

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No. 1545-0052  
**2020**  
**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation HENRY BROOKS TRUST C		<b>A Employer identification number</b> 04-6785966	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1802	Room/suite	<b>B Telephone number (see instructions)</b> (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>4,376,539</u>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>			

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	66,356	65,233		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	1,002,331			
	<b>b</b> Gross sales price for all assets on line 6a	3,360,014			
	<b>7</b> Capital gain net income (from Part IV, line 2)		1,002,331		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	1,068,687	1,067,564			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	43,171	25,902		17,268
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)	1,250	750	0	500
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	4,967	1,767		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	1,399	1,364		35
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	50,787	29,783	0	17,803
	<b>25</b> Contributions, gifts, grants paid	183,569			183,569
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	234,356	29,783	0	201,372	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	834,331				
<b>b Net investment income</b> (if negative, enter -0-)		1,037,781			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	180,026	103,735	103,735
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	2,750,739	3,656,649	4,272,804
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			0
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,930,765	3,760,384	4,376,539	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22)		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	2,930,765	3,760,384	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	2,930,765	3,760,384		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	2,930,765	3,760,384		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,930,765
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	834,331
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	1,381
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	3,766,477
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	6,093
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	3,760,384

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	<b>2</b>	1,002,331
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved . . . . .				<b>2</b>
<b>3</b> Reserved . . . . .				<b>3</b>
<b>4</b> Reserved . . . . .				<b>4</b>
<b>5</b> Reserved . . . . .				<b>5</b>
<b>6</b> Reserved . . . . .				<b>6</b>
<b>7</b> Reserved . . . . .				<b>7</b>
<b>8</b> Reserved . . . . .				<b>8</b>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 10,920.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, expenditures, and asset requirements. Yes/No columns for each question.

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ <u>BANK OF AMERICA NA</u> Telephone no. ▶ <u>(888) 866-3275</u>		

Located at ▶ PO BOX 1802 PROVIDENCE RI ZIP+4 ▶ 029011802

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>		
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>	<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . . <input type="checkbox"/>	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . . <input type="checkbox"/>	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . . <input type="checkbox"/>	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  **5b**  **No**  
Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b**  **No**  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VIRGINIA A KAVANAUGH	CO-TRUSTEE 1	7,275		
89 PICKERING ST DANVERS, MA 01923				
BANK OF AMERICA	TRUSTEE 1	35,896		
100 FEDERAL STREET BOSTON, MA 02109				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.  **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	3,752,529
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	117,877
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	3,870,406
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	3,870,406
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	58,056
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,812,350
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	190,618

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	190,618
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	14,425
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	14,425
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	176,193
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	176,193
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	176,193

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	201,372
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	201,372
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	201,372

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				176,193
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			46,103	
<b>b</b> Total for prior years: 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	0			
<b>b</b> From 2016. . . . .	0			
<b>c</b> From 2017. . . . .	0			
<b>d</b> From 2018. . . . .	0			
<b>e</b> From 2019. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>201,372</u>				
<b>a</b> Applied to 2019, but not more than line 2a			46,103	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				155,269
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				20,924
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	0			
<b>b</b> Excess from 2017. . . . .	0			
<b>c</b> Excess from 2018. . . . .	0			
<b>d</b> Excess from 2019. . . . .	0			
<b>e</b> Excess from 2020. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2020</b>	<b>(b) 2019</b>	<b>(c) 2018</b>	<b>(d) 2017</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

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**b** The form in which applications should be submitted and information and materials they should include:

---

**c** Any submission deadlines:

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				183,569
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				



Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here [Signature line] [Date: 2021-04-28] [Title line] [May the IRS discuss this return with the preparer shown below (see instr.) Yes No]

Table for Preparer information: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6878.113 INVESCO BALANCED-RISK ALLOCATION FUND CL R6		2018-10-19	2020-01-17
24.973 ALPHABET INC CL C COM		2010-12-09	2020-01-17
5.014 ALPHABET INC CL C COM		2010-12-09	2020-01-17
5.013 ALPHABET INC CL C COM		2011-03-25	2020-01-17
25. ALPHABET INC CL A COM		2010-12-09	2020-01-17
5. ALPHABET INC CL A COM		2010-12-09	2020-01-17
5. ALPHABET INC CL A COM		2011-03-25	2020-01-17
20. AMAZON COM INC		2010-01-28	2020-01-17
70. APPLE INC COM		2010-01-28	2020-01-17
105. APPLE INC COM		2009-10-15	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
73,940		72,495	1,445
36,609		7,351	29,258
7,350		1,476	5,874
7,349		1,459	5,890
36,630		7,403	29,227
7,326		1,481	5,845
7,326		1,464	5,862
37,264		2,512	34,752
22,180		1,998	20,182
33,271		2,854	30,417

