2939308612302

,	۷ :مس	. 9	90-T	Ex	rempt Organiz						ЛВ NO 1040-00-1	1
- '	UIII			١				on 6033(e)) 🏌	113	'- 9	2019	
	\	n d m o.	at of the Transver		ndar year 2019 or other tax Go to <i>www.ir</i> s. <i>gov/Fo</i>	year beginning orm990T for instruction	ons and	, and ending the latest informatio		'		
		nal Re	nt of the Treasury evenue Service		ot enter SSN numbers on t						o Public Inspection (3) Organizations O	
	\ [heck box if ddress changed		Name of organization (Check box if name c	hanged ar	nd see instructions)	D		ntification numbert, see instructions)	r
<u></u>		_	pt under section	,	The Charles A. Dana	Foundation, Incorpo	rated		_	,,	,	
2021	ļ	X 5	01 (C 1/3_)	Print	Number, street, and room	or suite no If a PO. box, se	ee instruct	ions	<u> </u>		036761	
	ļ	4	08(e) 220(e)	or	505 Fifth Avenue, 6th	 			E	Unrelated bus (See instructions	iness activity co	de
57 57	ļ	<u></u> 4	08A 530(a)	Туре	City or town	State		ZIP code		•	•	
	Į	5	29(a)		New York	NY		10017	_			
MAY.					Foreign country name	roreign prov	ince/siate/	county Foreign postal code	'	90	0099	
	;	Book	value of all assets at	F Grou	p exemption number	(See instructions)	>					
SCANNEL		end o	f year 232,258,465	G Che	ck organization type	► X 501(c) corpo	ration	501(c) trust	40	1(a) trust	Other trus	it
	1	En	ter the number of t	he organ	ization's unrelated tra		. •				irst) unrelated	
Ę		tra	de or business her	e <u> </u>	her activity and of the previous se	. l ntence complete Pa	t only ol	ne, complete Parts I-	-V. IT M dule M	iore than on I for each ac	ie, describe thi Iditional	е
Ĭ,		tra	de or business, the	en comple	ete Parts III–V.	interioe, complete i a	rto i and	in, complete a conc	uuic iv	i ioi caon ac	ditional	
ī		Dui	ring the tax year, wa	s the corr	poration a subsidiary in a	an affiliated group or a	parent-s	subsidiary controlled q	roup?	▶	Yes X	No
_		If "	Yes," enter the name	e and iden	itifying number of the pa		·					
2	J		e books are in care					Telephone number	<u> </u>	212-223-40		
1					Business Income	 		(A) Income	(B)	Expenses	(C) Net	 -
			Gross receipts or sa ess returns and allo			c Balance ▶	1c	o				
	2				e A, line 7)	J	2	<u> </u>				
	3		Gross profit. Subtra	-	Tell control of the c		3	o				0
	4		•		ich Schedule D) .		4a					
					Part II, line 17) (attach		4b					
					ists		4c			- part		
	5				ship or an S corporation		_]	,			
	6						6					
	7		-	-	ome (Schedule E)		7					
	8				ents from a controlled orga	anization (Schedule F)	8					
	9				11(c)(7), (9), or (17) organi:		9					
	10		•	-	ome (Schedule I) .		10					
	11				le J)		11	455 540			455	<u>- 10</u>
	12				ns; attach schedule) .		12	455,540 455,540			455, 455,	
_	13			Not To	gh 12 ken Elsewhere (Se	o instructions for	imitatio	ne on deductions) (De	ductions m		540
	Γ c	art			ith the unrelated bu						.001 00	
<u> </u>	14											
~	15	9	Calaries and wages		rectors, and trustees (RECF	VFD			15		
	16	F	Repairs and mainte	enance .						. 16		
۲	17	E	Bad debts .		ee instructions)	- 12 · NUA 12	ncinc.	$\frac{1}{2}$. 17		
	18	li	nterest (attach sch	edule) (s	ee instructions)		י בטבט.	8.		. 18	27	000
	19	Ţ	axes and licenses	b Corre di		OCDEN	1 1 2 7	.] <u>~</u>		. 19	31,	000
	2U 21	L 1	epreciation (attac ess depreciation (n ronn 4: Isimed o	n Schedule A and else	where on return	<u> </u>	21a		21b		
) 20	22	Г	epletion							. 22		
× ≂	23	C	Contributions to de	ferred coi	mpensation plans .					. 23		
E	24	E	mployee benefit p	rograms						. 24		
-	25				schedule I)							
	26	E	xcess readership	costs (Sc	chedule J)	. <i></i>				26		
	27 28	7	oner deductions (8	attacn scr Add lines	nedule) . 14 through 27			• • • • •		28	37	000
	20 29	1	Inrelated business	taxable i	income before net ope	erating loss deduction	n. Subtra	act line 28 from line	3		418,	
	30	Ē	Deduction for net o	perating l	loss arising ın tax year	rs beginning on or aft	ter Janu	ary 1, 2018 (see				
		ji	nstructions)							1 30	4	
-	<u>31</u>				income. Subtract line	30 from line 29	· · · ·	<u> </u>	<u></u>	31	418,	
	For	Pap	erwork Reduction	Act Notic	e, see instructions.					F	orm 990-T (20	J19)

