

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

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OMB No 1545-0052

2018

Open to Public Inspection

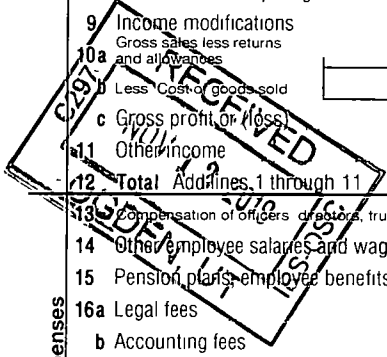
For calendar year 2018 or tax year beginning JUL 1, 2018, and ending JUN 30, 2019

Name of foundation THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC. A Employer identification number 06-1547852 B Telephone number (212) 360-6173 G Check all that apply H Check type of organization I Fair market value of all assets at end of year J Accounting method

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-30).

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**THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		500.	500.	500.
	2	Savings and temporary cash investments		11,403,473.	24,207,117.	24,207,117.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations STMT 11		73,013,167.	88,426,378.	88,426,378.
		b Investments - corporate stock STMT 12		163,820,218.	167,454,978.	167,454,978.
		c Investments - corporate bonds STMT 13		103,052,646.	102,527,545.	102,527,545.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 14		176,765,064.	162,618,717.	162,618,717.	
14	Land, buildings, and equipment; basis ▶ 15,763,219.					
	Less: accumulated depreciation ▶		15,763,219.	15,763,219.	15,763,219.	
15	Other assets (describe ▶ PROGRAM RELATED INV)		3,825,000.	10,346,253.	10,346,253.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		547,643,287.	571,344,707.	571,344,707.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31					
	24	Unrestricted		547,643,287.	571,344,707.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		547,643,287.	571,344,707.		
31	Total liabilities and net assets/fund balances		547,643,287.	571,344,707.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	547,643,287.
2	Enter amount from Part I, line 27a	2	9,128,883.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	14,572,537.
4	Add lines 1, 2, and 3	4	571,344,707.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	571,344,707.

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Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e		122,340,499.	7,527,704.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			
b			
c			
d			
e			7,527,704.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,527,704.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	24,784,247.	519,878,480.	.047673
2016	23,421,724.	474,129,873.	.049399
2015	26,927,158.	433,358,189.	.062136
2014	35,486,472.	420,391,470.	.084413
2013	17,029,138.	373,196,073.	.045631

2 Total of line 1, column (d)	2	.289252
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.057850
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	527,387,106.
5 Multiply line 4 by line 3	5	30,509,344.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	183,851.
7 Add lines 5 and 6	7	30,693,195.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	36,102,317.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	183,851.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	183,851.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	183,851.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	315,000.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	315,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	131,149.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PCLBFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>D. BEN BENOIT</u> Telephone no ► <u>860-572-1242</u> Located at ► <u>7 MASON'S ISLAND ROAD, SUITE 1, MYSTIC, CT</u> ZIP+4 ► <u>06355</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	SEE STATEMENT 17 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		326,667.	80,815.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARRIE SCHINDELE - 633 THIRD AVENUE, 16TH FLOOR, NY, NY 10017	VP, PROGRAMS & ADM. 40.00	177,969.	22,196.	0.
DANIEL WEISS - 633 THIRD AVENUE, 16TH FLOOR, NY, NY 10017	PROGRAM DIRECTOR 40.00	102,257.	25,018.	0.
AMANDA LEFER - 633 THIRD AVENUE, 16TH FLOOR, NY, NY 10017	PROGRAM OFFICER 40.00	84,844.	30,820.	0.
EMILY PRINCE - 633 THIRD AVENUE, 16TH FLOOR, NY, NY 10017	PROGRAM OFFICER 40.00	68,227.	27,190.	0.
LILLIAN LIANG - 633 THIRD AVENUE, 16TH FLOOR, NY, NY 10017	PROGRAM OFFICER 40.00	60,354.	16,102.	0.
Total number of other employees paid over \$50,000				3