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,445
			29,258
			5,874
			5,890
			29,227
			5,845
			5,862
			34,752
			20,182
			30,417

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
215. BROADCOM INC COM		2013-01-14	2020-01-17
70. BROADCOM INC COM		2013-01-14	2020-01-17
35. CIGNA CORP NEW COM		2011-06-07	2020-01-17
12. CIGNA CORP NEW COM		2011-06-07	2020-01-17
30. CIGNA CORP NEW COM		2012-02-15	2020-01-17
110. CIGNA CORP NEW COM		2012-02-15	2020-01-17
50. CIGNA CORP NEW COM		2011-11-22	2020-01-17
20. CIGNA CORP NEW COM		2011-11-22	2020-01-17
60. CITIGROUP INC NEW COM		2012-05-23	2020-01-17
60. CITIGROUP INC NEW COM		2012-05-23	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
65,734		7,381	58,353
21,402		2,403	18,999
7,413		1,702	5,711
2,542		584	1,958
6,354		1,339	5,015
23,297		4,908	18,389
10,590		2,101	8,489
4,236		841	3,395
4,864		1,583	3,281
4,864		1,583	3,281

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			58,353
			18,999
			5,711
			1,958
			5,015
			18,389
			8,489
			3,395
			3,281
			3,281

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
375. CITIGROUP INC NEW COM		2011-11-22	2020-01-17
110. CITIGROUP INC NEW COM		2011-11-22	2020-01-17
49.212 COLUMBIA ACORN FUND CLASS Z SHARES		2012-12-06	2020-01-17
67.51 COLUMBIA ACORN FUND CLASS Z SHARES		2010-12-15	2020-01-17
21.358 COLUMBIA ACORN FUND CLASS Z SHARES		2006-06-06	2020-01-17
31.32 COLUMBIA ACORN FUND CLASS Z SHARES		2012-06-06	2020-01-17
77.451 COLUMBIA ACORN FUND CLASS Z SHARES		2005-12-09	2020-01-17
1.832 COLUMBIA ACORN FUND CLASS Z SHARES		2005-12-09	2020-01-17
78.669 COLUMBIA ACORN FUND CLASS Z SHARES		2011-12-07	2020-01-17
43.713 COLUMBIA ACORN FUND CLASS Z SHARES		2008-06-11	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
30,401		9,258	21,143
8,918		2,716	6,202
754		1,456	-702
1,035		1,995	-960
327		625	-298
480		911	-431
1,187		2,202	-1,015
28		52	-24
1,206		2,201	-995
670		1,206	-536

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			21,143
			6,202
			-702
			-960
			-298
			-431
			-1,015
			-24
			-995
			-536



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
2.817 COLUMBIA ACORN FUND CLASS Z SHARES		2008-06-11	2020-01-17
1.832 COLUMBIA ACORN FUND CLASS Z SHARES		2005-06-07	2020-01-17
25.933 COLUMBIA ACORN FUND CLASS Z SHARES		2005-06-07	2020-01-17
62.872 COLUMBIA ACORN FUND CLASS Z SHARES		2004-12-10	2020-01-17
1.135 COLUMBIA ACORN FUND CLASS Z SHARES		2004-06-02	2020-01-17
865.426 COLUMBIA ACORN FUND CLASS Z SHARES		2004-08-30	2020-01-17
.879 COLUMBIA ACORN FUND CLASS Z SHARES		2003-12-05	2020-01-17
1962.61 COLUMBIA ACORN FUND CLASS Z SHARES		2015-12-09	2020-01-17
638.662 COLUMBIA ACORN FUND CLASS Z SHARES		2016-06-07	2020-01-17
246.574 COLUMBIA ACORN FUND CLASS Z SHARES		2018-06-12	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
43		78	-35
28		48	-20
398		681	-283
964		1,607	-643
17		27	-10
13,267		20,000	-6,733
13		19	-6
30,087		38,055	-7,968
9,791		11,381	-1,590
3,780		4,167	-387

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-35
			-20
			-283
			-643
			-10
			-6,733
			-6
			-7,968
			-1,590
			-387

