

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public Go to www.irs.gov/Form990PF for instructions and the latest information

Open to Public Inspection

For calendar year 2019 or tax year beginning JUL 1, 2019, and ending JUN 30, 2020

Name of foundation THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC. A Employer identification number 06-1547852

Number and street (or P O box number if mail is not delivered to street address) 633 THIRD AVENUE, 16TH FLOOR Room/suite B Telephone number (212) 360-6173

City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017 C If exemption application is pending check here

G Check all that apply Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change D 1 Foreign organizations, check here 2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) J Accounting method Cash, Accrual, Other (specify) MODIFIED CASH F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Contributions, interest, dividends, rents, gain/loss, other income, compensation, salaries, pension, legal fees, interest, taxes, depreciation, occupancy, travel, printing, other expenses, total operating and administrative expenses, total expenses and disbursements, and net investment income.

3/20

SCANNED MAR 19 2021

37 Received in Batching Ogden DEC 11 2020

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		500.	500.	500.	
	2	Savings and temporary cash investments		24,207,117.	25,990,637.	25,990,637.	
	3	Accounts receivable					
		Less allowance for doubtful accounts					
	4	Pledges receivable					
		Less allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable					
		Less allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - US and state government obligations	STMT 11		88,426,378.	107,690,912.	107,690,912.
	b	Investments - corporate stock	STMT 12		167,454,978.	182,210,327.	182,210,327.
	c	Investments - corporate bonds	STMT 13		102,527,545.	85,456,521.	85,456,521.
	11	Investments - land, buildings, and equipment basis					
	Less accumulated depreciation						
12	Investments - mortgage loans						
13	Investments - other	STMT 14		162,618,717.	148,017,689.	148,017,689.	
14	Land, buildings, and equipment, basis	15,763,219.					
	Less accumulated depreciation			15,763,219.	15,763,219.	15,763,219.	
15	Other assets (describe)	PROGRAM RELATED INV		10,346,253.	11,746,253.	11,746,253.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			571,344,707.	576,876,058.	576,876,058.	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe)					
23	Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	24	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30	<input checked="" type="checkbox"/>				
	24	Net assets without donor restrictions		571,344,707.	576,876,058.		
	25	Net assets with donor restrictions					
	26	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30	<input type="checkbox"/>				
	26	Capital stock, trust principal, or current funds					
	27	Paid-in or capital surplus, or land, bldg, and equipment fund					
	28	Retained earnings, accumulated income, endowment, or other funds					
29	Total net assets or fund balances			571,344,707.	576,876,058.		
30	Total liabilities and net assets/fund balances			571,344,707.	576,876,058.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	571,344,707.
2	Enter amount from Part I, line 27a	2	<3,476,856.>
3	Other increases not included in line 2 (itemize)	3	SEE STATEMENT 10 9,008,207.
4	Add lines 1, 2, and 3	4	576,876,058.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	576,876,058.

Form 990-PF (2019)

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SALE OF PUBLICLY TRADED SECURITIES		P		
b SALE OF DONATED SECURITIES		D		
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 129,614,700.		129,956,912.	<342,212.>	
b 1,301,590.		1,456,163.	<154,573.>	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any		
a			<342,212.>	
b			<154,573.>	
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2		<496,785.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } 3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2018	35,918,466.	527,387,106.	.068106
2017	24,784,247.	519,878,480.	.047673
2016	23,421,724.	474,129,873.	.049399
2015	26,927,158.	433,358,189.	.062136
2014	35,486,472.	420,391,470.	.084413
2 Total of line 1, column (d)			2 .311727
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .062345
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 542,670,609.
5 Multiply line 4 by line 3			5 33,832,799.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 109,583.
7 Add lines 5 and 6			7 33,942,382.
8 Enter qualifying distributions from Part XII, line 4			8 30,119,380.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	219,166.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	219,166.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	219,166.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	291,149.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	291,149.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	71,983.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 71,983. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Form 990-PF (2019)

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852

Page 5

Part VII-A Statements Regarding Activities *(continued)*

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PCLBFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>D. BEN BENOIT</u> Telephone no. ► <u>860-572-1242</u> Located at ► <u>7 MASON'S ISLAND ROAD, SUITE 1, MYSTIC, CT</u> ZIP+4 ► <u>06355</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X
		X

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	SEE STATEMENT 17 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		344,584.	79,068.	0.

2 Compensation of five highest-paid employees (other than those included on line 1) If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARRIE SCHINDELE - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	SR. VP, PROGRAMS & ADM. 40.00	185,600.	23,208.	0.
REBECCA GREENBERG ELLIS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY	PROGRAM OFFICER 40.00	97,181.	29,407.	0.
DANIEL WEISS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM DIRECTOR 40.00	104,730.	18,577.	0.
JULIE WONG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	GRANTS & ADMINISTRATIVE MANAGER 40.00	89,750.	9,832.	0.
LILLIAN LIANG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM OFFICER 40.00	80,604.	18,139.	0.
Total number of other employees paid over \$50,000				4

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NORTHERN TRUST - ONE INTERNATIONAL PLACE, SUITE 1600, BOSTON, MA 02110	INVESTMENT MANAGEMENT	380,865.
U.S. TRUST BANK OF AMERICA PRIVATE WEALTH MAN. 185 ASYLUM STREET, HARTFORD, CT 06103	INVESTMENT MANAGEMENT	243,024.
MICHAEL NEUFELD AND ASSOCIATES - 185 PLAINS ROAD, SUITE 303W, MILFORD, CT 06461	LEGAL	70,000.
MORGAN STANLEY - 545 LONG WHARF DR., 7TH FLOOR, NEW HAVEN, CT 06511	INVESTMENT MANAGEMENT	57,260.
PKF O'CONNOR DAVIES, LLP 665 5TH AVENUE, NEW YORK, NY 10022	ACCOUNTING & AUDIT SERVICES	52,787.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 18	2,000,000.
2 SEE STATEMENT 19	350,000.
3 All other program-related investments. See instructions SEE STATEMENT 20	
	150,000.
Total. Add lines 1 through 3	2,500,000.