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
U.S. TRUST BANK OF AMERICA PRIVATE WEALTH MAN. 185 ASYLUM STREET, HARTFORD, CT 06103	INVESTMENT MANAGEMENT	253,333.
NORTHERN TRUST - ONE INTERNATIONAL PLACE, SUITE 1600, BOSTON, MA 02110	INVESTMENT MANAGEMENT	192,195.
MORGAN STANLEY - 545 LONG WHARF DR., 7TH FLOOR, NEW HAVEN, CT 06511	INVESTMENT MANAGEMENT	56,944.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
SEE STATEMENT 18	6,000.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
SEE STATEMENT 19	5,000,000.
2	
SEE STATEMENT 20	2,500,000.
All other program-related investments. See instructions.	
3 SEE ATTACHMENT F	
	2,050,000.
Total. Add lines 1 through 3	9,550,000.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	516,521,060.
b	Average of monthly cash balances	1b	18,897,322.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	535,418,382.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	535,418,382.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,031,276.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	527,387,106.
6	Minimum investment return. Enter 5% of line 5	6	26,369,355.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	26,369,355.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	183,851.
b	Income tax for 2018. (This does not include the tax from Part VI)	2b	1,887.
c	Add lines 2a and 2b	2c	185,738.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,183,617.
4	Recoveries of amounts treated as qualifying distributions	4	1,087,774.
5	Add lines 3 and 4	5	27,271,391.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,271,391.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	26,552,317.
b	Program-related investments - total from Part IX-B	1b	9,550,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	36,102,317.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	183,851.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,918,466.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				27,271,391.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014	8,228,059.			
c From 2015	5,525,890.			
d From 2016				
e From 2017				
f Total of lines 3a through e	13,753,949.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 36,102,317.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				27,271,391.
e Remaining amount distributed out of corpus	8,830,926.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	22,584,875.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	22,584,875.			
10 Analysis of line 9:				
a Excess from 2014	8,228,059.			
b Excess from 2015	5,525,890.			
c Excess from 2016				
d Excess from 2017				
e Excess from 2018	8,830,926.			

THE PETER AND CARMEN LUCIA BUCK
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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- NONE**
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- NONE**
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or email address of the person to whom applications should be addressed
- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Form 990-PF (2018)

06-1547852 Page 11

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHMENT C	N/A			24,564,041.
Total			▶ 3a	24,564,041.
b Approved for future payment				
SEE ATTACHMENT D	N/A			6,945,741.
Total			▶ 3b	6,945,741.

Form 990-PF (2018)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a PROGRAMMATIC RENTAL					
b INCOME					92,131.
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	276,745.	
4 Dividends and interest from securities			14	11,083,804.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	6,896,753.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUND			01	59,027.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		18,316,329.	92,131.
13 Total Add line 12, columns (b), (d), and (e)					18,408,460.
(See worksheet in line 13 instructions to verify calculations)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
1A	PROGRAMMATIC RENTAL INCOME (FOR LEASE OF OFFICE SPACE) RECEIVED FROM A 501(C)(3) PRIVATE OPERATING FOUNDATION, WHICH COINCIDES WITH THE FOUNDATION'S PURPOSE TO SUPPORT ORGANIZATIONS LIKE SUCH LESSEE THAT CONDUCT HIGH-QUALITY INVESTIGATIVE JOURNALISM, MAKE AVAILABLE NEW DATA-GATHERING AND DISTRIBUTION TOOLS AND OFFER PROFESSIONAL TRAINING TO INVESTIGATIVE JOURNALISTS.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with columns Yes and No for items 1a(1), 1a(2), 1b(1) through 1b(6), and 1c. All 'No' boxes are checked with an 'X'.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. The only entry is 'N/A' in column (c).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. The only entry is 'N/A' in column (a).

