CONTROL DEC 0 9 2019

						293	93313	0731	1 9	
٠,	OOO T	l Ex	empt Organ	ization Bu	ısin	ess Income	e Tax Retu	ırn	OMB No 1545-0	687
Form	990-T					section 60				•
	ſ	For cale	ndar year 2018 or other	•			d ending		<u> </u>	5
' Depar	tment of the Treasury		Go to www.irs.gov			tions and the late	est information.		Open to Public Inspec	tion for
Interna	al Revenue Service	► Do no	t enter SSN numbers o		_			1	501(c)(3) Organization	s Only
<u> </u>	Check box if address changed		Name of organization (e changed and see in	structions)		er identification nui es' trust, see instruction	
	xempt under section		The Charles A Da					-		
낟	∑ 501 (C	Print	Number, street, and roo		O po	c, see instructions		E Unrelat	06-6036761 ed business activity	
┝	408(e) 220(e)		505 Fifth Avenue, City or town	6th Floor	State		ZIP code		ructions)	code
누	408A 530(a)	Туре	l*		NY		10017	ĺ		
L	529(a)		New York Foreign country name	Fo		rovince/state/county F		1		
									900099	
	Book value of all assets at		ip exemption numb							
е	end of year 211,263,884	G Ched	ck organization type		c) co	poration 50	1(c) trust] 401(a) trι	ıst Other t	trust
H	Enter the number of t	he organ	ization's unrelated f	trades or busine	esses	>	2 Descri	ibe the only	(or first) unrelat	ted
	trade or business her first in the blank space	e ► Pa	ntnership investme	nts sentence com	olete l				an one, describe	tne
	trade or business, the			oomonoe, oom	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	and rana ii, con	ipioto a conoga		or additional	
1	During the tax year, wa	s the corp	oration a subsidiary	in an affiliated or	oup o	r a parent-subsidia	ry controlled grou	up?	► Yes	K No
	If "Yes," enter the name					•				
	The books are in care					Telep	hone number	212-22	23-4040	
	ttl Unrelated T		Business Incon	ne	-	(A) Income	(B) E)	kpenses	(C) Net	enga (iligat, saca
	Gross receipts or sa			- Polones -	4-					
2	 Less returns and allows Cost of goods sold 			c Balance ►	1c 2	0				
3	Gross profit Subtra	•	·		3	0		ACTUAL VALUE	C - C - C - C - C - C - C - C - C - C -	0
4 a	· ·				4a					`
b	Net gain (loss) (Form			Form 4797)	4b		NAME OF		Ne Carlo	
c	: Capital loss deducti				4c					
5	Income (loss) from a pa	•	r an S corporation (atta	ach statement)	5				3	
6	Rent income (Scher	•			6					┿
7 8	Unrelated debt-finar interest, annuities, royaltie			Inter (Cohodulo E)	8				 	
9	Investment income of a se				9				·	+
10	Exploited exempt a			(10					
11	Advertising income	(Schedul	e J) 50 д. АН	ala d	11					
12	Advertising income Other income (See	ınstructıo	ns, attach schedule		12	526,289				
13	Total. Combine line	s 3 through	gh_12		13	526,289		0	526,28	
Par			ken Elsewhere (•	(Except to	or contributions	3,
	deductions n	nust be	directly connecte	d with the uni	elate	a business inc	ome)	——————————————————————————————————————	1	$\overline{}$
14 15	Compensation of of Salaries and wages	ticers, dir	ectors, and trustees	s (Schedule K.)	RF(FIVED	· ·	14	 	+
16	Repairs and mainte					10	2 ΄	16	 	+
17	Bad debts	. Idi loc			OCT	2 9 2019	31	17		1
18	Interest (attach sche	edule) (se	e instructions)	E1-332	001	2013	5	18		
19	Tayes and licenses		•		A SECTION	Transport of the Company	≝	19	37,00	
20	Charitable contribut	ions (See	instructions for lim	iitation (ules)	Li L	EN UL		. 20	48,000	0
21	Depreciation (attacr	i Form 45	062)	•		21		225		
22 23	Less depreciation of Depletion	aimed or	i ochedule A and el	isewnere on ret	urn	. 22a	<u> </u>	22b	' 	
24	Contributions to def	erred con	npensation plans				•	24	 	+-
25	E		-F-110000011 Pidito					25	 	+