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
65.637 COLUMBIA ACORN FUND CLASS Z SHARES		2018-06-12	2020-01-17
15.135 COLUMBIA ACORN FUND CLASS Z SHARES		2008-12-09	2020-01-17
27.105 COLUMBIA ACORN FUND CLASS Z SHARES		2017-06-01	2020-01-17
182.808 COLUMBIA ACORN FUND CLASS Z SHARES		2017-06-01	2020-01-17
956.633 COLUMBIA ACORN FUND CLASS Z SHARES		2002-12-10	2020-01-17
1235.688 COLUMBIA ACORN FUND CLASS Z SHARES		2016-12-07	2020-01-17
1126.383 COLUMBIA ACORN FUND CLASS Z SHARES		2017-12-05	2020-01-17
163.604 COLUMBIA ACORN FUND CLASS Z SHARES		2017-12-05	2020-01-17
388.717 COLUMBIA ACORN FUND CLASS Z SHARES		2019-06-12	2020-01-17
624.882 COLUMBIA ACORN FUND CLASS Z SHARES		2019-12-12	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,006		1,109	-103
232		253	-21
416		448	-32
2,802		3,020	-218
14,665		15,000	-335
18,943		19,240	-297
17,267		17,031	236
2,508		2,474	34
5,959		5,745	214
9,579		9,092	487

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-103
			-21
			-32
			-218
			-335
			-297
			236
			34
			214
			487

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
645.544 COLUMBIA ACORN FUND CLASS Z SHARES		2018-12-11	2020-01-17
1658.785 COLUMBIA TOTAL RETURN BOND FUND INSTL CL		2006-05-23	2020-01-17
21362.587 COLUMBIA TOTAL RETURN BOND FUND INSTL CL		2007-07-18	2020-01-17
2309.469 COLUMBIA TOTAL RETURN BOND FUND INSTL CL		2007-07-18	2020-01-17
7068.173 COLUMBIA TOTAL RETURN BOND FUND INSTL CL		2008-08-15	2020-01-17
1059.208 COLUMBIA SELECT LARGE CAP GROWTH FUND INSTL3 CL		2011-04-04	2020-01-17
5047.778 AGGREGATE BOND CTF		2020-01-17	2020-03-20
1458.908 AGGREGATE BOND CTF		2020-01-17	2020-03-20
173.641 SMALL CAP GROWTH LEADERS CTF		2020-01-17	2020-08-07
8.333 CORTEVA INC COM		2012-11-29	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,896		8,825	1,071
15,344		14,347	997
197,604		184,766	12,838
21,363		19,975	1,388
65,381		59,437	5,944
15,517		15,248	269
85,366		88,558	-3,192
24,672		25,595	-923
7,238		7,226	12
236		129	107

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,071
			997
			12,838
			1,388
			5,944
			269
			-3,192
			-923
			12
			107

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
11.667 CORTEVA INC COM		2012-11-29	2020-01-17
120. CORTEVA INC COM		2012-10-09	2020-01-17
40. CORTEVA INC COM		2012-10-09	2020-01-17
95. DISNEY WALT CO		2010-11-18	2020-01-17
75. DISNEY WALT CO		2007-08-14	2020-01-17
125. DISNEY WALT CO		2008-04-01	2020-01-17
30. DISNEY WALT CO		2008-04-01	2020-01-17
40. DISNEY WALT CO		2009-08-11	2020-01-17
8.333 DOW INC COM		2012-11-29	2020-01-17
11.667 DOW INC COM		2012-11-29	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
331		180	151
3,401		1,764	1,637
1,134		588	546
13,718		3,566	10,152
10,830		2,435	8,395
18,050		3,988	14,062
4,332		957	3,375
5,776		1,054	4,722
441		251	190
617		352	265

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			151
			1,637
			546
			10,152
			8,395
			14,062
			3,375
			4,722
			190
			265

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
120. DOW INC COM		2012-10-09	2020-01-17
40. DOW INC COM		2012-10-09	2020-01-17
8.333 DUPONT DE NEMOURS INC COM		2012-11-29	2020-01-17
11.667 DUPONT DE NEMOURS INC COM		2012-11-29	2020-01-17
40. DUPONT DE NEMOURS INC COM		2012-10-09	2020-01-17
120. DUPONT DE NEMOURS INC COM		2012-10-09	2020-01-17
40. EOG RESOURCES INC		2012-03-21	2020-01-17
80. EOG RESOURCES INC		2012-05-11	2020-01-17
150. EOG RESOURCES INC		2012-05-23	2020-01-17
360.68 EMERGING MARKETS STOCK COMMON TRUST FUND		2020-01-17	2020-04-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,347		3,445	2,902
2,116		1,148	968
513		369	144
718		517	201
2,461		1,685	776
7,384		5,055	2,329
3,392		2,281	1,111
6,783		4,249	2,534
12,718		7,369	5,349
19,080		23,925	-4,845