Form 990-PF (2019)

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	529,672,777.
b	Average of monthly cash balances	1b	21,261,851.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	550,934,628.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	550,934,628.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,264,019.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	542,670,609.
6	Minimum investment return. Enter 5% of line 5	6	27,133,530.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	27,133,530.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	219,166.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	219,166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,914,364.
4	Recoveries of amounts treated as qualifying distributions	4	1,106,373.
5	Add lines 3 and 4	5	28,020,737.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,020,737.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	27,619,380.
b	Program-related investments - total from Part IX-B	1b	2,500,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,119,380.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,119,380.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				28,020,737.
2 Undistributed income if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014	8,228,059.			
b From 2015	5,525,890.			
c From 2016				
d From 2017				
e From 2018	8,830,926.			
f Total of lines 3a through e	22,584,875.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 30,119,380.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				28,020,737.
e Remaining amount distributed out of corpus	2,098,643.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	24,683,518.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	8,228,059.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	16,455,459.			
10 Analysis of line 9:				
a Excess from 2015	5,525,890.			
b Excess from 2016				
c Excess from 2017				
d Excess from 2018	8,830,926.			
e Excess from 2019	2,098,643.			

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2019)

06-1547852 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
50CAN 20 CHURCH STREET, MEZZANINE HARTFORD, CT 06103	N/A	PC	CONNCAN - ELEVATING VOICES OF MAGNET SCHOOL PARENTS	155,000.
ACHIEVEMENT FIRST, INC. 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	4,250,000.
AGRICULTURAL STEWARDSHIP ASSOCIATION 2531 STATE ROUTE 40 GREENWICH, NY 12834-2301	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF CONNECTICUT, INC. 765 ASYLUM AVENUE, FL 1 HARTFORD, CT 06105-2822	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
AMIZADE LTD 305 34TH STREET PITTSBURGH, PA 15201-1311	N/A	PC	GENERAL OPERATING SUPPORT	500.
Total SEE CONTINUATION SHEET(S)				25,615,397.
b Approved for future payment				
ACHIEVEMENT FIRST 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	10,750,000.
ASHLEY HALL U.B. BOX 5505, 408 SOUTH LOCUST STREET GREENCASTLE, IN 46135-1776	NONE	I	SCHOLARSHIP	900.
AYANNA COLUMBUS 2158 PARESKY WILLIAMSTOWN, MA 01267	NONE	I	SCHOLARSHIP	800.
Total SEE CONTINUATION SHEET(S)				11,394,919.

Form 990-PF (2019)

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ART SCIENCE RESEARCH LABORATORY, INC. 62 GREENE STREET, 3RD FLOOR NEW YORK, NY 10012-4346	N/A	POF	GENERAL OPERATING SUPPORT	10,000.
ASSOCIACAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE GETLIO VARGAS AVENUE CENTRO, GALERIA , MINAS GERAIS, BRAZIL 29101120	N/A	NC	GENERAL OPERATING SUPPORT	96,672.
BOOKER T. WASHINGTON ACADEMY 804 STATE STREET NEW HAVEN, CT 06511-3418	N/A	PC	GENERAL OPERATING SUPPORT	600,000.
BOULDER COMMUNITY BROADCAST ASSOCIATION, INC. (DBA KGNU) 4700 WALNUT ST BOULDER, CO 80301-2538	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
BOULDER COUNTY AIDS PROJECT 2118 14TH STREET BOULDER, CO 80302-4804	N/A	PC	GENERAL OPERATING SUPPORT	25,000.
BOULDER PRIDE (DBA OUT BOULDER COUNTY) 1443 SPRUCE ST. BOULDER, CO 80302	N/A	PC	OUT YOUTH PROGRAMMING	10,000.
BOY SCOUTS OF AMERICA - CONNECTICUT RIVERS COUNCIL 60 DARLIN ST EAST HARTFORD, CT 06108-3201	N/A	PC	CAMPERSHIPS	50,000.
BOY SCOUTS OF AMERICA - GREATER NEW YORK COUNCILS 475 RIVERSIDE DRIVE, SUITE 600 NEW YORK, NY 10115	N/A	PC	CAMPERSHIPS	100,000.
BRASS CITY CHARTER SCHOOL 289 WILLOW STREET WATERBURY, CT 06710-1811	N/A	PC	GENERAL OPERATING SUPPORT	375,000.
CAPITAL PREPARATORY SCHOOLS, INC. 777 MAIN ST BRIDGEPORT, CT 06604-4979	N/A	PC	GENERAL OPERATING SUPPORT	1,500,000.
Total from continuation sheets				21,079,897.