Employee benefit programs 25 26 Excess exempt expenses (Schedule I) 26 27 27 Excess readership costs (Schedule J) 28 28 Other deductions (attach schedule) 29 Total deductions. Add lines 14 through 28 29 85,000 30 30 441,289 Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13 31 31 Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see instructions) 32 32 441,289 Unrelated business taxable income Subtract line 31 from line 30 For Paperwork Reduction Act Notice, see instructions.

Form **990-T** (2018)

Form	990-T (2018)	The Charles A. Dana Foundation, Incorporated		06-60	036761	Page 2
-Paı	t- -	Total Unrelated Business Taxable Income				
33	Total bf	unrelated business taxable income computed from all unrelated trades or business	ses (see			
	ınstructi	·			33	441,289
34	Amount	•			34	
35		on for net operating loss arising in tax years beginning before January 1, 2018 (see	•			
		ions)			35	6,308
36		unrelated business taxable income before specific deduction Subtract line 35 from				
		33 and 34			36	434,981
37		deduction (Generally \$1, 000, but see line 37 instructions for exceptions)			37	1,000
38	-	red business taxable income. Subtract line 37 from line 36. If line 37 is greater that				
-		e smaller of zero or line 36			38	433,981
Pari		Tax Computation			-	100,001
		zations Taxable as Corporations. Multiply line 38 by 21% (0.21)			39	01 126
39					35	91,136
40		Taxable at Trust Rates. See instructions for tax computation. Income tax on the			40	
		on line 38 from: Tax rate schedule or Schedule D (Form 1041)			40	
41		ax. See instructions			41	
42		ive minimum tax (trusts only)			42	
43		Noncompliant Facility Income. See instructions			43	
44		dd lines 41, 42, and 43 to line 39 or 40, whichever applies			44	91,136
_Par		Tax and Payments				
45 a		tax credit (corporations attach Form 1118; trusts attach Form 1116) 45a				Ì
b		redits (see instructions)				
C		business credit Attach Form 3800 (see instructions) 45c				
d	Credit fo	or prior year minimum tax (attach Form 8801 or 8827)				
е	Total cre	edits. Add lines 45a through 45d			45e	0
46		t line 45e from <u>line 44</u>			46	91,136
47	Other taxe	es Check if from Form 4255 Form 8611 Form 8697 Form 8866 Other (attach sched	lule)	47	
48	Total tax	x. Add lines 46 and 47 (see instructions)			48	91,136
49	2018 ne	et 965 tax liability paid from Form 965-A or Form 965-B, Part II, column (k), line 2.			49	
50 a		nts: A 2017 overpayment credited to 2018			建	
b	2018 est	timated tax payments	10,000			
c		osited with Form 8868	77,500			
d	Foreign	organizations: Tax paid or withheld at source (see instructions) 50d				
е	Backup	withholding (see instructions)			金融	
f	Credit fo	or small employer health insurance premiums (attach Form 8941) 50f				
g		redits, adjustments, and payments: Form 2439				
_		n 4136 X Other 3,636 Total ▶ 50g	3,636			
51		Add lines FOr through FOr	0,000		51	91,136
52	-	ed tax penalty (see instructions) Check if Form 2220 is attached		ĊΠ	52	01,100
53		. If line 51 is less than the total of lines 48, 49, and 52, enter amount owed		┕	53	0
54		yment. If line 51 is larger than the total of lines 48, 49, and 52, enter amount overp	 aıd	•	54	0
55		· · · · · · · · · · · · · · · · · · ·	Refunded		55	0
		tatements Regarding Certain Activities and Other Information (see in				
Par						
56		me during the 2018 calendar year, did the organization have an interest in or a sign				Yes No
		nancial account (bank, securities, or other) in a foreign country? If "Yes," the organ		-		
	FinCEN	Form 114, Report of Foreign Bank and Financial Accounts. If "Yes," enter the name	e of the fo	reign	country	
	here >					X
57	•	ne tax year, did the organization receive a distribution from, or was it the grantor of, or tran	isteror to,	a forei	gn trust? .	X
		see instructions for other forms the organization may have to file.				等对键别
<u>58</u>	Enter the	e amount of tax-exempt interest received or accrued during the tax year 🕨 \$				经期 (36)
	Unde	er penalties of penury, ind eclare that I have examined this return, including accompanying schedules and statements, and complete, Declaration of which preparer has any knowledge	to the best of r	ny knowl	edge and bel	ief, it is true, correct,
Sigr) And c	complete. Declaration of preparer joiner man rappayer) is based on all information of which preparer has any knowledge		1		S discuss this return with
Here		10/24/19 President			the prepare	r shown below (see
		nature pf officer Date Title			instructions)? Yes No
		Print/Type preparer's name Preparer's signature Date			,	PTIN
Paid	i i	7, 7, 7, 7, 7, 7, 7, 7, 7, 7, 7, 7, 7, 7		Chec	:k f :mployed	
	oarer	<u></u>				1
•	Only	Firm's name			EIN -	
	,	Firm's address		Phone	no.	