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,902
			968
			144
			201
			776
			2,329
			1,111
			2,534
			5,349
			-4,845

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
313.644 EMERGING MARKETS STOCK COMMON TRUST FUND		2020-01-17	2020-08-07
95.259 SMALL CAP VALUE CTF		2014-11-21	2020-01-17
35. FEDEX CORP		2013-02-06	2020-01-17
100. FEDEX CORP		2013-02-06	2020-01-17
238.463 MID CAP GROWTH CTF		2020-01-17	2020-08-07
250. GILEAD SCIENCES INC		2011-08-12	2020-01-17
70. GILEAD SCIENCES INC		2011-08-12	2020-01-17
15. GOLDMAN SACHS GROUP INC		2008-05-09	2020-01-17
15. GOLDMAN SACHS GROUP INC		2008-07-24	2020-01-17
15. GOLDMAN SACHS GROUP INC		2011-05-26	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
21,794		25,105	-3,311
3,233		3,285	-52
5,592		3,710	1,882
15,978		10,600	5,378
12,072		12,433	-361
15,718		4,675	11,043
4,401		1,309	3,092
3,747		2,815	932
3,747		2,763	984
3,747		2,038	1,709

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-3,311
			-52
			1,882
			5,378
			-361
			11,043
			3,092
			932
			984
			1,709

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
50. GOLDMAN SACHS GROUP INC		2011-05-26	2020-01-17
50. GOLDMAN SACHS GROUP INC		2008-09-18	2020-01-17
10. GOLDMAN SACHS GROUP INC		2009-01-07	2020-01-17
26794.258 GOLDMAN SACHS STRATEGIC INCOME FUND INSTL		2014-11-21	2020-01-17
60. HONEYWELL INTERNATIONAL INC		2012-11-02	2020-01-17
205. HONEYWELL INTERNATIONAL INC		2012-11-02	2020-01-17
5. HONEYWELL INTERNATIONAL INC		2012-10-20	2020-01-17
50. HONEYWELL INTERNATIONAL INC		2012-11-29	2020-01-17
10. HONEYWELL INTERNATIONAL INC		2012-11-29	2020-01-17
165.259 DIVIDEND INCOME COMMON TRUST FUND		2020-01-17	2020-08-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
12,489		6,795	5,694
12,489		4,474	8,015
2,498		846	1,652
250,258		276,855	-26,597
10,992		3,602	7,390
37,555		12,307	25,248
916		297	619
9,160		2,930	6,230
1,832		586	1,246
13,197		13,304	-107

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			5,694
			8,015
			1,652
			-26,597
			7,390
			25,248
			619
			6,230
			1,246
			-107

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
61.98 DIVIDEND INCOME COMMON TRUST FUND		2020-01-17	2020-08-31
1165. ISHARES CORE TOT U S BD MKT ETF		2020-01-17	2020-03-20
1375. ISHR MSCI EAFE INDEX FUND		2010-06-30	2020-01-17
110. ISHARES CORE S&P MID CAP ETF		2020-01-17	2020-06-30
49. ISHARES CORE S&P MID CAP ETF		2020-01-17	2020-08-07
639. ISHARES RUSSELL 2000 ETF		2014-11-21	2020-01-17
195. JOHNSON & JOHNSON		2012-07-12	2020-01-17
105. JOHNSON & JOHNSON		2012-07-12	2020-01-17
35. ESTEE LAUDER COMPANIES CL A		2013-04-19	2020-01-17
110. ESTEE LAUDER COMPANIES CL A		2013-04-19	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,950		4,989	-39
127,626		131,721	-4,095
96,702		64,694	32,008
19,434		23,009	-3,575
9,394		10,249	-855
108,016		74,928	33,088
29,048		13,252	15,796
15,641		7,135	8,506
7,674		2,400	5,274
24,117		7,543	16,574

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-39
			-4,095
			32,008
			-3,575
			-855
			33,088
			15,796
			8,506
			5,274
			16,574