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHALKBEAT, INC. 1239 BROADWAY NO 703B NEW YORK, NY 10001-0000	N/A	PC	CHALKBEAT NEW YORK	50,000.
CITY CENTER DANBURY EVENTS, INC. 268 MAIN STREET DANBURY, CT 06810-6652	N/A	PC	HEALTHY FOOD FOR HEALTHIER SENIORS TO AGE WELL IN DANBURY	20,000.
CITY OF DANBURY 10 ELMWOOD PLACE DANBURY, CT 06810	N/A	GOV	DEPARTMENT OF ELDERLY SERVICES	30,000.
CITY OF DANBURY 155 DEER HILL AVENUE DANBURY, CT 06810	N/A	GOV	EMERGENCY HOMELESS SHELTER	25,000.
COLUMBIA LAND CONSERVANCY, INC. 49 MAIN ST CHATHAM, NY 12037-1225	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY HEALTH PROJECT, INC. 356 W 18TH ST NEW YORK, NY 10011-4401	N/A	PC	GENERAL OPERATING SUPPORT	150,000.
CONNECTICUT COMMUNITY CARE, INC. 43 ENTERPRISE DRIVE BRISTOL, CT 06010-7457	N/A	PC	AGE WELL PROGRAMS	185,000.
CONNECTICUT INSTITUTE FOR COMMUNITIES, INC. 120 MAIN STREET, 4TH FLOOR DANBURY, CT 06810-7834	N/A	PC	DANBURY COMMUNITY CENTER SWIM/SAFETY PROGRAM	25,000.
CONNECTICUT LAND CONSERVATION COUNCIL INC 27 WASHINGTON STREET MIDDLETOWN, CT 06457-2872	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
CONNECTICUT NEWS PROJECT, INC. 1049 ASYLUM AVE HARTFORD, CT 06105-2432	N/A	PC	CT MIRROR	75,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DAILY BREAD - AN ECUMENICAL FOOD PANTRY, INC. 25 WEST ST DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
DANBURY GRASSROOTS TENNIS, INC. 196 AND 196A MAIN STREET DANBURY, CT 06810	N/A	PC	GENERAL OPERATING SUPPORT	35,000.
DANBURY HOSPITAL & NEW MILFORD HOSPITAL FOUNDATION, INC. 24 HOSPITAL AVE DANBURY, CT 06810-6099	N/A	PC	GOLDSTONE CAREGIVER COORDINATOR	20,000.
DUTCHESS LAND CONSERVANCY, INC. PO BOX 138 MILLBROOK, NY 12545-0138	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION REFORM NOW, INC. 222 BROADWAY FL 19 NEW YORK, NY 10038-2550	N/A	PC	EDUCATION REFORM NOW CONNECTICUT	350,000.
EDUCATORS FOR EXCELLENCE 80 PINE ST 28TH FLR NEW YORK, NY 10005-1733	N/A	PC	E4E-NY (\$275,000) AND E4E-CT (\$175,000)	450,000.
EXCELLENCE COMMUNITY SCHOOLS, INC. 2090 7TH AVENUE - STE 605 NEW YORK, NY 10027-4990	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000.
EXPANDED SCHOOLS, INC. 11 WEST 42ND STREET, 3RD FLOOR NEW YORK, NY 10036-8002	N/A	PC	PATHWAYS FELLOWSHIP	200,000.
FAITHACTS FOR EDUCATION, INC. 160 FAIRFIELD AVE BRIDGEPORT, CT 06604-4205	N/A	PC	GENERAL OPERATING SUPPORT	700,000.
FAMILIES NETWORK OF WESTERN CONNECTICUT, INC. 5 LIBRARY PLACE DANBURY, CT 06810-6617	N/A	PC	PARENT LEADERSHIP ALUMNI	25,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRESH AIR FUND 633 THIRD AVENUE, 14TH FLOOR NEW YORK, NY 10017-6706	N/A	PC	CAMPERSHIPS	50,000.
FRIENDS OF THE DANBURY LIBRARY, INC. PO BOX 3913 DANBURY, CT 06813-3913	N/A	PC	STEM PROGRAMMING	20,000.
FRIENDS OF THE DANBURY MUSEUM & HISTORICAL SOCIETY AUTHORITY, INC. 43 MAIN ST DANBURY, CT 06810-8011	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	604,608.
GIRL SCOUT COUNCIL OF GREATER NEW YORK, INC. 40 WALL STREET, SUITE 708 NEW YORK, NY 10005-1395	N/A	PC	CAMPERSHIPS	100,000.
GIRL SCOUTS OF CONNECTICUT INC 340 WASHINGTON STREET HARTFORD, CT 06106-3317	N/A	PC	CAMPERSHIPS	100,000.
GLSEN, INC. 110 WILLIAM ST 30TH FLOOR NEW YORK, NY 10038-3901	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
GOOD FOOD INSTITUTE, INC. 1380 MONROE ST. NW #229 WASHINGTON, DC 20010	N/A	PC	GENERAL OPERATING SUPPORT	2,500.
HIGHLANDS CURRENT, INC. 142 MAIN ST. COLD SPRING, NY 10516-2819	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
HIGHVILLE CHARTER SCHOOL, INC. 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GETTING TO GREAT	190,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
HIGHVILLE CHARTER SCHOOL, INC. 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GENERAL OPERATING SUPPORT	325,000.
HILLSIDE FOOD OUTREACH, INC. 2 WESTCHESTER PLAZA ELMSFORD, NY 10523	N/A	PC	SENIORS AGING IN PLACE - FOOD DELIVERY INITIATIVE	30,000.
HORIZONS BRIDGEPORT 1057 BROAD STREET, 2ND FLOOR BRIDGEPORT, CT 06604-4219	N/A	PC	GENERAL OPERATING SUPPORT	75,000.
HUDSON HIGHLANDS LAND TRUST, INC. 20 NAZARETH WAY GARRISON, NY 10524-0000	N/A	PC	GENERAL OPERATING SUPPORT	250,000.
INSTITUTE FOR NONPROFIT NEWS 714 W. OLYMPIC BLVD. #931 LOS ANGELES, CA 90015	N/A	PC	GENERAL OPERATING SUPPORT	25,000.
INTREPID FALLEN HEROES FUND ONE INTREPID SQ-W 46TH ST 12TH AVE NEW YORK, NY 10036-0000	N/A	PC	INTREPID SPIRIT CENTER PROJECT	5,000.
JUMOKE ACADEMY, INC. 999 ASYLUM AVE, SUITE 200 HARTFORD, CT 06105-2416	N/A	PC	GETTING TO GREAT	212,000.
JUMOKE ACADEMY, INC. 999 ASYLUM AVE, SUITE 200 HARTFORD, CT 06105-2416	N/A	PC	GENERAL OPERATING SUPPORT	348,000.
JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD COUNTY 835 MAIN STREET BRIDGEPORT, CT 06604-4900	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND 70 FARMINGTON AVE HARTFORD, CT 06105-3704	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAND TRUST ALLIANCE INCORPORATED 1250 H STREET, NW, SUITE 600 WASHINGTON, DC 20005-3952	N/A	PC	GENERAL OPERATING SUPPORT	170,000.
LEADERSHIP FOR EDUCATIONAL EQUITY FOUNDATION 1805 7TH ST NW 6TH FL WASHINGTON, DC 20001-3186	N/A	PC	GENERAL OPERATING SUPPORT	635,000.
LONGMONT HUMANE SOCIETY, INC. 9595 NELSON ROAD LONGMONT, CO 80501-6318	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MANITOGA, INC. 584 RT. 9D (PHYSICAL) PO BOX 249 (MAILING) GARRISON, NY 10524-3904	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MEDECINS SANS FRONTIERS USA, INC. 40 RECTOR ST 16TH FL NEW YORK, NY 10006-1751	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000.
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065-6007	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
NATIONAL CENTER FOR SPECIAL EDUCATION IN CHARTER SCHOOLS, INC. 420 LEXINGTON AVE RM 300 NEW YORK, NY 10170-0399	N/A	PC	IMPROVING SERVICES TO STUDENTS WITH DISABILITIES IN CONNECTICUT CHARTER SCHOOLS	125,000.
NATIONAL CENTER FOR TEACHER RESIDENCIES 1332 N HALSTED ST STE 304 CHICAGO, IL 60642-2694	N/A	PC	NEW SITE DEVELOPMENT (YEAR 2) - SACRED HEART UNIVERSITY	81,500.
NEW HAVEN ECOLOGY PROJECT, INC. 358 SPRINGSIDE AVE NEW HAVEN, CT 06515-1024	N/A	PC	GENERAL OPERATING SUPPORT	350,000.
NEW HOPE BAPTIST CHURCH 10 DR AARON B SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	SENIOR MINISTRY	15,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK ACADEMY OF SCIENCES 7 WORLD TRADE CENTER 250 NEW YORK, NY 10007-2140	N/A	PC	BUILDING A PIPELINE FROM SCIENCE TO TEACHING	300,000.
NORTHEAST CHARTER SCHOOLS NETWORK INC 2 TOWER PL STE 2 ALBANY, NY 12203-3726	N/A	PC	CT CHARTER SCHOOLS ASSOCIATION (\$1.2 MILLION); NY CHARTER SCHOOLS ASSOCIATION (\$100,000)	1,300,000.
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2019-2020	39,441.
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2020-2021	135,000.
OCEAN COMMUNITY YMCA 95 HIGH ST WESTERLY, RI 02891-1812	N/A	PC	GENERAL OPERATING SUPPORT	210.
ODYSSEY COMMUNITY SCHOOL 579 WEST MIDDLE TURNPIKE MANCHESTER, CT 06040-2728	N/A	PC	GETTING TO GREAT	100,000.
ODYSSEY COMMUNITY SCHOOL 579 WEST MIDDLE TURNPIKE MANCHESTER, CT 06040-2728	N/A	PC	GENERAL OPERATING SUPPORT	200,000.
ONLINE JOURNALISM PROJECT, INC. 51 ELM ST., 3RD FLOOR NEW HAVEN, CT 06510	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
ORANGE COUNTY LAND TRUST 50 OGDEN DRIVE, UNIT A NEW WINDSOR, NY 12553	N/A	PC	GENERAL OPERATING SUPPORT	40,000.
OUR LADY OF FATIMA CHURCH 2071 BALDWIN ST WATERBURY, CT 06706-2604	N/A	PC	CHURCH RENOVATION	25,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARTNERS IN HEALTH 800 BOYLSTON ST STE 300 BOSTON, MA 02199-7032	N/A	PC	MATERNAL CENTER OF EXCELLENCE PROJECT IN SIERRA LEONE	45,000.
PHI DELTA KAPPA INTERNATIONAL, INC. P.O. BOX 13090 ARLINGTON, VA 22219	N/A	PC	THE GRADE	35,000.
PHI DELTA KAPPA INTERNATIONAL, INC. P.O. BOX 13090 ARLINGTON, VA 22219	N/A	PC	EDUCATORS RISING - CONNECTICUT	275,000.
PROPUBLICA, INC. 155 AVENUE OF THE AMERICAS, 13TH FLOOR NEW YORK, NY 10013	N/A	PC	GENERAL OPERATING SUPPORT	125,000.
PROSPECT SCHOOLS, INC. 355 BRIDGE STREET BROOKLYN, NY 11201	N/A	PC	GENERAL OPERATING SUPPORT	1,750,000.
PUBLIC CONCERN FOUNDATION, INC. 105 HUDSON STREET, SUITE 407 NEW YORK, NY 10013-2325	N/A	PC	MAI PROJECT	10,000.
RAPE ABUSE AND INCEST NATIONAL NETWORK, INC. 1220 L ST NW STE 505 WASHINGTON, DC 20005-4018	N/A	PC	GENERAL OPERATING SUPPORT	2,500.
RECTOR & VISITORS OF THE UNIVERSITY OF VIRGINIA P.O. BOX 400811 CHARLOTTESVILLE, VA 22904	N/A	PC	WTJU RADIO STATION	5,000.
REGIONAL YMCA OF WESTERN CONNECTICUT, INC. 2 HUCKLEBERRY HILL RD BROOKFIELD, CT 06804-2219	N/A	PC	SENIOR HEALTH AND WELLNESS PROGRAMMING AND EDUCATION AND YOUTH ADVOCACY PROGRAMMING	55,000.
RELAY GRADUATE SCHOOL OF EDUCATION C/O EXTERNAL AFFAIRS, 25 BROADWAY NEW YORK, NY 10004-1061	N/A	PC	RELAY CONNECTICUT	350,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RETRO REPORT, INC. 7 MASONS ISLAND RD STE 1 MYSTIC, CT 06355-2938	N/A	POF	GENERAL OPERATING SUPPORT	51,000.
RIDGE AND VALLEY CONSERVANCY INC P.O. BOX 146 BLAIRSTOWN, NJ 07825-0146	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD, CT 06825-1090	N/A	PC	PRACTICE-BASED TEACHING RESIDENCIES AND THE BRIDGEPORTAL PROGRAM	90,000.
SCENIC HUDSON, INC. 1 CIVIC CENTER PLZ STE 200 POUGHKEEPSIE, NY 12601-3157	N/A	PC	GENERAL OPERATING SUPPORT	400,000.
SHELTER OF THE CROSS, INC. (DBA RENEWAL HOUSE) 18 DR AARON SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	20,000.
SISTEMA UNIVERSITARIO ANA G MENDEZ AVE. PRINCIPAL SABANA, CARR. 190, BARRIO SABANO ABAJO CAROLINA, PR 00928-1345	N/A	PC	CASA MUSEO PINERO - SECURITY AND MAINTENANCE	100,000.