Sign Here: Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature: [Signature] Date: 11/6/19 Title: Executive Director

May the IRS discuss this return with the preparer shown below? See instr. [X] Yes [] No

Paid Preparer Use Only: Print/Type preparer's name: THOMAS F. BLANEY; Preparer's signature: [Signature]; Date: 10/30/19; Check self-employed: []; PTIN: P00234022; Firm's name: PKF O'CONNOR DAVIES, LLP; Firm's EIN: 27-1728945; Firm's address: 665 FIFTH AVENUE, NEW YORK, NY 10022; Phone no.: (212) 286-2600

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ATTACHMENT A-1, PG. 5 - EQUITY SECURITIES	P	VARIOUS	VARIOUS
b ATTACHMENT A-2, PG. 2 - EQUITY SECURITIES	D	VARIOUS	VARIOUS
c ATTACHMENT A-3, PG. 1 - FIXED INCOME	D	VARIOUS	VARIOUS
d ATTACHMENT A-4, PG. 2 - FIXED INCOME	P	VARIOUS	VARIOUS
e ATTACHMENT A-5, PG. 2 - EQUITY SECURITIES	P	VARIOUS	VARIOUS
f ATTACHMENT A-6, PG. 2 - GOVERNMENT OBLIGATIONS	P	VARIOUS	VARIOUS
g ATTACHMENT A-7, PG. 1 - CORPORATE OBLIGATIONS	P	VARIOUS	VARIOUS
h ATTACHMENT A-8, PG. 2 - MUTUAL FUNDS	P	VARIOUS	VARIOUS
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43,659,232.		39,264,986.	4,394,246.
b 6,265,683.		5,332,364.	933,319.
c 46,918.		48,595.	<1,677.>
d 46,869,020.		47,194,430.	<325,410.>
e 19,065,298.		17,697,589.	1,367,709.
f 7,946,007.		7,941,929.	4,078.
g 3,400,400.		3,569,886.	<169,486.>
h 2,615,645.		1,290,720.	1,324,925.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4,394,246.
b			933,319.
c			<1,677.>
d			<325,410.>
e			1,367,709.
f			4,078.
g			<169,486.>
h			1,324,925.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	7,527,704.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2018

Name of the organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER BUCK NONGRANTOR CLAT OF 02/2011 185 ASYLUM STREET HARTFORD, CT 06103	\$ 5,508,200.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	PETER BUCK NONGRANTOR CLAT OF 04/2009 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 7,335,335.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	PETER BUCK NONGRANTOR CLAT OF 11/2012 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 5,279,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	THE PETER BUCK TUITION ASSISTANCE TRUST AGREEMENT 185 ASYLUM STREET HARTFORD, CT 06103	\$ 79,894.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part III **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number <p style="text-align: center;">06-1547852</p>
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
ATTACHMENT A-1. PG. 5 - EQUITY SECURITIES	43,659,232.	39,264,986.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
ATTACHMENT A-2, PG. 2 - EQUITY SECURITIES	6,265,683.	5,963,315.	0.	DONATED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
ATTACHMENT A-3, PG. 1 - FIXED INCOME	46,918.	48,595.	0.	DONATED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
ATTACHMENT A-4, PG. 2 - FIXED INCOME	46,869,020.	47,194,430.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ATTACHMENT A-5, PG. 2 - EQUITY SECURITIES			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
19,065,298.	17,697,589.	0.	0.	1,367,709.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ATTACHMENT A-6, PG. 2 - GOVERNMENT OBLIGATIONS			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
7,946,007.	7,941,929.	0.	0.	4,078.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ATTACHMENT A-7, PG. 1 - CORPORATE OBLIGATIONS			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
3,400,400.	3,569,886.	0.	0.	<169,486.>	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ATTACHMENT A-8, PG. 2 - MUTUAL FUNDS			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
2,615,645.	1,290,720.	0.	0.	1,324,925.	

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	6,896,753.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA MONEY MARKET INTEREST	173,089.	173,089.	
MORGAN STANLEY MONEY MARKET INTEREST	2,274.	2,274.	
NORTHERN TRUST MONEY MARKET INTEREST	101,382.	101,382.	
TOTAL TO PART I, LINE 3	276,745.	276,745.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM INVESTMENTS	6,366,534.	0.	6,366,534.	6,366,534.	
INTEREST INCOME FROM INVESTMENTS	4,717,270.	0.	4,717,270.	4,717,270.	
TO PART I, LINE 4	11,083,804.	0.	11,083,804.	11,083,804.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAMMATIC RENTAL INCOME	92,131.	92,131.	
GRANT REFUND	59,027.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	151,158.	92,131.	