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. ESTEE LAUDER COMPANIES CL A		2013-06-21	2020-01-17
107.747 CLEARBRIDGE LARGE CAP GROWTH FUND CL I		2020-01-17	2020-08-07
50. MCDONALDS CORP		2013-01-14	2020-01-17
135. MCDONALDS CORP		2013-01-14	2020-01-17
35. MCKESSON HBOC INC		2008-12-16	2020-01-17
100. MCKESSON HBOC INC		2008-12-16	2020-01-17
465. MICROSOFT CORPORATION		2005-09-16	2020-01-17
175. MICROSOFT CORPORATION		2009-02-26	2020-01-17
50. MICROSOFT CORPORATION		2009-03-02	2020-01-17
24.518 OCCIDENTAL PETROLEUM CORPORATION		2008-12-01	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,192		660	1,532
6,921		6,153	768
10,619		4,585	6,034
28,670		12,379	16,291
5,426		1,315	4,111
15,503		3,758	11,745
77,332		12,123	65,209
29,103		2,917	26,186
8,315		802	7,513
1,128		1,210	-82

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,532
			768
			6,034
			16,291
			4,111
			11,745
			65,209
			26,186
			7,513
			-82

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
67.482 OCCIDENTAL PETROLEUM CORPORATION		2019-08-08	2020-01-17
100. OCCIDENTAL PETROLEUM CORPORATION		2008-11-19	2020-01-17
45. OCCIDENTAL PETROLEUM CORPORATION		2008-11-19	2020-01-17
145. ORACLE SYS CORP		2013-04-12	2020-01-17
400. ORACLE SYS CORP		2013-04-12	2020-01-17
30. ORACLE SYS CORP		2013-06-21	2020-01-17
3955.282 PIMCO HIGH YIELD FD INSTL CL		2012-02-16	2020-06-30
400.3 PIMCO HIGH YIELD FD INSTL CL		2020-01-17	2020-06-30
539.2 PIMCO FOREIGN BD US\$HD INSTL		2020-01-17	2020-03-23
90. PEPSICO INCORPORATED		2011-11-30	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,103		3,125	-22
4,599		4,560	39
2,070		2,052	18
7,973		4,836	3,137
21,993		13,341	8,652
1,649		913	736
33,501		36,419	-2,918
3,391		3,627	-236
5,500		5,791	-291
12,706		5,748	6,958

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-22
			39
			18
			3,137
			8,652
			736
			-2,918
			-236
			-291
			6,958

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40. PEPSICO INCORPORATED		2011-11-30	2020-01-17
150. PEPSICO INCORPORATED		2009-11-24	2020-01-17
50. PEPSICO INCORPORATED		2009-09-25	2020-01-17
5. PHILIP MORRIS INTL INC COM		2009-01-27	2020-01-17
225. PHILIP MORRIS INTL INC COM		2009-01-27	2020-01-17
50. PHILIP MORRIS INTL INC COM		2009-04-08	2020-01-17
20. PHILIP MORRIS INTL INC COM		2009-02-13	2020-01-17
75. PHILIP MORRIS INTL INC COM		2009-02-13	2020-01-17
10. PRICE T ROWE GROUP INC COM		2010-08-05	2020-01-17
125. PRICE T ROWE GROUP INC COM		2010-02-23	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,647		2,555	3,092
21,176		9,383	11,793
7,059		2,944	4,115
443		204	239
19,920		9,197	10,723
4,427		1,900	2,527
1,771		717	1,054
6,640		2,689	3,951
1,317		503	814
16,461		6,219	10,242

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,092
			11,793
			4,115
			239
			10,723
			2,527
			1,054
			3,951
			814
			10,242

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
10. PRICE T ROWE GROUP INC COM		2010-02-23	2020-01-17
40. PRICE T ROWE GROUP INC COM		2010-08-06	2020-01-17
693.907 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2020-01-17	2020-06-30
355.751 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2020-01-17	2020-08-07
10. RESIDEO TECHNOLOGIES INC COM		2012-11-02	2020-01-17
34.167 RESIDEO TECHNOLOGIES INC COM		2012-11-02	2020-01-17
.833 RESIDEO TECHNOLOGIES INC COM		2012-10-20	2020-01-17
8.333 RESIDEO TECHNOLOGIES INC COM		2012-11-29	2020-01-17
1.667 RESIDEO TECHNOLOGIES INC COM		2012-11-29	2020-01-17
71.172 ROWE T PRICE BLUE CHIP GROWTH FUND CL I		2020-01-17	2020-08-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,317		497	820
5,268		1,969	3,299
20,387		22,434	-2,047
11,366		11,501	-135
125		108	17
426		370	56
10		9	1
104		88	16
21		18	3
10,780		9,347	1,433