06-6036761 The Charles A Dana Foundation, Incorporated . Form 990-T (2018) Page 3 Schedule A—Cost of Goods Sold. Enter method of inventory valuation▶ Inventory at end of year Inventory at beginning of year 1 1 2 Purchases 2 Cost of goods sold. Subtract 3 line 6 from line 5 Enter here Cost of labor . 3 and in Part I, line 2 4 a Additional section 263A costs Do the rules of section 263A (with respect to (attach schedule) 4a Yes No property produced or acquired for resale) **b** Other costs (attach schedule) 4b Total. Add lines 1 through 4b 5 apply to the organization?

Schedule C—Rent Income	e (From Real	Property a	and Personal Prope	rty Leased	With Real Pr	operty)		
(see instructions)								
1. Description of property								
(1)	 					-		
(2)								
(3)								
(4)	2 Pant recen	ed or accrued						
	Z. Rentresen	To or accided						
(a) From personal property (if the pe for personal property is more than more than 50%)	percentag	om real and personal property ge of rent for personal property if the rent is based on profit or	y exceeds	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)				
(1)	·—							
(2)								
(3)								
(4)								
Total	0	Total		0				
(c) Total income. Add totals of co here and on page 1, Part I, line 6, Schedule E—Unrelated De	column (A)		ee instructions)	0	(b) Total deduction Enter here and of Part I, line 6, col	on page 1,		
ochedule L—Otherated Di	ent-i illanceu	income (s	2. Gross income from or	3. [Deductions directly con to debt-finance	nnected with or allocable		
1. Description of debt-	financed property		allocable to debt-financed property	(a) Straight line depreciation (attach schedule)		(b) Other deductions (attach schedule)		
(1)								
(2)					-			
(3)	·							
(4)	-							
4. Amount of average - acquisition debt on or allocable to debt-financed property (attach schedule)	= - acquisition debt on or - of or allocable to allocable to debt-financed debt-financed property		6. Column 4 divided == by column 5	7. Gross income reportable (column 2 × column 6)		8. Allocable deductions (column 6 × total of columns 3(a) and 3(b))		
(1)			%		0	0		
(2)			%		0	0		
(3)			%		0	0		
(4)			%		0	0		
					and on page 1, 7, column (A)	Enter here and on page 1, Part I, line 7, column (B)		
Totals			▶		ol	O		