FORM 990-PF

LEGAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MICHAEL A. NEUFELD & ASSOC., LLC	40,000.	0.		40,000.
CANCIO, COVAS & SANTIAGO, LLP	12,277.	0.		12,277.
TO FM 990-PF, PG 1, LN 16A	52,277.	0.		52,277.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA	1,000.	0.		1,000.
DEMASCO SENA AND JAHIELKA	25,160.	0.		25,160.
HOYT, FILIPPETTI & MALAGHAN, LLC	225.	0.		225.
PKF O'CONNOR DAVIES, LLP	48,244.	0.		48,244.
TO FORM 990-PF, PG 1, LN 16B	74,629.	0.		74,629.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000.	0.		15,000.
BANK OF AMERICA - INVESTMENT MANAGEMENT FEES	253,333.	253,333.		0.
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	56,944.	56,944.		0.
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	192,195.	192,195.		0.
CBIZ - COMPENSATION ANALYSIS	500.	0.		500.
TO FORM 990-PF, PG 1, LN 16C	517,972.	502,472.		15,500.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	70.	70.		0.
FEDERAL EXCISE TAX	334,375.	0.		0.
TO FORM 990-PF, PG 1, LN 18	334,445.	70.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT SUPPORT	2,281.	0.		2,281.
OFFICE AND OTHER EXPENSES	42,229.	0.		42,229.
OFFICE CONSTRUCTION	1,352.	0.		1,352.
PAYROLL PROCESSING	2,961.	0.		2,961.
POSTAGE	702.	0.		702.
PROFESSIONAL DEVELOPMENT	488.	0.		488.
SOFTWARE	42,227.	0.		42,227.
STATE FILING FEES	3,041.	0.		3,041.
WEBSITE DESIGN AND MAINTENANCE	4,290.	0.		4,290.
INTERNET AND TELEPHONE	11,060.	0.		11,060.
DUES & SUBSCRIPTIONS	21,864.	0.		21,864.
FURNITURE & EQUIPMENT	10,895.	0.		10,895.
INSURANCE	27,392.	0.		27,392.
BANK FEES	6,381.	0.		6,381.
OTHER EXPENSES	455.	0.		455.
OTHER PROGRAM RELATED EXPENSES	6,000.	0.		6,000.
TO FORM 990-PF, PG 1, LN 23	183,618.	0.		183,618.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 10

DESCRIPTION	AMOUNT
CHANGE IN UNREALIZED GAIN ON INVESTMENTS	14,572,537.
TOTAL TO FORM 990-PF, PART III, LINE 3	14,572,537.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B - U.S. GOVERNMENT OBLIGATIONS	X		88,426,378.	88,426,378.
TOTAL U.S. GOVERNMENT OBLIGATIONS			88,426,378.	88,426,378.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			88,426,378.	88,426,378.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B - CORPORATE STOCK	167,454,978.	167,454,978.
TOTAL TO FORM 990-PF, PART II, LINE 10B	167,454,978.	167,454,978.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B - CORPORATE BONDS	102,527,545.	102,527,545.
TOTAL TO FORM 990-PF, PART II, LINE 10C	102,527,545.	102,527,545.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 14

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B - INTERNATIONAL BONDS	FMV	15,869,913.	15,869,913.
SEE ATTACHMENT B - MUTUAL FUNDS	FMV	146,748,804.	146,748,804.
TOTAL TO FORM 990-PF, PART II, LINE 13		162,618,717.	162,618,717.