Form **990-T** (2019)

	_	N-T (2019)	The Charles A. Dana Foundation, Incorpo	rated	 	06-6036761	Page 2
G	art		otal Unrelated Business Taxable Income				
32	2	Total of u	nrelated business taxable income computed from all ns) .	unrelated trades or bus	inesses (see	32	418,540
33	3		paid for disallowed fringes			33	O
34	-		e contributions (see instructions for limitation rules) .			4 34	41,854
3		Total unr	elated business taxable income before pre-2018 NO	Ls and specific deduction	n Subtract		
						(7) 35	376,686
30	6	Deduction	n for net operating loss arising in tax years beginning				
		instruction	ns)			\$6	
3	7	Total of u	nrelated business taxable income before specific de	duction Subtract line 36	from line 35.	37	376,686
38	8		leduction (Generally \$1,000, but see line 38 instructi			9 38	1,000
39	9	Unrelate	d business taxable income. Subtract line 38 from li	ne 37 If line 38 is greate	er than line 37,	11	•
	_	enter the	smaller of zero or line 37		<u> </u>	. \\ \$9	375,686
\	art I	ı∧ T	ax Computation				
/ 4	0	Organiz	tions Taxable as Corporations. Multiply line 39 by	21% (0.21) 711/		▶ 40	78,894
4	1		exable at Trust Rates. See instructions for tax comp		h ¢		
		amount	n line 39 from Tax rate schedule or S	chedule D (Form 1041)		▶ 41	
4:	2	Proxy ta	k. See instructions			▶ 42	
4:	3	Alternati	e minimum tax (trusts only)			43	****
4	4		oncompliant Facility Income. See instructions			44	
4			d lines 42, 43, and 44 to line 40 or 41, whichever ap	plies	<u> </u>	. 45	78,894
le .	² art		ax and Payments			1	
, 4	6 a′		ax credit (corporations attach Form 1118, trusts attac				
	b		dits (see instructions)	* '			
	C		pusiness credit. Attach Form 3800 (see instructions)				
	d		prior year mınimum tax (attach Form 8801 or 8827)	46d			_
			dits. Add lines 46a through 46d			. 46e	<u>C</u>
4			line 46e from line 45		· · · ·	47	78,894
4	-		s. Check if from Form 4255 Form 8611 Form 869	7 Form 8866	Other (attach sched	ule) 48	70.00
4			. Add lines 47 and 48 (see instructions)			49 50	78,894
5	о 1 а		965 tax liability paid from Form 965-A or Form 965-Is: A 2018 overpayment credited to 2019		3	ap	
3	b		mated tax payments	1 (1)	100	0,000	
	C		sited with Form 8868	 		5,000	
	d		organizations: Tax paid or withheld at source (see ins				
	e	_	withholding (see instructions)				
	f		small employer health insurance premiums (attach				
	a		dits, adjustments, and payments Form 2439	, s ss. r.,			
	9		4136 Other	Total ▶ 51g		ol (
5	2		ments. Add lines 51a through 51g	10(8) - 319		. 52	100,000
5		•	d tax penalty (see instructions) Check if Form 2220	ıs attached		53	100,000
5			If line 52 is less than the total of lines 49, 50, and 53			54	
5			ment. If line 52 is larger than the total of lines 49, 50		overpaid	U ▶ 55	21,106
\ _8	6		amount of line 55 you want: Credited to 2020 estimated		106 Refunded	·	C
/	art		tements Regarding Certain Activities and		ee instructions)	
5	7		ne during the 2019 calendar year, did the organization				Yes No
•	•		ancial account (bank, securities, or other) in a foreig				
			Form 114, Report of Foreign Bank and Financial Acc	-	-	•	ii
		here >	,	• • • •		0 ,	L X
5	8	During th	e tax year, did the organization receive a distribution fron	n, or was it the grantor of,	or transferor to,	a foreign trust?	. X
		If "Yes,"	see instructions for other forms the organization may	have to file.		•	
5	9	Enter the	amount of tax-exempt interest received or accrued	during the tax year 🕨	\$		
			penalties of perjury, I declare that I have examined this return, including accomplished.			ny knowledge and belie	f, it is true, correct,
S	ign	and	amplete Packaration of preparer (other than taxpayer) is based on all information		wieoge	May the IRS	discuss this return with
	lere	, ,	willy fill fill for	now fresid	W)	the preparer	shown below (see
		Sig	ature of officer Date	Title		instructions)?	Yes No
D	aid		Print/Type preparer's name Preparer's significant Preparer's significant Preparer's significant Preparer's significant Preparer's significant Preparer's name Preparer's significant Preparer's name Preparer's significant Preparer's name Pr	gnature	Date	Check if	PTIN
		arer		<u> </u>		self-employed	
	-	Only	Firm's name	·····		Firm's EIN	
U	, J Ç	∵ iiiy	Firm's address			Phone no.	