ST. JOSEPH CHURCH 8 ROBINSON AVENUE DANBURY, CT 06810-5517	N/A	PC	CARMEN LUCIA BUCK RELIGIOUS EDUCATION BUILDING RENOVATION	15,000.
SUCCESS ACADEMY CHARTER SCHOOLS, INC. 95 PINE ST 6TH FLOOR NEW YORK, NY 10005-3917	N/A	PC	GENERAL OPERATING SUPPORT	200,000.
TEACH FOR AMERICA INC 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004-1056	N/A	PC	GENERAL OPERATING SUPPORT	450,000.
TEACH FOR AMERICA, INC. 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEACH-ORG INC 174 HERMANN ST SAN FRANCISCO, CA 94102-6131	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
TEACH-ORG INC 174 HERMANN ST SAN FRANCISCO, CA 94102-6131	N/A	PC	TEACHCT	452,000.
TEXAS TECH UNIVERSITY 2625 MEMORIAL CIRCLE LUBBOCK, TX 79409	N/A	PC	US PREP NATIONAL CENTER	300,000.
THE CONNECTICUT AUDUBON SOCIETY INCORPORATED 314 UNQUOWA ROAD FAIRFIELD, CT 06824	N/A	PC	GENERAL OPERATING SUPPORT - COASTAL CENTER AT MILFORD POINT	966.
THE ESOPUS FOUNDATION, LTD. 41 SCHERMERHORN ST SUITE 143 BROOKLYN, NY 11201-4802	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
THE GROUNDTRUTH PROJECT, INC. 10 GUEST ST BRIGHTON, MA 02135-2066	N/A	PC	REPORT FOR AMERICA	150,000.
THE MARITIME AQUARIUM AT NORWALK, INC. 10 N WATER ST SOUTH NORWALK, CT 06854-2228	N/A	PC	WHOLE SCHOOL PARTNERSHIP - CHARTER SCHOOL PROGRAM	50,000.
THE NEW YORK CITY CENTER FOR CHARTER SCHOOL EXCELLENCE 111 BROADWAY RM 604 NEW YORK, NY 10006-1975	N/A	PC	GENERAL OPERATING SUPPORT	225,000.
THE SALVATION ARMY 440 WEST NYACK ROAD WEST NYACK, NY 10994-1739	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
THIRD SECTOR NEW ENGLAND INC 89 SOUTH STREET, STE. 7000 BOSTON, MA 02111-0000	N/A	PC	SCHOOL AND STATE FINANCE PROJECT	700,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIDES CENTER 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129-1755	N/A	PC	100KIN10	175,000.
TODAY'S STUDENTS, TOMORROW'S TEACHERS 333 WESTCHESTER AVE. SUITE SOUTH 208 WHITE PLAINS, NY 10604	N/A	PC	TSTT HIGH SCHOOL TO COLLEGE PIPELINE IN CONNECTICUT	76,500.
TOWN OF WOODBURY 281 MAIN STREET SOUTH WOODBURY, CT 06798	N/A	GOV	WOODBURY SENIOR CENTER	10,000.
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST STE 900 SAN FRANCISCO, CA 94104-4148	N/A	PC	TRUST FOR PUBLIC LAND - CONNECTICUT	125,000.
UNCOMMON SCHOOLS, INC. 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003-4826	N/A	PC	GENERAL OPERATING SUPPORT	300,000.
UNITED WAY OF WESTERN CONNECTICUT, INC. 301 MAIN STREET NO 2-5 DANBURY, CT 06810-5861	N/A	PC	FOOD SECURITY FOR OLDER ADULTS	60,000.
UNITED WAY OF WESTERN CONNECTICUT, INC. 301 MAIN STREET NO 2-5 DANBURY, CT 06810-5861	N/A	PC	DANBURY SENIOR PROGRAMMING	125,000.
UNIVERSITY OF BRITISH COLUMBIA 6388 CRESCENT ROAD VANCOUVER, BRITISH COLUMBIA, CANADA V6T 1Z2	N/A	PC	GLOBAL REPORTING CENTRE	10,000.
UNIVERSITY OF CONNECTICUT FOUNDATION, INC. 2390 ALUMNI DR UNIT 3206 STORRS, CT 06269-3206	N/A	PC	NURSING STUDY ABROAD SCHOLARSHIP SUPPORT	10,000.
UNIVERSITY OF CONNECTICUT FOUNDATION, INC. 2390 ALUMNI DR UNIT 3206 STORRS, CT 06269-3206	N/A	PC	PSYCHOLOGY MINI-GRANT PROGRAM FOR UNDERGRADUATE RESEARCH	10,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WEANTINOGE HERITAGE LAND TRUST, INC. P.O. BOX 821 5 MAPLE STREET KENT, CT 06757	N/A	PC	GENERAL OPERATING SUPPORT	75,000.
WESTCHESTER LAND TRUST, INC. 403 HARRIS RD BEDFORD HILLS, NY 10507-2408	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
WESTERN CONNECTICUT HOME CARE, INC. 100 SAW MILL RD DANBURY, CT 06810-5106	N/A	PC	SAFE AT HOME PROGRAM	40,000.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 10460-1068	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
WNET 825 EIGHTH AVE 14TH FLOOR NEW YORK, NY 10019-7416	N/A	PC	NJ SPOTLIGHT	75,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BINGHAMTON UNIVERSITY ITF GEORGE LEE 4400 VESTAL PARKWAY EAST BINGHAMTON, NY 13902	NONE	I	SCHOLARSHIP	20,573.
COLGATE UNIVERSITY ITF MELITA ANDERSON 13 OAK DRIVE HAMILTON, NY 13346-1338	NONE	I	SCHOLARSHIP	2,764.
DEPAUW UNIVERSITY ITF ASHLEY HALL 204 E. SEMINARY GREENCASTLE, IN 46135-0037	NONE	I	SCHOLARSHIP	34,238.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPIRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	193,890.
GEORGE LEE BU BOX 21882 PO BOX 6020 BINGHAMTON, NY 13902	NONE	I	SCHOLARSHIP	1,800.
MELITA ANDERSON STILLMAN 415 BOX A85, 13 OAK DRIVE HAMILTON, NY 13346	NONE	I	SCHOLARSHIP	1,855.
NORTHWEST EVALUATION ASSOCIATION (NWEA) 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2020-2021 SCHOOL YEAR	33,403.
PRINCESS ADU CMC BOX 274041 500 JOSEPH C. WILSON BLVD. ROCHESTER, NY 14627-4041	NONE	I	SCHOLARSHIP	800.
TEACH FOR AMERICA - CONNECTICUT 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000.
UNIVERSITY OF ROCHESTER ITF PRINCESS ADU 500 JOSEPH C. WILSON BOULEVARD ROCHESTER, NY 14627	NONE	I	SCHOLARSHIP	3,446.
Total from continuation sheets				643,219.

