

C&E 336 Form

990-T

Exempt Organization Business Income Tax Return (and proxy tax under section 6033(e)) 1909

OMB No 1545-0687

2018

For calendar year 2018 or other tax year beginning 10/01, 2018, and ending 09/30, 2019

Department of the Treasury Internal Revenue Service

Go to www.irs.gov/Form990T for instructions and the latest information.

Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3)

Open to Public Inspection for 501(c)(3) Organizations Only

Header section containing: A Check box if address changed; B Exempt under section 501(c)(3); C Book value of all assets at end of year; Name of organization: DANA-FARBER, INC.; Number, street, and room or suite no: 450 BROOKLINE AVE BP418; City or town, state or province, country, and ZIP or foreign postal code: BOSTON, MA 02215; D Employer identification number: 04-3102433; E Unrelated business activity code: 523000; F Group exemption number: 1433066609; G Check organization type: 501(c) corporation.

H Enter the number of the organization's unrelated trades or businesses: 1. Describe the only (or first) unrelated trade or business here: PARTNERSHIP INVESTMENTS. If only one, complete Parts I-V. If more than one, describe the first in the blank space at the end of the previous sentence, complete Parts I and II, complete a Schedule M for each additional trade or business, then complete Parts III-V.

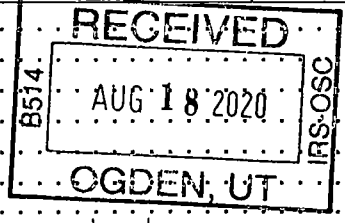
I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? [X] Yes [] No. If "Yes," enter the name and identifying number of the parent corporation: ATCH 1.

J The books are in care of: MELISSA CHAMMAS. Telephone number: 617-582-8311.

Table with 4 columns: (A) Income, (B) Expenses, (C) Net. Rows include: 1a Gross receipts or sales; 1c Balance; 2 Cost of goods sold; 3 Gross profit; 4a Capital gain net income (445,183); 4b Net gain (loss); 5 Income (loss) from a partnership or an S corporation (-2,206,636); 6 Rent income; 7 Unrelated debt-financed income; 8 Interest annuities royalties and rents from a controlled organization; 9 Investment income; 10 Exploited exempt activity income; 11 Advertising income; 12 Other income; 13 Total Combine lines 3 through 12 (-1,761,453).

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions) (Except for contributions, deductions must be directly connected with the unrelated business income)

Table with 4 columns: (A) Income, (B) Expenses, (C) Net. Rows include: 14 Compensation of officers, directors, and trustees; 15 Salaries and wages; 16 Repairs and maintenance; 17 Bad debts; 18 Interest (attach schedule); 19 Taxes and licenses; 20 Charitable contributions; 21 Depreciation; 22 Less depreciation claimed on Schedule A and elsewhere on return; 23 Depletion; 24 Contributions to deferred compensation plans; 25 Employee benefit programs; 26 Excess exempt expenses; 27 Excess readership costs; 28 Other deductions (attach schedule); 29 Total deductions (446,421); 30 Unrelated business taxable income before net operating loss deduction (-4,603,585); 31 Deduction for net operating loss arising in tax years beginning on or after January 1, 2018; 32 Unrelated business taxable income (-4,603,585).



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For Paperwork Reduction Act Notice, see instructions.

Form 990-T (2018)

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PAGE 45

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Part III Total Unrelated Business Taxable Income

Table with 3 columns: Line number, Description, and Amount. Rows 33-38. Total unrelated business taxable income is 0.

Part IV Tax Computation

Table with 3 columns: Line number, Description, and Amount. Rows 39-44. Total tax is -28,519.

Part V Tax and Payments

Table with 3 columns: Line number, Description, and Amount. Rows 45a-55. Total payments are 109,593. Total tax due is 138,112.

Part VI Statements Regarding Certain Activities and Other Information (see instructions)

Table with 3 columns: Question number, Question text, and Yes/No response. Rows 56-58.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer: [Signature] Date: 7/14/20 Title: CFO

Paid Preparer Use Only: Print/Type preparer's name: BARBARA E HUNT; Preparer's signature: Barbara E Hunt; Date: 7/30/20; Firm's name: ERNST & YOUNG U.S. LLP; Firm's address: 200 CLARENDON STREET, BOSTON, MA 02116-5072.

