handled: _____

Number Adopted/Reclaimed: _____

~~NO GRANTS WILL BE AWARDED FOR THIS SECTION IS NOT COMPLETED~~

Project/Grant	Date	Project/Grant	Date

Please list at least three major areas of concentration and describe briefly the programs and activities being utilized to accomplish your objectives in each category.

(Additional programs or activities may be described on the reverse or materials attached.)

(1) _____

(2) _____

(3) _____

Please list one or more areas of programming or projects (including building, equipment needs) for which funding is most needed. Include a description of the program or project, anticipated duration and estimated funding needed.

Is other funding available or being sought? Please describe, indicating amount requested.

If the program or project for which funding is being sought is of a continuing duration, please indicate how you propose to raise funds for subsequent years.

D. Basic Organizational Information:

<u>NAME</u>	<u>ADDRESS</u>	<u>TELEPHONE #</u>
_____ President	_____ _____	_____
_____ Chairman, Board	_____ _____	_____
_____ Vice President	_____ _____	_____
_____ Secretary	_____ _____	_____
_____ Treasurer	_____ _____	_____
_____ Chief Operating Officer	_____ _____	_____

Number of Executive Committee Meetings last year: _____

How are Board members chosen, and for how long do they serve: _____

Do you hold annual (or more frequent) general membership meetings? _____

Date of last meeting: _____

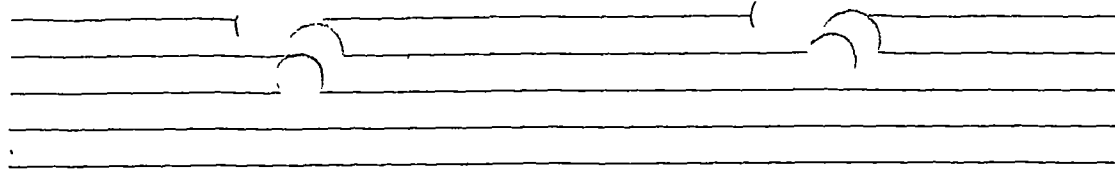
E. Financial Information:

Previous year income: _____ Previous year expenses: _____

Previous Year-end Endowment market value: _____

Source of income for previous year (please give in % - the total should be 100)

Membership dues	
Direct mail, general contributions, major gifts	
Events & fund-raisers (e.g. bingo nights, dog walks, galas)	
Corporate and Foundation grants and donations	
Planned giving - e.g. bequests, annuities	
Sale of goods and services (e.g. thrift store, veterinary fees, adoption fees)	
Municipal/County/City contracts	
Interest and Investment Income	
Other	



F. If your organization operates a shelter or an animal care facility, please complete questions E1 - 16. If not, please go to question F1.

1. Briefly state your policy for accepting animals (e.g. accept all animals brought to you, accept only dogs and cats; accept only dogs that can be adopted).

2 Please complete the table below for Calendar Year: _____

	Owner Relinq.	Strays	Other	Ret. To Owner	Adopted	Euth.	Died or DOA	On hand
Dogs								
Puppies under 4 mos								
Total canines								
Cats								
Kittens under 4 mos								
Total feline								
Pet Rabbits								
Pet rodents								
Pet birds								
Pet Reptiles								
Livestock								
Wildlife								
Other								
TOTAL								

3. Approximately what percentage of dogs and cats brought to you, do you accept?

4. Do you release any animals to research institutions or other organizations? If yes, please provide details.

or longer?

6 Do you charge a fee for taking an animal? (please circle) Y N

If yes, how much?

7 What are your adoption fees?

	Adults	Puppies/Kittens
DOGS		
CATS		

8. When do you follow up on adoptions? (circle all that apply)

After 1 week

After 1 month

After 3 months

After 6 months

9. After six months, please identify what percentage of adopted animals are still with the original adoptive family?

_____ %

_____ Do Not Know

10 Do you require sterilization of adopted dogs, cats and rabbits? (please circle) Y N

11. Do you have a veterinary clinic on site and/or veterinary staff? (please circle) Y N

12. If no, how do you support sterilization of adopted animals? (circle all that apply)

Local/State S/N task force

Voucher program for local veterinarians

Agreement with local practitioners for low cost surgery

13. What method of euthanasia does your shelter use? (circle all that apply)

Sodium pentobarbital injection

Carbon monoxide chamber

Other (please describe)

14. Has your organization adopted the Asilomar principles? (please circle) Y N

15. Does your organization offer animal behavior/obedience classes? (please circle) Y N

16. Does your organization have a formal program to assist adoption families with advice about their adopted animals? (Please circle) Y N

1. Which of the following training did your staff undertake last year.
(indicate number of staff in each category)

Training	# Staff Attended
HSUS Animal Care Expo	
Other National/Regional Meetings	
Local Community College/Police Academy	
University Programs	
Local workshops	
On-line training programs	
In-house training	

2. What is your approximate budget for staff training? _____

3. What is your policy on stray and feral cats? (circle all that apply)

- Do not have one
- Support eradication of stray and feral cats from local habitats
- Trap and euthanize only if requested.
- Follow some variant of a Trap, Neuter, Vaccinate & Return Policy.