Total dividends-received deductions included in column 8

Schedule F—Interest, Annui	ties, Royalties,			Organizations	anizations (se	e instruc	ctions)	
Name of controlled organization	2. Employer identification number				included in the	e controllin	g conr	
(1)								
(2)								
(3)								
(4)								
Nonexempt Controlled Organization	ns			<u> </u>				
7. Taxable Income					included in the	controlling	g conne	
(1)								
(3)								
(4)								
-					Enter here and	on page	1, Enter Part I	here and on page 1, , line 8, column (B)
		· · ·			·		01	
Schedule G—Investment Inc	ome of a Section	on 501(c			tion (see instru	ctions)		
1. Description of income	2. Amount of I	ncome	dıre	ectly connected			and so	et-asides (col 3
(1)								0
								0
(4)			Tribute court, sectors	ST. COMMUNICATION CONTRACTOR STORY	er orning armadatyon on	micena John		_
Totals . • Schedule I—Exploited Exem	Part I, line 9, col	umn (A) 0	A. Transmitter Proposer	Advertising Inco	me (see instruc			
1 Description of exploited activity	2. Gross unrelated business incon from trade or	3. E d conn prod ur	Expenses lirectly ected with duction of irelated	4. Net income (loss) from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5. Gross income from activity that is not unrelated business income	6. Exp	table to	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)				0		i		0
				0				0
				0				0
				0				0
	page 1, Part I	, page) line 1	e 1, Part I, 0, col (B)					Enter here and on page 1, Part II, line 26
Comparison of the control of the c								
			Consolida	ated Basis				
	1	<u> </u>						
1. Name of periodical	advertising			gain or (loss) (col 2 minus col 3) If a gain, compute		(•	costs (column 6 minus column 5, but not more than
(1)								
Totals (carry to Part II, line (5))	<u> </u>	0	0	0	0	<u></u>		0 orm 990-T (2018)

Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in

Totals, Part II (lines 1-5)	•	Enter here and on page 1, Part I, line 11, col (A)	Enter here and on page 1, Part I, line 11, col (B)				Enter here and on page 1, Part II, linc 27
Totals from Part I	<u> </u>	0	0				0
(4)				0			0
(3)				0			0
(2)				0			0
(1)				0			0
1 Name of penodical		2. Gross advertising income	3. Direct advertising costs	4 Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5 Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4)

Schedule K—Compensation of Officers, Directors, and Trustees (see instructions) 3. Percent of 4 Compensation attributable to 2. Title time devoted to 1. Name unrelated business business (1) % (2) % % (3) (4) % ▶ Total. Enter here and on page 1, Part II, line 14 0

Form 990-T (2018)

The Charles A Dana Foundation, Incorporated Form 990-T

Year 2018

ID # 06-6036761

Statement # 1

Part 1,	Line	5

Part 1, Line 5		Net	Capital	Net
Unrelated Business Taxable Income:	EIN#	Ordinary	Gain/(Loss	Income
Adamas Opportunities, L P (1Yr)	04-3514360	-\$2,841	\$36,548	\$33,707
Adamas Opportunities, L.P (3Yr)	04-3514360	4,379	30,980	35,359
Adamas Partners	04-3414358	5,346	44,545	49,891
Abbott Capital Fund IV, L.P	06-1623810	17,052	1,875	18,927
Abbott Capital Fund V ,L.P	20-2896577	40,582	-1,434	39,148
Abbott Capital Fund VI ,L P.	26-2293853	6,030	2,042	8,072
Davidson Kempner	13-3597020	-1,186	0	-1,186
TIFF Partners III,LLC	58-2426874	-63	0	-63
Commonfund Private Equity VI	16-1720029	5,387	30,697	36,084
Commonfund Private Equity International VI	20-8306365	-60	8,629	8,569
Commonfund Private Equity VII	20-8306306	800	39,981	40,781
Commonfund Private Equity VIII	11-3814030	-64	. 0	-64
Forester Capital-B	13-4079780	-1,610	134,924	133,314
Aberdeen Real Assets (formerly Flag Real Assets)	20-8736989	14,605	3,288	17,893
Commonfund Natl Resources	37-1656529	-48,341	11,579	-36,762
Knightsbridge	20-1463725	-3	0	-3
EnCap Flatrock Midstream Fund IV	82-2890021	-114,098	0	-114,098
Truebridge Capital Fund V	82-2022562	-286	. 0	-286
Kline Hill Partners Fund II	82-4035378	-9	0	-9
Harvest	27-2968896	203,645	19,712	223,357
Total Partnership UBIT		\$129,265	\$363,366	\$492,631
Pre Tax Employee Transportation Benefit				\$33,658
Total UBIT				526,289

