

Exempt Organization Business Income Tax Return (and proxy tax under section 6033(e))

OMB No 1545-0687

2018

For calendar year 2018 or other tax year beginning and ending
Go to www.irs.gov/Form990T for instructions and the latest information.
Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

A Check box if address changed
B Exempt under section
[X] 501 (C) (3)
408(e) 220(e)
408A 530(a)
529(a)

Name of organization () Check box if name changed and see instructions
The Charles A Dana Foundation, Incorporated
Number, street, and room or suite no If a PO box, see instructions
505 Fifth Avenue, 6th Floor
City or town State ZIP code
New York NY 10017
Foreign country name Foreign province/state/county Foreign postal code

D Employer identification number (Employees' trust, see instructions)
06-6036761
E Unrelated business activity code (See instructions)
900099

C Book value of all assets at end of year 211,263,884

F Group exemption number (See instructions)
G Check organization type [X] 501(c) corporation [] 501(c) trust [] 401(a) trust [] Other trust

H Enter the number of the organization's unrelated trades or businesses 2 Describe the only (or first) unrelated trade or business here Partnership Investments
If only one, complete Parts I-V. If more than one, describe the first in the blank space at the end of the previous sentence, complete Parts I and II, complete a Schedule M for each additional trade or business, then complete Parts III-V

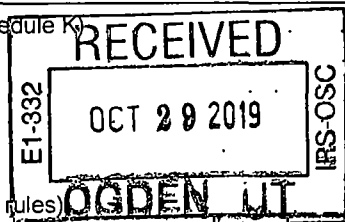
I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? [] Yes [X] No
If "Yes," enter the name and identifying number of the parent corporation

J The books are in care of Burton M Mirsky Telephone number 212-223-4040

SCANNED DEC 09 2019

Part I Unrelated Trade or Business Income
Table with columns: (A) Income, (B) Expenses, (C) Net
Rows 1-13 including Gross receipts or sales, Cost of goods sold, Capital gain net income, etc. Total: 526,289

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions) (Except for contributions, deductions must be directly connected with the unrelated business income)
Table with columns: Description, Amount
Rows 14-32 including Compensation of officers, Charitable contributions, Depreciation, etc. Total: 441,289



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Part III Total Unrelated Business Taxable Income

Table with 3 columns: Line number, Description, and Amount. Rows 33-38 showing unrelated business taxable income calculations.

Part IV Tax Computation

Table with 3 columns: Line number, Description, and Amount. Rows 39-44 showing tax computation details.

Part V Tax and Payments

Table with 3 columns: Line number, Description, and Amount. Rows 45-55 showing tax and payment details.

Part VI Statements Regarding Certain Activities and Other Information (see instructions)

Table with 3 columns: Line number, Description, and Yes/No columns. Rows 56-58 regarding foreign interest and tax-exempt interest.

Sign Here: Declaration of preparer, Signature of officer, Date, Title, and a box for IRS discussion.

Paid Preparer Use Only: Fields for Preparer's name, signature, date, firm's name, address, and phone number.

Schedule A—Cost of Goods Sold. Enter method of inventory valuation ▶

1	Inventory at beginning of year	1		6	Inventory at end of year	6	
2	Purchases	2		7	Cost of goods sold. Subtract line 6 from line 5. Enter here and in Part I, line 2	7	0
3	Cost of labor	3		8	Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?	Yes	No
4 a	Additional section 263A costs (attach schedule)	4a					
b	Other costs (attach schedule)	4b					
5	Total. Add lines 1 through 4b	5	0				

Schedule C—Rent Income (From Real Property and Personal Property Leased With Real Property)

(see instructions)

1. Description of property		
(1)		
(2)		
(3)		
(4)		
2. Rent received or accrued		
(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total	0	Total 0
(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) ▶		(b) Total deductions. Enter here and on page 1, Part I, line 6, column (B) ▶
0		0

Schedule E—Unrelated Debt-Financed Income (see instructions)

1. Description of debt-financed property		2. Gross income from or allocable to debt-financed property	3. Deductions directly connected with or allocable to debt-financed property	
			(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)				
(2)				
(3)				
(4)				
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 × column 6)	8. Allocable deductions (column 6 × total of columns 3(a) and 3(b))
(1)		%	0	0
(2)		%	0	0
(3)		%	0	0
(4)		%	0	0
Totals			Enter here and on page 1, Part I, line 7, column (A)	Enter here and on page 1, Part I, line 7, column (B)
Total dividends-received deductions included in column 8			0	0

Schedule F—Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

1. Name of controlled organization	2. Employer identification number	Exempt Controlled Organizations			
		3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

Nonexempt Controlled Organizations

7. Taxable Income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				

	Add columns 5 and 10 Enter here and on page 1, Part I, line 8, column (A)	Add columns 6 and 11 Enter here and on page 1, Part I, line 8, column (B)
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Totals 0 0

Schedule G—Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col 3 plus col 4)
(1)				0
(2)				0
(3)				0
(4)				0

	Enter here and on page 1, Part I, line 9, column (A)		Enter here and on page 1, Part I, line 9, column (B)
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Totals 0 0

Schedule I—Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)			0			0
(2)			0			0
(3)			0			0
(4)			0			0

	Enter here and on page 1, Part I, line 10, col (A)	Enter here and on page 1, Part I, line 10, col (B)		Enter here and on page 1, Part II, line 26
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Totals 0 0 0

Schedule J—Advertising Income (see instructions)