Form	990-T	(2019)

^	6-	^	~	$\boldsymbol{\gamma}$	^.	7	$^{\sim}$	4

Page 3

Schedule A—Cost of Good	ds Sold. Ente	r method of	finventory valuati	ion►	- 1. 1. 1.				
1 Inventory at beginning of	year	1	6	Invent	tory at end	d of year	6		
2 Purchases	[:	2	7	Cost	of goods	sold. Subtract			
3 Cost of labor		3		line 6	from line	5 Enter here			
4 a Additional section 263A c	osts			and ir	n Part I, lin	ie 2	7		0
(attach schedule)	4	а	8	Do the	e rules of	section 263A (with	respe	ct to Yes	No
b Other costs (attach sched	iule) 4	b		prope	rty produc	ed or acquired for	resale	e)	
5 Total. Add lines 1 through	14b	5	0	apply	to the org	anization?			L
Schedule C-Rent Income	(From Real I	Property a	nd Personal Pro	perty	Leased	With Real Pro	perty)	
(see instructions)									
1 Description of property	· · · · · · · · · · · · · · · · · · ·						.		
(1)		······							
(3)	······································								
(4)									····
(4)	2. Rent receive	ed or accrued							
for personal property is more than 10% but not percentage			m real and personal prope e of rent for personal prop the rent is based on profi	perty ex	ceeds	3(a) Deductions dire in columns 2(a)		nected with the inco) (attach schedule)	ome
(1)									
(2)									
(3)									
(4)									
Total	0	Total			0				
(c) Total income. Add totals of collhere and on page 1, Part I, line 6, co		b) Enter ►			0	(b) Total deducti Enter here and on Part I, line 6, colui	page		0
Schedule E-Unrelated De	bt-Financed	Income (se	e instructions)						
1 Description of debt-	financed property		2 Gross income from c		3. 🗅	Deductions directly conn to debt-finance			
Pescription of desic-			property		(a) Straight line depreciation (attach schedule)		(b) Other deductions (attach schedule)		
(1)								<u> </u>	
(2)				_					
(3)				_					
(4)									
Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5 Average adjunction of or allocated debt-financed (attach school)	able to I property	6. Column 4 divided by column 5			come reportable 2 × column 6)		Allocable deductions nn 6 × total of colun 3(a) and 3(b))	
(1)				%		0			0
(2)				%		0			0
(3)				%		0			0
(4)				%		0			0
						and on page 1, 7, column (A)		here and on pag , line 7, column ((B)
Totals			ı	▶		0		<u>.</u>	0
Total dividends-received deduct	ions included in	column 8				>			
								Form 990-T	(2019)