4. What is your organization's policy on exotics as pets? (circle all that apply)

- Oppose
- Support with qualifications (provide details)
- Support without qualifications
- Do not have one

5. What is your organization's policy on sport and trophy hunting? (circle all that apply)

- Oppose
- Allow and/or support
- Do not have one

6. What is your organization's policy on trapping for fur? (circle all that apply)

- Oppose
- Allow and/or support
- Do not have one

7. When did your organization last go through a formal strategic planning exercise and what period did the completed plan cover?

- a. Current or proposed budget for next year,
- b. IRS determination Letter or Letters stating that the IRS currently recognizes your organization as a 501(c)(3) tax exempt entity and currently classifies it as either (A) an organization that is not a private foundation because it is described in Section 509(a)(1) or (2) of the Internal Revenue Code of 1986, as amended (the "Code"), or (B) a special type of private operating foundation, known as an "exempt operating foundation", that is described in Code Section 4940(d)(2)
- c. The enclosed Letter Concerning Tax Status signed by an officer of your organization confirming that your organization has the requisite federal tax status and is organized in a jurisdiction that does not impose death taxes or dispositions made to the organization.
- d. Photographs or snapshot of shelter (if applicable), principal publications and/or most recent annual report.

All of the above items must be returned together with this completed information form for consideration. The information you provide will greatly assist the Trustee in making distributions of funds provided through the Will of Elinor Patterson Baker. Incomplete grant proposals will not be reviewed.

Verification of Information Form

The undersigned, on behalf of the above named organization, hereby verifies that all statements made in this Information Form are true and correct and that all documents (including IRS tax determination letters) submitted herewith in support of this organization's application for a grant are true, current and correct, and have not expired or been revoked, terminated, suspended or otherwise changed or modified in a material manner, except as specifically described in this Information Form or an attachment hereto.

BNY Mellon N. A.
Trustee under Article TENTH II of Will
Of Elinor P. Baker, deceased
10 Mason Street
Greenwich, CT 06830

Attention: Nancy Bassett

Dear Sirs:

The undersigned is _____
(Title)

Of _____
(Name of Organization)

(hereafter referred to as the "Organization"), which is applying to the Trustee for a grant from the Elinor Baker Trust

The undersigned understands that the trust will make a grant only to an organization that (1) the Internal Revenue Service currently recognizes as a 501(c)(3) tax-exempt entity and currently classifies as: (A) an organization that is not a private foundation because it is described in Section 509(a)(1), or (2) of the Internal Revenue Code of 1986, as amended (the "Code"), or (B) a special type of private operating foundation, known as an "exempt operating foundation", that is described in Code Section 4940(d)(2), and (2) that is organized in a jurisdiction that does not impose death taxes on dispositions made to the organization (hereinafter, "an organization qualified to receive a grant from the trust").

Accordingly, the undersigned hereby represents that the Organization has submitted its Internal Revenue Service determination letters and rulings currently in effect confirming that it is an organization qualified to receive a grant from the trust, and the undersigned has no reason to believe that the Organization has ceased to qualify, or that the Internal Revenue Service is considering any action that would cause the Organization to cease to qualify, to receive a grant from the trust.

Very truly yours,

Signature

Print Name

Elinor Patterson Baker Trust U/A Tenth (II)
Foundation ID # 06-6276403
Form 990-PF for Fiscal Year Ended 5/31/19
2018 Contribution Summary

Alliance for Contraception in Cats and Dogs, Portland, OR	25,000
Americans for Oxford Inc., New York, NY	150,000
Animal Grantmakers, Inc. Lakewood, CO	2,500
Charleston Animal Society, North Charleston, SC	25,000
Climate Conservation, Bozeman, MT	50,000
Conservancy of Southwest Florida, Naples, Fl	25,000
Feral Care, Inc., Stratford, CT	50,000
Friends of Chicago Animal Care and Control, Inc. Chicago, IL	50,000
Humane Society of Baton Rouge, Baton Rouge, LA	50,000
Humane Society of Greater Kansas, Kansas City, KA	25,000
Humane Society Rochester and Monroe County PCA, Inc. Fairport, NY	40,000
Humane Society of Tulsa, OK	50,000
Humane Society of the United States, Gaithersburg, MD	800,000
Humane Society of Utah, Salt Lake City, UT	50,000
Lifeline Animal Project, Inc. Atlanta, GA	40,000
New England Wildlife Center, Hingham, MA	50,000
Maryland SPCA, Inc., Baltimore, MD	50,000
Massachusetts SPCA, Inc., Boston, MA	30,000
Maui Humane Society, Puunene, HI	100,000
Pan African Sanctuaries Alliance, Portland, OR	60,000
Paws Humane Inc., Columbus, GA	40,000
Pet Community Center Inc., Nashville, TN	30,000
Point Blue Conservation Science, Petaluma, CA	150,000
Santa Fe Animal Shelter, Inc. Santa Fe, NM	50,000
Science and Conservation Center, Inc., Zoo, MT	50,000
Sea Turtle Conservancy, Gainesville, FL	60,000
The Animal Foundation, Las Vegas, NV	40,000
The Marine Mammal Center, Sausalito, CA	50,000
The Spayed Club, Broomall, PA	40,000
Wildlife Center of Virginia, Waynesboro, VA	100,000
Warrior Canine Connection, Inc., Washington, CT	100,000
Wild Earth Allies Inc., Chevy Chase, MD	100,000
Total Distributions to Beneficiaries	<u>\$ 2,532,500</u>