Schedule A - Cost of Goods Sold. Enter method of inventory valuation ▶

1	Inventory at beginning of year	1		6	Inventory at end of year	6	
2	Purchases	2		7	Cost of goods sold. Subtract line 6 from line 5. Enter here and in Part I, line 2.	7	
3	Cost of labor	3		8	Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?	Yes	No
4a	Additional section 263A costs (attach schedule)	4a				N/A	
b	Other costs (attach schedule)	4b					
5	Total. Add lines 1 through 4b	5					

Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)

(see instructions)

1. Description of property		
(1)		
(2)		
(3)		
(4)		
2. Rent received or accrued		
(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total	Total	
(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A).		(b) Total deductions. Enter here and on page 1, Part I, line 6, column (B).

Schedule E - Unrelated Debt-Financed Income (see instructions)

1 Description of debt-financed property		2 Gross income from or allocable to debt-financed property	3 Deductions directly connected with or allocable to debt-financed property	
			(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)				
(2)				
(3)				
(4)				
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5 Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)		%		
(2)		%		
(3)		%		
(4)		%		
Totals			Enter here and on page 1, Part I, line 7, column (A)	Enter here and on page 1, Part I, line 7, column (B)
Total dividends-received deductions included in column 8				

Schedule F—Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

1. Name of controlled organization	2. Employer identification number	Exempt Controlled Organizations			
		3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

Nonexempt Controlled Organizations

7. Taxable income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
			Add columns 5 and 10 Enter here and on page 1, Part I, line 8, column (A)	Add columns 6 and 11 Enter here and on page 1, Part I, line 8, column (B)

Totals

Schedule G—Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col 3 plus col 4)
(1)				
(2)				
(3)				
(4)				
		Enter here and on page 1, Part I, line 9 column (A)		Enter here and on page 1, Part I, line 9 column (B)

Totals

Schedule I—Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
		Enter here and on page 1, Part I, line 10 col (A)	Enter here and on page 1, Part I, line 10, col (B)			Enter here and on page 1, Part II, line 26

Totals

Schedule J—Advertising Income (see instructions)

Part I Income From Periodicals Reported on a Consolidated Basis

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
Totals (carry to Part II, line (5))						

Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
Totals from Part I. ▶						
	Enter here and on page 1, Part I, line 11 col (A)	Enter here and on page 1, Part I, line 11 col (B)				Enter here and on page 1, Part II, line 27
Totals, Part II (lines 1-5) ▶						

Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14. ▶			

**SCHEDULE D
(Form 1120)**

Capital Gains and Losses

OMB No 1545-0123

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.

2018

Department of the Treasury
Internal Revenue Service

▶ Go to www.irs.gov/Form1120 for instructions and the latest information

Name: **DANA-FARBER, INC.** Employer identification number: **04-3102433**

Part I Short-Term Capital Gains and Losses (See instructions)

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				-19,662.
4 Short-term capital gain from installment sales from Form 6252, line 26 or 37				4
5 Short-term capital gain or (loss) from like-kind exchanges from Form 8824				5
6 Unused capital loss carryover (attach computation)				6 ()
7 Net short-term capital gain or (loss) Combine lines 1a through 6 in column h				7 -19,662.

Part II Long-Term Capital Gains and Losses (See instructions.)

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				191,964.
11 Enter gain from Form 4797, line 7 or 9				11 272,881.
12 Long-term capital gain from installment sales from Form 6252, line 26 or 37				12
13 Long-term capital gain or (loss) from like-kind exchanges from Form 8824				13
14 Capital gain distributions (see instructions)				14
15 Net long-term capital gain or (loss) Combine lines 8a through 14 in column h				15 464,845.

Part III Summary of Parts I and II

16 Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15)				16
17 Net capital gain Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7)				17 445,183.
18 Add lines 16 and 17 Enter here and on Form 1120, page 1, line 8, or the proper line on other returns				18 445,183.

Note If losses exceed gains, see Capital losses in the instructions

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2018

Credit for Prior Year Minimum Tax - Corporations

2018

Department of the Treasury
Internal Revenue Service

▶ Attach to the corporation's tax return.

▶ Go to www.irs.gov/Form8827 for the latest information.