Schedule F—Interest, Annui	ties, Royalties,			Organizations	anizations (se	e instru	ctions)	
Name of controlled organization	2 Employer identification number	3 Net unrelated income (loss) (see instructions)			included in the	5 Part of column 4 that included in the controllin organization's gross incor		eductions directly ected with income in column 5
(1)								
(2)								
(3)								
(4)	<u> </u>				<u>.</u>			
Nonexempt Controlled Organization	ons							
7. Taxable Income	8 Net unrelated a (loss) (see instru			Total of specified payments made	10 Part of column the organization's (e controllin	g conne	Deductions directly cted with income in column 10
(1)		.,						
(2)								
(3)								
(4)								
					Add columns Enter here and Part I, line 8,	d on page	1, Enter l	columns 6 and 11 here and on page 1, , line 8, column (B)
Totals				•	•		o	O
Schedule G-Investment Inc	ome of a Section	on 501(c)(7), (9), (or (17) Organiza	tion (see instru	ictions)		
1 Description of income	2. Amount of 1		3 dire	I. Deductions ectly connected tach schedule)	4. Set-aside (attach schedu	s	and se	tal deductions et-asides (col. 3 olus col. 4)
(1)							-	C
(2)								C
(3)								C
(4)								0
	Enter here and of Part I, line 9, col	umn (A)						e and on page 1, e 9, column (B)
Totals Schodule L. Evaluited Even	nt Activity Inco	ma Oth	1	Advorticing Inco	mo (acc matrix	otiona)		0
Schedule I—Exploited Exem	ipt Activity inco	me, om	er man A	Advertising inco	Title (see instrui	Tions)		Τ
1. Description of exploited activity	2 Gross unrelated business incon from trade or business	ne conr pro-	Expenses directly nected with duction of nrelated ess income	4 Net income (loss) from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5 Gross income from activity that is not unrelated business income	attribi	penses utable to umn 5	7 Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)				0	 			O
(2)				0		 		0
(3)				0				C
(4)				0				0
	Enter here and page 1, Part I line 10, col (A	, pag) line 1	here and on e 1, Part I, I0, col (B)		•			Enter here and on page 1, Part II, line 25
Totals		0[0					[0
Schedule J—Advertising Inc								
Part I Income From Per	iodicals Report	ed on a	Consolid	ated Basis	т			т
1. Name of penodical	2 Gross advertising income		. Direct tising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5. Circulation income		adership osts	7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)								
(2)]
(3)								
(4)								
Totals (carry to Part II, line (5))	>	0	0	0	0		0	0

•		
Form	990-T	(2019)

The Charles A Dana Foundation, Incorporated

06-6036761

Page 5

Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis)

Columns 2 through 7 of	i a iiiie-by-iiiie i	Jasis J					
1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5 Circulation income	6. Readership costs	7 Excess readership costs (column 6 minus column 5, but not more than column 4)	
(1)			0			0	
(2)			0			0	
(3)			0			0	
(4)			0			0	
Totals from Part I	0	0				0	
	Enter here and on page 1, Part I, line 11, col (A)	Enter here and on page 1, Part I, line 11, col (B)				Enter here and on page 1, Part II, line 26	
Totals, Part II (lines 1–5)	0	0	,			0	
Schedule K—Compensation of Officers, Directors, and Trustees (see instructions)							

1. Name
2. Title
3. Percent of time devoted to business
4. Compensation attributable to unrelated business
(1)
(2)
(3)
4. Compensation attributable to unrelated business
(5)
(6)
(7)
(8)
(9)

 (3)
 %

 (4)
 %

 Total. Enter here and on page 1, Part II, line 14
 ▶
 0

Form **990-T** (2019)

Line 12 (990-T) - Other Income

<u></u>	ne 12 (990-1) - Other income		
1	From Form 6478 - Biofuel Producer Credit	1	0
2	From Form 8864 - Biodiesel and Renewable Diesel Fuels Credit	2	0
3	From form 461 - Excess business loss limitation	3	
4	Bad debt recoveries .	4	
5	Proceeds received from employer-owned life insurance contracts issued after August 17, 2006	5	
6	Recapture of excess depreciation including Sec 179 expense deduction	6	0
7	Net section 965(a) inclusion	7	
8		8	
9	UBIT From Partnerships Statement 1	9	455,540
10		10	
11		11	
12		12	
13		13	
14		14	
15		15	
16	Total other income	16	455,540