FORM 990-PF

OTHER ASSETS

STATEMENT 15

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	3,825,000.	10,346,253.	10,346,253.
TO FORM 990-PF, PART II, LINE 15	3,825,000.	10,346,253.	10,346,253.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHRISTOPHER BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	PRESIDENT/DIRECTOR 20.00	25,000.	26,208.	0.
WILLIAM BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	TREASURER/DIRECTOR 20.00	25,000.	25,763.	0.
MICHAEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	SECRETARY/DIRECTOR 20.00	25,000.	231.	0.
VERA LOURENCO 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	17,558.	0.
D. BEN BENOIT 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	EXECUTIVE DIRECTOR 30.00	201,667.	11,055.	0.
SAMUEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		326,667.	80,815.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

SEE ATTACHMENT E

GRANTEE'S ADDRESS

SEE ATTACHMENT E

GRANT AMOUNT

DATE OF GRANT

AMOUNT EXPENDED

PURPOSE OF GRANT

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 18

ACTIVITY ONE

CLAREMONT CONSULTING - CHARTER SCHOOL BOARD TRAINING:
 THE FOUNDATION ENGAGED CLAREMONT CONSULTING TO PROVIDE TWO
 TRAINING SESSIONS TO THE BOARDS OF CHARTER SCHOOLS LOCATED
 IN CONNECTICUT. THE TRAINING SESSIONS COVERED GOVERNANCE
 BEST PRACTICES AND OTHER BOARD-RELATED TOPICS DETERMINED BY
 THE FOUNDATION BASED ON SURVEYS OF SCHOOLS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

6,000.

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 19

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE
 FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL
 YEAR ENDED JUNE 30, 2019, \$5,000,000 WAS LOANED TO THE
 SCENIC HUDSON LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING
 APPROXIMATELY 1,166 ACRES OF PROPERTY IN PUTNAM COUNTY, NEW
 YORK. AS OF JUNE 30, 2019 THE OUTSTANDING BALANCE ON THE
 LOAN IS \$5,000,000.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

5,000,000.

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT CHARTER SCHOOLS IN THE CONNECTICUT AREA THAT STRIVE TO PROVIDE CHILDREN WITH ACCESS TO AN EXCELLENT EDUCATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2019, \$2,500,000 WAS LOANED TO THE ACHIEVEMENT FIRST BRIDGEPORT ACADEMY, INC. FOR THE PURPOSE OF ELIMINATING CERTAIN OUTSTANDING DEBT INCURRED TO IMPROVE REAL PROPERTY IN BRIDGEPORT, CONNECTICUT. AS OF JUNE 30, 2019 THE OUTSTANDING BALANCE ON THE LOAN IS \$1,971,253.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

2,500,000.

The Peter and Carmen Lucia Buck Foundation, Inc.
EIN #06-1547852
June 30, 2019
Part IX-B Line 3

1. Hudson Highlands Land Trust (UVG/Rosenbaum)

In accordance with the programs and policies of the Foundation to support land conservation, during the fiscal year ended June 30, 2019, \$500,000 was loaned to the Hudson Highlands Land Trust, Inc. for the purpose of acquiring approximately 149 acres of property located in Putnam Valley, New York. As of June 30, 2019 the outstanding balance on the loan is \$500,000.

2. Orange County Land Trust

In accordance with the programs and policies of the Foundation to support land conservation, during the fiscal year ended June 30, 2019, \$150,000 was loaned to the Orange County Land Trust, Inc. for the purpose of acquiring approximately 116 acres of property located in Warwick, New York. As of June 30, 2019 the outstanding balance on the loan is \$150,000.

3. Columbia Land Conservancy

In accordance with the programs and policies of the Foundation to support land conservation, during the fiscal year ended June 30, 2019, \$400,000 was loaned to the Columbia Land Conservancy, Inc. for the purpose of acquiring approximately 197 acres of property in Ancram, New York. As of June 30, 2019 the outstanding balance on the loan is \$400,000.

4. Callen-Lorde Community Health Center

In accordance with the programs and policies of the Foundation to support organizations in the New York area that seek to improve the quality of life to those less fortunate, during the fiscal year ended June 30, 2019, \$1,000,000 was loaned to the Callen-Lorde Community Health Center for the purpose of renovating a portion of their building in New York, New York. As of June 30, 2019 the outstanding balance on the loan is \$1,000,000.