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			820
			3,299
			-2,047
			-135
			17
			56
			1
			16
			3
			1,433

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
112.917 ROWE T PRICE BLUE CHIP GROWTH FUND CL I		2020-01-17	2020-08-31
10. SPDR DJ WILSHIRE INTL REAL ESTATE ETF		2013-04-17	2020-01-17
200. SPDR DJ WILSHIRE INTL REAL ESTATE ETF		2013-03-05	2020-01-17
620. SPDR DJ WILSHIRE INTL REAL ESTATE ETF		2013-03-20	2020-01-17
45. SCHLUMBERGER LTD COM		2013-02-06	2020-01-17
30. SCHLUMBERGER LTD COM		2013-02-06	2020-01-17
5. SCHLUMBERGER LTD COM		2011-10-25	2020-01-17
20. SCHLUMBERGER LTD COM		2011-10-25	2020-01-17
150. SCHLUMBERGER LTD COM		2010-08-19	2020-01-17
45. SCHLUMBERGER LTD COM		2010-08-19	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,391		14,829	3,562
391		446	-55
7,826		8,502	-676
24,260		26,296	-2,036
1,725		3,562	-1,837
1,150		2,375	-1,225
192		345	-153
766		1,381	-615
5,749		8,652	-2,903
1,725		2,596	-871

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,562
			-55
			-676
			-2,036
			-1,837
			-1,225
			-153
			-615
			-2,903
			-871

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
90. SEMPRA ENERGY		2009-11-24	2020-01-17
50. SEMPRA ENERGY		2011-08-17	2020-01-17
15. SEMPRA ENERGY		2009-09-03	2020-01-17
30. SEMPRA ENERGY		2009-06-19	2020-01-17
260. TJX COMPANIES INCORPORATED NEW		2010-03-04	2020-01-17
710. TJX COMPANIES INCORPORATED NEW		2010-03-04	2020-01-17
35. THERMO ELECTRON CORP		2011-08-12	2020-01-17
10. THERMO ELECTRON CORP		2011-08-12	2020-01-17
100. THERMO ELECTRON CORP		2009-11-24	2020-01-17
40. THERMO ELECTRON CORP		2009-10-05	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
14,121		4,752	9,369
7,845		2,496	5,349
2,353		735	1,618
4,707		1,463	3,244
16,299		2,659	13,640
44,510		7,260	37,250
11,864		1,885	9,979
3,390		538	2,852
33,898		4,713	29,185
13,559		1,780	11,779

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			9,369
			5,349
			1,618
			3,244
			13,640
			37,250
			9,979
			2,852
			29,185
			11,779

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
646. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-01-17	2020-06-30
197. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-01-17	2020-08-07
116. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2020-01-17	2020-03-20
4. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2020-01-17	2020-03-20
525. VANGUARD FTSE EMERGING MKTS ETF		2010-12-10	2020-01-17
500. VANGUARD FTSE EMERGING MKTS ETF		2012-02-16	2020-01-17
250. VANGUARD FTSE EMERGING MKTS ETF		2010-08-06	2020-01-17
1000. VANGUARD FTSE EMERGING MKTS ETF		2010-01-12	2020-01-17
118. VANGUARD FTSE EMERGING MKTS ETF		2010-03-31	2020-01-17
32. VANGUARD FTSE EMERGING MKTS ETF		2010-03-31	2020-04-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
24,967		28,834	-3,867
8,031		8,793	-762
6,427		6,595	-168
223		227	-4
24,042		24,824	-782
22,897		21,798	1,099
11,449		10,584	865
45,794		42,310	3,484
5,404		4,970	434
1,137		1,348	-211

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-3,867
			-762
			-168
			-4
			-782
			1,099
			865
			3,484
			434
			-211

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
700. VANGUARD FTSE EMERGING MKTS ETF		2010-06-30	2020-04-17
20. VANGUARD FTSE EMERGING MKTS ETF		2020-03-20	2020-04-17
290. VANGUARD FTSE EMERGING MKTS ETF		2020-03-20	2020-04-17
1000. VANGUARD FTSE EMERGING MKTS ETF		2009-03-25	2020-04-17
182. VANGUARD S&P 500 ETF		2020-01-17	2020-08-07
273. VANGUARD S&P 500 ETF		2020-01-17	2020-08-31
430. WELLS FARGO COMPANY		2009-11-24	2020-01-17
5. WELLS FARGO COMPANY		2009-08-27	2020-01-17
50. WELLS FARGO COMPANY		2010-08-06	2020-01-17
120. WELLS FARGO COMPANY		2009-05-19	2020-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
24,882		27,013	-2,131
711		648	63
10,308		9,262	1,046
35,546		24,730	10,816
55,843		55,465	378
87,957		83,197	4,760
21,024		11,916	9,108
244		137	107
2,445		1,369	1,076
5,867		3,137	2,730