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILLIAMS COLLEGE ITF AYANNA COLUMBUS HOPKINS HALL 880 MAIN STREET WILLIAMSTOWN, MA 01267	NONE	I	SCHOLARSHIP	450.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a PROGRAMMATIC RENTAL					
b INCOME					103,510.
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	264,734.	
4 Dividends and interest from securities			14	11,374,657.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<658,291.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a CREDIT CARD CASH REFUND			01	6,373.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		10,987,473.	103,510.
13 Total Add line 12, columns (b), (d), and (e)					11,090,983.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
1A	PROGRAMMATIC RENTAL INCOME (FOR LEASE OF OFFICE SPACE) RECEIVED FROM A 501(C)(3) PRIVATE OPERATING FOUNDATION, WHICH COINCIDES WITH THE FOUNDATION'S PURPOSE TO SUPPORT ORGANIZATIONS LIKE SUCH LESSEE THAT CONDUCT HIGH-QUALITY INVESTIGATIVE JOURNALISM, MAKE AVAILABLE NEW DATA-GATHERING AND DISTRIBUTION TOOLS AND OFFER PROFESSIONAL TRAINING TO INVESTIGATIVE JOURNALISTS.

**THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Form 990-PF (2019)

06-1547852 Page 13

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|---|--|--|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | | | X |
| | (2) Other assets | | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | | X |
| | (3) Rental of facilities, equipment, or other assets | | | X |
| | (4) Reimbursement arrangements | | | X |
| | (5) Loans or loan guarantees | | | X |
| | (6) Performance of services or membership or fundraising solicitations | | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here 10/25/2020 **Exec Director**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instr.

Yes No

Paid Preparer Use Only	Print/Type preparer's name THOMAS F. BLANEY	Preparer's signature 	Date 10/22/2020	Check if self-employed	PTIN P00234022
	Firm's name ▶ PKF O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
	Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022			Phone no. (212) 286-2600	

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization
**THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Employer identification number
06-1547852

Organization type (check one)

Filers of:

Section:

Form 990 or 990 EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990 EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990 EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
---	---

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	<u>PETER BUCK NONGRANTOR CLAT OF 04/2009</u> <u>ONE INTERNATIONAL PLACE, SUITE 1600</u> <u>BOSTON, MA 02110</u>	\$ 3,130,951.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	<u>THE PETER BUCK TUITION ASSISTANCE TRUST AGREEMENT</u> <u>185 ASYLUM STREET</u> <u>HARTFORD, CT 06103</u>	\$ 77,989.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	<u>PETER BUCK NONGRANTOR CLAT OF 11/2012</u> <u>ONE INTERNATIONAL PLACE, SUITE 1600</u> <u>BOSTON, MA 02110</u>	\$ 5,279,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	<u>PETER BUCK NONGRANTOR CLAT OF 02/2011</u> <u>185 ASYLUM STREET</u> <u>HARTFORD, CT 06103</u>	\$ 5,508,200.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
---	---

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization **THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.** Employer identification number **06-1547852**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF PUBLICLY TRADED SECURITIES			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
129,614,700.	129,956,912.	0.	0.	<342,212.>	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF DONATED SECURITIES			DONATED		
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,301,590.	1,617,669.	0.	0.	<316,079.>	

CAPITAL GAINS DIVIDENDS FROM PART IV 0.

TOTAL TO FORM 990-PF, PART I, LINE 6A <658,291.>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA MONEY MARKET INTEREST	202,566.	202,566.	
MORGAN STANLEY MONEY MARKET INTEREST	726.	726.	
NORTHERN TRUST MONEY MARKET INTEREST	61,442.	61,442.	
TOTAL TO PART I, LINE 3	264,734.	264,734.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM INVESTMENTS	5,860,296.	0.	5,860,296.	5,860,296.	
INTEREST INCOME FROM INVESTMENTS	5,514,361.	0.	5,514,361.	5,514,361.	
TO PART I, LINE 4	11,374,657.	0.	11,374,657.	11,374,657.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PROGRAMMATIC RENTAL INCOME	103,510.	103,510.	
CREDIT CARD CASH REFUND	6,373.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	109,883.	103,510.	

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MICHAEL A. NEUFELD & ASSOC., LLC	70,000.	0.		70,000.
TO FM 990-PF, PG 1, LN 16A	70,000.	0.		70,000.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA	1,000.	0.		1,000.
DEMASCO SENA AND JAHELKA	25,900.	0.		25,900.
HOYT, FILIPPETTI & MALAGHAN, LLC	225.	0.		225.
PKF O'CONNOR DAVIES, LLP	52,787.	0.		52,787.
TO FORM 990-PF, PG 1, LN 16B	79,912.	0.		79,912.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000.	0.		15,000.
BANK OF AMERICA - INVESTMENT MANAGEMENT FEES	243,024.	243,024.		0.
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	57,260.	57,260.		0.
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	380,865.	380,865.		0.
TO FORM 990-PF, PG 1, LN 16C	696,149.	681,149.		15,000.