Lir	ie 34 (990-T) -	Charitable Contributions				
	Check ("X") box	X Corporations Casi	1	41,	854	
		Trusts 50% Non	Cash under \$5000	_		
		Trusts (combined) Non	Cash over \$5000			
		,		Deduction	Adjustment	
1	Contributions for cu	rrent vear		Allowed in	under Section	New
	Enter the contribution	•	Amount	Current Year	170(d)(2)(B)	Carryover
	Corporations	10% limitat		41,854		0
	Trusts	170(b)(1)(A) 50% limitat		0		0
٠.		30% limitat	on	0		0
	Carryover from: 5th preceding perio	d	2a		Ì	
٩.	Corporations	u 10% limitat		0		0
-	Trusts	170(b)(1)(A) 50% limitat				0
		30% limitat		0		0
	4th preceding perio	<u> </u>	2b			
	Corporations	10% limitat		0		0
	Trusts	170(b)(1)(A) 50% limitat 30% limitat	on 0	0		0
c.	3rd preceding perio		2c			<u> </u>
	Corporations	10% limitat		0		0
	Trusts	170(b)(1)(A) 50% limitat		Ö		0
		30% limitat	on 0	0		0
	2nd preceding perio		2d			_
	Corporations	10% limitat		0		0
	Trusts	170(b)(1)(A) 50% limitat 30% limitat		0		0
٠.	1st preceding perior		2e			
٠.	Corporations	10% limitat		o		0
	Trusts	170(b)(1)(A) 50% limitat	on 0	0		0
		30% limitat		0		0
	Totals		3 41,854	41,854	·	0
		next year due to 5 year limitation arryover to next year			4 ₋ 5 -	0
•	Total contribution ca	arryover to riext year			y _	
	C	omputation of Section 179 Dedu	ction for Estimat	ed Charitable	Contribution	
e	Tavable Income cor	mputed without contribution deduction o	r Section 170		6	418,540
		ion for purposes of contribution limitation			7	4 10,340
8	Taxable income les	s Section 179 deduction Subtract line	from line 6		· - 8 ⁻	418,540
		on limitation Enter 10 percent of line 8			9 -	41,854
10	Contribution deduct	tion considering Section 179 limitation	Smaller of line 3, co	lumn A or line 9	10 _	41,854
		Computation of Ac	tual Charitable C	Contribution		
4.		•				_
	Actual Section 179		of line 11 from line C		11 _	0
		s actual Section 179 deduction Subtradeductions limited by line 12	zime i irom line b		12 _ 13 _	418,540 0
		purposes of contribution deduction Su	otract line 13 from li	ne 12	14	418,540
		on limitation Enter 10 percent of line 14		- · <u>-</u>	15	41,854
		deduction Smaller of line 3, col A, or lin		·	16	41,854

The Charles A. Dana Foundation, Incorporated Form 990-T

Year 2019

ID#06-6036761

Statement # 1

Part 1, Line 5

·		Net	Capital	Net
Unrelated Business Taxable Income:	EIN#	Ordinary	Gain/(Loss	Income
Adamas Opportunities, L.P (1Yr)	04-3514360	-\$4,758	\$13,006	\$8,248
Adamas Opportunities, L.P. (3Yr)	04-3514360	-5,017	13,357	8,340
Adamas Partners	04-3414358	-6,665	12,691	6,026
Abbott Capital Fund IV, L.P.	06-1623810	-1,114	574	-540
Abbott Capital Fund V ,L.P	20-2896577	28,328	35,812	64,140
Abbott Capital Fund VI ,L P	26-2293853	-8,036	33,138	25,102
Davidson Kempner	13-3597020	1,459	0	1,459
TIFF Partners III,LLC	58-2426874	-90	0	-90
Commonfund Private Equity VI	16-1720029	31,544	7,445	38,989
Commonfund Private Equity International VI	20-8306365	674	74	748
Commonfund Private Equity VII	20-8306306	19,741	3,058	22,799
Commonfund Private Equity VIII	11-3814030	-36	0	-36
Forester Capital-B	13-4079780	-140	222,909	222,769
Aberdeen Real Assets (formerly Flag Real Assets)	20-8736989	-25,931	1,711	-24,220
Commonfund Natl Resources	37-1656529	-45,316	15,747	-29,569
Knightsbridge	20-1463725	-2	0	-2
EnCap Flatrock Midstream Fund IV	82-2890021	-50,938	0	-50,938
Truebridge Capital Fund V	82-2022562	-302	0	-302
Kline Hill Partners Fund II	82-4035378	-503	101	-402
Harvest	27-2968896	163,031	0	163,031
TIFF IV	*****6874	-25		-25
Arrowstreet	98-1296664	2	12	14
Truebridge VI	84-1921100	-1		-1
Total Partnership UBIT		\$95,905	\$359,635	\$455,540