Li		Charitable Contribu	<u>itions</u>				
	Check ("X") box	X Corporations	Cash				
		Trusts 50%	Non Ca	sh under \$5000			
		Trusts (combined)	Non Ca	sh over \$5000			
				-	Deduction	Adjustment	
1	Contributions for cu	irrent vear			Allowed in	under Section	New
•	Enter the contribution	· ·		Amount	Current Year	170(d)(2)(B)	_ Carryover
	Corporations		10% limitation	Amount	Ourient real	170(0)(2)(0)	0
	Trusts	170(b)(1)(A) 5		48,000	48,000		0
			30% limitation		0		0
2	Carryover from:						
а	5th preceding perio	d,	2a				
	Corporations		0% limitation	0	0		0
	Trusts	170(b)(1)(A) 5		0	0		0
			30% limitation	0	0		0
b	4th preceding perio		2b	ا			•
	Corporations		0% limitation	0	0		0
	Trusts	170(b)(1)(A) 5		0	0		0
_	3rd preceding perio		30% limitation 2c				
C	Corporations		0% limitation	o	0		0
	Trusts	170(b)(1)(A) 5		- 0			0
	114010		0% limitation	0	0		
d	2nd preceding perio		2d				
	Corporations		0% limitation	o	0		0
	Trusts	170(b)(1)(A) 5	0% limitation	_0	0		0
			0% limitation	0	0		0
е	1st preceding perio		2e				
	Corporations		0% limitation	0	0		0
	Trusts	170(b)(1)(A) 5		0	0		0
_	Totala	3	10% limitation	0	0	0	0 0 0
3	Totals .	next year due to 5 year limita	3	48,000	48,000	. 4	0
5		arryover to next year	ILIOIT	•		. 5	0
•	Total contribution of	inyover to next year	•		•	-	
	С	omputation of Section 1	79 Deductio	on for Estimate	ed Charitable	Contribution	
6		nputed without contribution de		ection 179.		6 _	489,289
7		ion for purposes of contribution				7 -	400,000
8		s Section 179 deduction Sub ion limitation Enter 10 percen		om line 6		8 9	489,289 48,929
9 10		tion considering Section 179 li		aller of line 3 col	umn A or line 9	. 10	48,000
10	Continuation deduct	ion considering Section 179 h	initiation on	aner or line o, cor		. 10_	40,000
		Computati	on of Actua	l Charitable C	ontribution		
		•					
	Actual Section 179					11 _	0
		s actual Section 179 deductio	n Subtract lir	ne 11 from line 6	•	12 _	489,289
		deductions limited by line 12		40.6		13 _	6,308
		purposes of contribution dedu		ict line 13 from IIr	ie 12 .	. 14_	482,981
		on limitation Enter 10 percendeduction Smaller of line 3, o		5	•	. 15 _ 16	48,298 48,000
_10	Actual Contribution	Jeduction Smaller of line 5, t	COI A, OI IIIIE I	<u> </u>			40,000
1 :	20 (000 T)	Other Deductions					
<u> </u>	ne 20 (990-1) -	Other Deductions					
Li	ne 45b (990-T)	- Other Credits					
1	Credit to holders of	tax credit bonds (attach Form	8912) .			1 _	0
2		<u> </u>	·			2	
3	Total					3	0

Line 50g (990-T) - Other Credits 1 Credit for ozone-depleting chemicals . . 2 Credit from regulated investment company or real estate investment trust (Attach Form 2439) . . . 3 Credit for Federal tax paid on fuels (Attach Form 4136) 4 Paid by Telephone October 23, 2019 3,636 3,636

11 Total