FORM 990-PF	TAXES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	336.	336.		0.
FEDERAL EXCISE TAX	160,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	160,336.	336.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT SUPPORT	42.	0.		42.
OFFICE AND OTHER EXPENSES	39,040.	0.		39,040.
OFFICE CONSTRUCTION	2,210.	0.		2,210.
PAYROLL PROCESSING	4,111.	0.		4,111.
POSTAGE	342.	0.		342.
PROFESSIONAL DEVELOPMENT	511.	0.		511.
SOFTWARE	46,201.	0.		46,201.
STATE FILING FEES	1,575.	0.		1,575.
WEBSITE DESIGN AND MAINTENANCE	16,036.	0.		16,036.
INTERNET AND TELEPHONE	12,043.	0.		12,043.
DUES & SUBSCRIPTIONS	21,864.	0.		21,864.
FURNITURE & EQUIPMENT	4,689.	0.		4,689.
INSURANCE	25,609.	0.		25,609.
OTHER EXPENSE	420.	0.		420.
TO FORM 990-PF, PG 1, LN 23	174,693.	0.		174,693.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
CHANGE IN UNREALIZED GAIN ON INVESTMENTS		9,008,207.
TOTAL TO FORM 990-PF, PART III, LINE 3		9,008,207.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 11
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - U.S. GOVERNMENT OBLIGATIONS	X		107,690,912.	107,690,912.
TOTAL U.S. GOVERNMENT OBLIGATIONS			107,690,912.	107,690,912.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			107,690,912.	107,690,912.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12	
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - CORPORATE STOCK		182,210,327.	182,210,327.
TOTAL TO FORM 990-PF, PART II, LINE 10B		182,210,327.	182,210,327.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13	
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - CORPORATE BONDS		85,456,521.	85,456,521.
TOTAL TO FORM 990-PF, PART II, LINE 10C		85,456,521.	85,456,521.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - INTERNATIONAL BONDS	FMV	21,095,131.	21,095,131.
SEE ATTACHMENT A - MUTUAL FUNDS	FMV	126,922,558.	126,922,558.
TOTAL TO FORM 990-PF, PART II, LINE 13		148,017,689.	148,017,689.

FORM 990-PF	OTHER ASSETS	STATEMENT 15	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	10,346,253.	11,746,253.	11,746,253.
TO FORM 990-PF, PART II, LINE 15	10,346,253.	11,746,253.	11,746,253.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHRISTOPHER BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	PRESIDENT/DIRECTOR 20.00	25,000.	25,045.	0.
WILLIAM BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	TREASURER/DIRECTOR 20.00	25,000.	24,988.	0.
MICHAEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	SECRETARY/DIRECTOR 20.00	25,000.	298.	0.
VERA LOURENCO 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	18,587.	0.
D. BEN BENOIT 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	EXECUTIVE DIRECTOR 30.00	219,584.	9,410.	0.
SAMUEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	740.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		344,584.	79,068.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
107,904.	11/02/18	107,904.

PURPOSE OF GRANT

RE-GRANTING TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,357.	07/29/19	1,357.

PURPOSE OF GRANT

RE-GRANTING TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
8,725.	11/13/19	8,725.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

12/31/2019, 1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
86,590.	04/10/20	86,590.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

12/31/2019, 1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
314,423.	11/02/18	314,423.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2019, 2/28/2019, 3/31/2019, 4/30/2019, 5/31/2019, 6/30/2019, 8/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	07/29/19	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	08/26/19	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,725.	11/13/19	1,725.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,531.	12/12/19	2,531.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	01/24/20	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	03/17/20	78,090.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
352.	10/25/19	352.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ART SCIENCE RESEARCH LABORATORY, INC.

GRANTEE'S ADDRESS

62 GREENE STREET, 3RD FLOOR
NEW YORK, NY 10012-4346

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	04/28/20	0.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/17/19	50,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,000.	11/20/19	1,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	04/28/20	0.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ABRIGO A VELHICE DESAMPARADA AUTA LOUREIRO MACHADO - AVEDALMA*

GRANTEE'S ADDRESS

RUA JOO RODRIGUES FILHO 425
CARIACICA, BRAZIL, 29.156-035

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

APAE RESPLENDOR*

GRANTEE'S ADDRESS

RUA EDUARDO MENEGUSSI, 419 NOSSA SENHORA DE FTIMA
RESPLENDOR, BRAZIL, 35230-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
4,343.	07/03/19	4,343.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO AINDA HA ESPERANCA*

GRANTEE'S ADDRESS

RUA DOUTOR JOSE FIORACANTE DE VASCONCELOS, 260, SANTOS PRATES I
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE MANTENA - APAE MANTENA*

GRANTEE'S ADDRESS

RUA ELIANE MELADO, 441 BAIRRO SANTO ANTONIO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: ENERGY OF GOOD - EFFICIENT ECONOMY

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PROMOCAO HUMANA ORAACAO - FRATERNIDADE ORAAO*

GRANTEE'S ADDRESS

AV. DEPUTADO NILTON GOMES S/N RODOVIA DO SOL, KM 20 RETIRO DO CONGO
VILA VELHA, BRAZIL, 29.128-992

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO FEMININA DE EDUCACAO E COMBATE AO CANÇER - AFECC*

GRANTEE'S ADDRESS

AV. MARECHAL CAMPOS, 1579 SANTA CECILIA
VITRIA, BRAZIL, 29043-260

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO MOBILIZADORA DE IGREJAS EVANGELICAS PRO CRIANCAS CARENTES - AMIE*

GRANTEE'S ADDRESS

RUE PRESIDENTE LIMA, 385, CENTRO
VILA VELHA, BRAZIL, 29100-330

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: AFTERSCHOOL PROGRAMS

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PESTALOZZI DE SANTA TEREZA*

GRANTEE'S ADDRESS

LADEIRA FORTUNATO CARLOS BONINO 457 BAIRRO VILA NOVA
SANTA TERESA, BRAZIL, 29650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PRESENTE DE APOIO A PACIENTES COM CANCER*

GRANTEE'S ADDRESS

RUA MARIA FERNANDA FREITAS DE JESUS CORDEIRO, 242, CANELAS
MONTES CLAROS, BRAZIL, 39402-610

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR A CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOS DE ALMEIDA, 600
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT PROPRIO

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO DO AMOR*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOS DE ALMEIDA, 600
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,600.	07/03/19	2,600.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT REFRIGRIO

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE PEQUENO LAR*

GRANTEE'S ADDRESS

RUA JOS RESENDE FILHO, 169 DIVINO ESPRITO SANTO
VILA VELHA, BRAZIL, 29106470

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,300.	07/03/19	1,300.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO GUARDA MIRIM DE MANTENA*

GRANTEE'S ADDRESS

RUA SETE DE SETEMBRO, 901
CENTRO, BRAZIL, 35290

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,300.	07/03/19	1,300.