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-2,131
			63
			1,046
			10,816
			378
			4,760
			9,108
			107
			1,076
			2,730



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
10011.704 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL		2016-04-06	2020-01-17
175. WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		2012-12-12	2020-01-17
3893.265 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2014-11-21	2020-01-17
1178.787 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2014-11-21	2020-08-07
530.063 LARGE CAP CORE CTF		2020-01-17	2020-08-07
947.844 MID CAP CORE CTF		2018-10-19	2020-01-17
65. EATON CORP PLC COM		2013-04-12	2020-01-17
180. EATON CORP PLC COM		2013-04-12	2020-01-17
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
114,033		101,118	12,915
8,462		8,369	93
58,259		54,397	3,862
17,673		16,267	1,406
6,515		6,238	277
10,766		9,098	1,668
6,201		3,007	3,194
17,173		8,230	8,943
			13,786
			13,786

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			12,915
			93
			3,862
			1,406
			277
			1,668
			3,194
			8,943











































**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
			13,786
			13,786
			13,786
			13,786
			13,786
			13,786

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MARYKNOLL FATHERS & BROTHERS 11 ALEXANDER ROAD HOPKINTON, MA 01748	N/A	PC	SUPPORTS MARYKNOLL FATHERS	18,341
NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVENUE BOSTON, MA 02115	N/A	PC	SCHOLARSHIPS	4,625
CARROLL CENTER 770 CENTRE STREET NEWTON, MA 02458	N/A	PC	UNRESTRICTED GENERAL	18,341
<b>Total . . . . . ▶ 3a</b>				183,569

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
KNIGHTS OF COLUMBUS DANVERS MA 35 ELM STREET DANVERS, MA 019232835	N/A	EOF	UNRESTRICTED GENERAL	18,341
UMASS LOWELL 220 PAWTUCKET STREET LOWELL, MA 01854	N/A	PC	SCHOLARSHIPS	4,625
ST MARY OF THE ANNUNCIATION MRS SHARON THURSTON 14 OTIS STREET DANVERS, MA 019231966	N/A	PC	UNRESTRICTED GENERAL	18,341
<b>Total . . . . .</b> ▶ <b>3a</b>				183,569

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WESTFIELD STATE UNIVERSITY PO BOX 1630 WESTFIELD, MA 010861630	N/A	PC	UNRESTRICTED GENERAL	4,625
DISCALCED CARMELITE NUNS DANVERS 15 MT CARMEL ROAD DANVERS, MA 019233715	N/A	PC	UNRESTRICTED GENERAL	18,341
ROGER WILLIAMS UNIVERSITY 1 OLD FERRY ROAD BRISTOL, RI 028092923	N/A	PC	SCHOLARSHIPS	4,625
<b>Total . . . . .</b>				<b>183,569</b>



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN CANCER SOCIETY INC PO BOX 22478 OKLAHOMA CITY, OK 73123	N/A	PC	UNRESTRICTED GENERAL	18,341
THE SALVATION ARMY 440 WEST NYACK ROAD WEST NYACK, NY 10994	N/A	PC	UNRESTRICTED GENERAL	18,341
AMERICAN HEART ASSOCIATION 20 SPEEN STREET FRAMINGHAM, MA 017014688	N/A	PC	UNRESTRICTED GENERAL	18,341
<b>Total . . . . . ▶ 3a</b>				183,569

<b>Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN RED CROSS OF MASS BAY 285 COLUMBUS AVENUE BOSTON, MA 02116	N/A	PC	UNRESTRICTED GENERAL	18,341
<b>Total</b> . . . . .			<b>▶ 3a</b>	183,569

**TY 2020 Accounting Fees Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE - BOA	1,250	750		500

**TY 2020 Compensation Explanation****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**Person Name****Explanation**