Part I Income From Periodicals Reported on a Consolidated Basis

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						

Totals (carry to Part II, line (5)) 0 0 0 0 0 0 0

Part II **Income From Periodicals Reported on a Separate Basis** (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis)

1 Name of periodical	2. Gross advertising income	3. Direct advertising costs	4 Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5 Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)			0			0
(2)			0			0
(3)			0			0
(4)			0			0
Totals from Part I ▶	0	0				0
Totals, Part II (lines 1-5) ▶	Enter here and on page 1, Part I, line 11, col (A) 0	Enter here and on page 1, Part I, line 11, col (B) 0				Enter here and on page 1, Part II, line 27 0

Schedule K—Compensation of Officers, Directors, and Trustees (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4 Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14 ▶			0

The Charles A Dana Foundation, Incorporated
Form 990-T

Year 2018

Statement # 1

ID # 06-6036761

Part 1, Line 5

Unrelated Business Taxable Income:	EIN #	Net Ordinary	Capital Gain/(Loss)	Net Income
Adamas Opportunities, L P (1Yr)	04-3514360	-\$2,841	\$36,548	\$33,707
Adamas Opportunities, L.P (3Yr)	04-3514360	4,379	30,980	35,359
Adamas Partners	04-3414358	5,346	44,545	49,891
Abbott Capital Fund IV, L.P	06-1623810	17,052	1,875	18,927
Abbott Capital Fund V ,L.P	20-2896577	40,582	-1,434	39,148
Abbott Capital Fund VI ,L P.	26-2293853	6,030	2,042	8,072
Davidson Kempner	13-3597020	-1,186	0	-1,186
TIFF Partners III,LLC	58-2426874	-63	0	-63
Commonfund Private Equity VI	16-1720029	5,387	30,697	36,084
Commonfund Private Equity International VI	20-8306365	-60	8,629	8,569
Commonfund Private Equity VII	20-8306306	800	39,981	40,781
Commonfund Private Equity VIII	11-3814030	-64	0	-64
Forester Capital-B	13-4079780	-1,610	134,924	133,314
Aberdeen Real Assets (formerly Flag Real Assets)	20-8736989	14,605	3,288	17,893
Commonfund Natl Resources	37-1656529	-48,341	11,579	-36,762
Knightsbridge	20-1463725	-3	0	-3
EnCap Flatrock Midstream Fund IV	82-2890021	-114,098	0	-114,098
Truebridge Capital Fund V	82-2022562	-286	0	-286
Kline Hill Partners Fund II	82-4035378	-9	0	-9
Harvest	27-2968896	203,645	19,712	223,357
Total Partnership UBIT		\$129,265	\$363,366	\$492,631
Pre Tax Employee Transportation Benefit				\$33,658
Total UBIT				526,289

Line 20 (990-T) - Charitable Contributions

Check ("X") box Corporations Trusts 50% Trusts (combined) Cash Non Cash under \$5000 Non Cash over \$5000

		Amount	Deduction Allowed in Current Year	Adjustment under Section 170(d)(2)(B)	New Carryover
1	Contributions for current year Enter the contributions by type				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	48,000	48,000		0
	Trusts 30% limitation		0		0
2	Carryover from:				
a	5th preceding period 2a				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	0	0		0
	Trusts 30% limitation	0	0		0
b	4th preceding period 2b				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	0	0		0
	Trusts 30% limitation	0	0		0
c	3rd preceding period 2c				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	0	0		0
	Trusts 30% limitation	0	0		0
d	2nd preceding period 2d				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	0	0		0
	Trusts 30% limitation	0	0		0
e	1st preceding period 2e				
	Corporations 10% limitation	0	0		0
	Trusts 170(b)(1)(A) 50% limitation	0	0		0
	Trusts 30% limitation	0	0		0
3	Totals 3	48,000	48,000	0	0
4	Carryover to expire next year due to 5 year limitation			4	0
5	Total contribution carryover to next year			5	0

Computation of Section 179 Deduction for Estimated Charitable Contribution

6	Taxable Income computed without contribution deduction or Section 179	6	489,289
7	Section 179 deduction for purposes of contribution limitation	7	0
8	Taxable income less Section 179 deduction Subtract line 7 from line 6	8	489,289
9	Maximum contribution limitation Enter 10 percent of line 8	9	48,929
10	Contribution deduction considering Section 179 limitation Smaller of line 3, column A or line 9	10	48,000

Computation of Actual Charitable Contribution

11	Actual Section 179 deduction	11	0
12	Taxable income less actual Section 179 deduction Subtract line 11 from line 6	12	489,289
13	Net operating loss deductions limited by line 12	13	6,308
14	Taxable income for purposes of contribution deduction Subtract line 13 from line 12	14	482,981
15	Maximum contribution limitation Enter 10 percent of line 14	15	48,298
16	Actual contribution deduction Smaller of line 3, col A, or line 15	16	48,000

Line 28 (990-T) - Other Deductions

Line 45b (990-T) - Other Credits

1	Credit to holders of tax credit bonds (attach Form 8912)	1	0
2		2	
3	Total	3	0

Line 50g (990-T) - Other Credits

1	Credit for ozone-depleting chemicals	1	
2	Credit from regulated investment company or real estate investment trust (Attach Form 2439)	2	0
3	Credit for Federal tax paid on fuels (Attach Form 4136)	3	0
4	Paid by Telephone October 23, 2019	4	3,636
5		5	
6		6	
7		7	
8		8	
9		9	
10		10	
11	Total	11	3,636