PURPOSE OF GRANT

ABRAS RE-GRANT: EQUIPMENT PURCHASE

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL EVANGELICO DE VILA VELHA*

GRANTEE'S ADDRESS

RUA VNUS, S/N
ALECRIM, BRAZIL, 29.118-060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL MADRE REGINA PROTSMANN - HOSPITAL DE SANTA TEREZA*

GRANTEE'S ADDRESS

AV. DAS ACADCIAS, 417, JARDIM DA MONTANHA
SANTA TERESA, BRAZIL, 29650

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO NOSSA SENHORA DE FATIMA - INSF*

GRANTEE'S ADDRESS

RUA WILSON MELADO, 18, CENTRO
MANTENA, BRAZIL, 35290

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO SOCIAL ESPERANCA*

GRANTEE'S ADDRESS

R. ORDEM E PROGRESSO, 31 ARGOLAS
VILA VELHA, BRAZIL, 29123-012

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,643.	07/03/19	5,643.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LAR FREI AURELIO*

GRANTEE'S ADDRESS

RUA ROMERO LOFEGO BOTELHO, 350, PRAIA DA COSTA
VILA VELHA, BRAZIL, 29101-420

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,643.	07/03/19	5,643.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OBRAS DA PAROQUIA DE SANTO ANTONIO*

GRANTEE'S ADDRESS

PRACA FERNANDES FILHO, 61 - BAIRRO CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE RESPLENDOR*

GRANTEE'S ADDRESS

RUA EDUARDO MENEGUSSI 419
RESPLENDOR, BRAZIL, 35230-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO LAR FREI AURELIO STULZER*

GRANTEE'S ADDRESS

RUA ROMERO LOFEGO BOTELHO 350 PRAIA DA COSTA
VILA VELHA, BRAZIL, 29101-068

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO MOBILIZADORA DE IGREJAS EVANGELICAS PRO CRIANCAS CARENTES - AMIE*

GRANTEE'S ADDRESS

RUA PRESIDENTE LIMA 385 CENTRO
VILA VELHA, BRAZIL, 29100-330

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: AFTERSCHOOL PROGRAMS - CLEANING & HR

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ABRIGO A VELHICE DESAMPARADA AUTA LOUREIRO MACHADO - AVEDALMA*

GRANTEE'S ADDRESS

RUA JOAO RODRIGUES FILHO 425 CARIACICA SEDE
CARIACICA, BRAZIL, 29.156-035

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE MANTENA - APAE MANTENA*

GRANTEE'S ADDRESS

RUA ELIANE MELADO 441 BAIRRO SANTO ANTONIO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: "BRINCAR E CRESCER" (PLAYING AND GROWING) - TOY LIBRARY

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO FEMININA DE EDUCACAO E COMBATE AO CANCER - AFECC*

GRANTEE'S ADDRESS

RUA MARECHAL CAMPOS 1579 SANTA CECILIA
VITORIA, BRAZIL, 29.043-260

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PE' TALOZZI DE SANTA TEREZA*

GRANTEE'S ADDRESS

LADEIRA FORTUNATO CARLOS BONINO 457 VILA NOVA
SANTA TEREZA, BRAZIL, 29.650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL MADRE REGINA PROTSMANN*

GRANTEE'S ADDRESS

RUA DAS ACACIAS N 417 BAIRRO JARDIM DA MONTANHA
SANTA TERESA, BRAZIL, 29650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR A CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOSE DE ALMEIDA 600 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,633.	.05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT REFRIGERIO

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOSE DE ALMEIDA 600 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT PROPRIO

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO EVANGELICA BENEFICENTE ESPIRITO SANTENSE - AEBES*

GRANTEE'S ADDRESS

RUA VENUS, SN ALECRIM
VILA VELHA, BRAZIL, 29.118-060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO NOSSA SENHORA DE FATIMA - INAF*

GRANTEE'S ADDRESS

RUA WILSON MELADO 18 CENTRO
MANTENA, BRAZIL, 35.290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OBRAS DA PAROQUIA DE SANTO ANTONIO*

GRANTEE'S ADDRESS

PRACA FERNANDES FILHO 62 CENTRO
MANTENA, BRAZIL, 35.290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE PEQUENO LAR*

GRANTEE'S ADDRESS

RUA JOSE REZENDE FILHO 169 BAIRRO DIVINO
VILA VELHA, BRAZIL, 29107-248

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,257.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO GUARDA MIRIM DE MANTENA*

GRANTEE'S ADDRESS

RUA SETE DE SETEMBRO 901 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,257.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: EQUIPMENT PURCHASE

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 18

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2020, \$2,000,000 WAS LOANED TO THE SCENIC HUDSON LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 508 ACRES OF PROPERTY LOCATED ALONG THE HUDSON RIVER IN THE TOWN OF KINGSTON, COUNTY OF ULSTER AND STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM, FOR THE FIRST FIVE YEARS OF THE LOAN. BEGINNING WITH THE SIXTH YEAR OF THE LOAN, THE INTEREST RATE WILL BE 3.00% PER ANNUM, COMPOUNDED ANNUALLY. THE TERM OF THE LOAN IS THE EARLIER OF TEN YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2020 THE OUTSTANDING BALANCE ON THE LOAN IS \$2,000,000.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

2,000,000.

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 19

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2020, \$350,000 WAS LOANED TO WESTCHESTER LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 91 ACRES OF PROPERTY LOCATED IN THE TOWN OF KINGSTON, COUNTY OF ULSTER AND STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2020 THE OUTSTANDING BALANCE ON THE LOAN IS \$350,000.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

350,000.