BANK OF AMERICA

SEE FOOTNOTE



**TY 2020 General Explanation Attachment****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

**TY 2020 Investments Corporate Stock Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
464287465 ISHARES MSCI EAFE ET		
464287507 ISHARES CORE S&P MID	111,067	185,013
78463X863 SPDR DJ WILSHIRE INT		
922042858 VANGUARD FTSE EMERGI		
26875P101 EOG RES INC COM		
674599105 OCCIDENTAL PETE CORP		
806857108 SCHLUMBERGER LTD COM		
G29183103 EATON CORP PLC COM		
31428X106 FEDEX CORP COM		
438516106 HONEYWELL INTL INC C		
023135106 AMAZON COM INC COM		
254687106 DISNEY WALT CO COM D		
580135101 MCDONALDS CORP COM		
872540109 TJX COS INC NEW COM		
518439104 LAUDER ESTEE COS INC		
713448108 PEPSICO INC COM		
718172109 PHILIP MORRIS INTL I		
375558103 GILEAD SCIENCES INC		
478160104 JOHNSON & JOHNSON CO		
58155Q103 MCKESSON CORP COM		
883556102 THERMO FISHER SCIENT		
172967424 CITIGROUP INC NEW CO		
38141G104 GOLDMAN SACHS GROUP		
74144T108 PRICE T ROWE GROUP I		
949746101 WELLS FARGO & CO NEW		
037833100 APPLE INC COM		
594918104 MICROSOFT CORP COM		
68389X105 ORACLE CORP COM		
816851109 SEMPRA ENERGY COM		
197199409 COLUMBIA ACORN FUND		

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
693390841 PIMCO HIGH YIELD FD		
19765N468 COLUMBIA TOTAL RETUR		
302993993 MID CAP VALUE CTF	116,816	137,858
464287655 ISHARES RUSSELL 2000		
97717W281 WISDOMTREE EMERGING		
38145C646 GOLDMAN SACHS STRATE		
207543877 SMALL CAP GROWTH LEA	104,167	124,178
29099J109 EMERGING MARKETS STO	138,169	145,696
303995997 SMALL CAP VALUE CTF	106,479	126,028
99Z466197 INTERNATIONAL FOCUSE	295,821	363,902
02079K107 ALPHABET INC CL C CO		
02079K305 ALPHABET INC CL A CO		
94987W737 WELLS FARGO ABSOLUTE		
19766M659 COLUMBIA SELECT LARG		
125523100 CIGNA CORP NEW COM		
11135F101 BROADCOM INC COM		
76118Y104 RESIDEO TECHNOLOGIES		
00142R539 INVESCO BALANCED-RIS		
323991307 MID CAP GROWTH CTF	113,214	139,369
99Z639942 MID CAP CORE CTF	121,605	182,350
99Z639959 SMALL CAP CORE CTF	136,427	207,701
22052L104 CORTEVA INC COM		
260557103 DOW INC COM		
26614N102 DUPONT DE NEMOURS IN		
464287226 ISHARES CORE US AGGR	73,240	76,114
78468R663 SPDR BLOOMBERG BARCL	112,549	112,387
921943858 VANGUARD FTSE DEVELO	315,031	353,650
92203J407 VANGUARD TOTAL INTL	37,022	38,058
922908363 VANGUARD S&P 500 ETF	286,988	336,816
52469H784 CLEARBRIDGE LARGE CA	160,124	193,625



**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
74253Q747 PRINCIPAL MIDCAP BLE	143,816	174,481
77954Q403 ROWE T PRICE BLUE CH	140,704	181,076
74440Y884 PGIM HIGH YIELD FUND	37,489	40,344
693390882 PIMCO FOREIGN BD US\$	37,841	38,993
202671913 AGGREGATE BOND CTF	491,400	496,062
45399C107 DIVIDEND INCOME COMM	384,795	396,699
99Z639934 LARGE CAP CORE CTF	191,885	222,404

**TY 2020 Other Decreases Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966

<b>Description</b>	<b>Amount</b>
CTF ADJUSTMENT	3,083
SALES GAIN/LOSS ADJUSTMENT	3,004
ROUNDING	6

**TY 2020 Other Expenses Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	682	682		0
OTHER ALLOCABLE EXPENSE-INCOME	682	682		0
STATE FILING FEE	35	0		35

**TY 2020 Other Increases Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**Other Increases Schedule**

Description	Amount
INCOME ADJUSTMENT	1,381

**TY 2020 Taxes Schedule****Name:** HENRY BROOKS TRUST C**EIN:** 04-6785966**Taxes Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	1,175	1,175		0
EXCISE TAX ESTIMATES	3,200	0		0
FOREIGN TAXES ON QUALIFIED FOR	465	465		0
FOREIGN TAXES ON NONQUALIFIED	127	127		0