Name DANA-FARBER, INC.		Employer identification number 04-3102433	
1	Alternative minimum tax (AMT) for 2017 Enter the amount from line 14 of the 2017 Form 4626	1	
2	Minimum tax credit carryforward from 2017 Enter the amount from line 9 of the 2017 Form 8827	2	28,519.00
3	Enter any 2017 unallowed qualified electric vehicle credit (see instructions)	3	
4	Add lines 1, 2, and 3	4	28,519.00
5	Enter the corporation's 2018 regular income tax liability minus allowable tax credits (see instructions).	5	
6	Enter the refundable minimum tax credit (see instructions).	6	
7	Add lines 5 and 6	7	
8a	Enter the smaller of line 4 or line 7 If the corporation had a post-1986 ownership change or has pre-acquisition excess credits, see instructions	8a	
b	Current year minimum tax credit. Enter the smaller of line 4 or line 5 here and on Form 1120, Schedule J, Part I, line 5d (or the applicable line of your return) If the corporation had a post-1986 ownership change or has pre-acquisition excess credits, see instructions If you made an entry on line 6, go to line 8c Otherwise, skip line 8c	8b	
c	Subtract line 8b from line 8a This is the current year refundable minimum tax credit Include this amount on Form 1120, Schedule J, Part III, line 20c (or the applicable line of your return).	8c	
9	Minimum tax credit carryforward to 2019. Subtract line 8a from line 4 Keep a record of this amount to carry forward and use in future years	9	28,519.00

Instructions

Section references are to the Internal Revenue Code unless otherwise noted

What's New

Refundable minimum tax credit. For tax years beginning in 2018, the minimum tax credit limitation is increased by the AMT refundable credit amount See the instructions for line 6

Purpose of Form

Corporations use Form 8827 to figure the minimum tax credit, if any, for AMT incurred in prior tax years, the refundable AMT credit amount, and to figure any minimum tax credit carryforward

Who Should File

Form 8827 should be filed by corporations that had

- An AMT liability in 2017,
- A minimum tax credit carryforward from 2017 to 2018, or
- A qualified electric vehicle credit not allowed for 2017 (see the instructions for line 3)

Line 3

Enter any qualified electric vehicle credit not allowed for 2017 solely because of tentative minimum tax limitations.

Line 5

Enter the corporation's 2018 regular income tax liability, as defined in section 26(b) (S corporations also see section 1374(b)(3)(B), minus any credits allowed under Chapter 1, Subchapter A, Part IV, subparts B, D, E, and F of the Internal Revenue Code (for example, if filing Form 1120, subtract any credits on Schedule J, Part I, lines 5a through 5c, from the amount on Schedule J, Part I, line 2)

Line 6

Beginning in 2018, the minimum tax credit limitation is increased by the AMT refundable credit amount The portion of the credit treated as refundable is 50% of the excess of minimum tax credits available over the 2018 regular tax liability Complete the *Worksheet for Calculating the Refundable Minimum Tax Credit Amount*, later in the instructions Enter the amount from line 6 of the worksheet on Form 8827, line 6

Note: A corporation with a short tax year (less than 12 months) must prorate the refundable credit based on the number of days in their tax year

FORM 8949, PAGE 1, BOX C DETAIL

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ADDITIONAL SHORT-TERM TRANSACTIONS

DESCRIPTION	AMOUNT
-----	-----
FROM 6781 PART I	4,831.

TOTAL	4,831.
	=====

FORM 8949, PAGE 2, BOX F DETAIL

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ADDITIONAL LONG-TERM TRANSACTIONS

DESCRIPTION	AMOUNT
-----	-----
FROM 6781 PART I	7,247.
-----	-----
TOTAL	7,247.
	=====

NAME AND FEIN OF PARENT CORPORATION

DANA-FARBER CANCER INSTITUTE, INC.
EIN: 04-2263040

ATTACHMENT 2FORM 990T - LINE 5 -INCOME (LOSS) FROM PARTNERSHIPS OR S CORPORATIONS

ABRAMS CAPITAL PARTNERS II, LP	-35,382.
ABRY HERITAGE PARTNERS, LP	-45,151.
ABRY PARTNERS VI, LP	-11,150.
ABRY PARTNERS VII, LP	-22,043.
ABRY PARTNERS VIII, LP	-68,877.
ABRY SENIOR EQUITY II, LP	-2,760.
ABRY SENIOR EQUITY III, LP	10,499.
ABRY SENIOR EQUITY IV, LP	5,716.
ABS CAPITAL PARTNERS VII, LP	-33,328.
ATLAS CAPITAL RESOURCES II (A4) LP	-31,115.
ATLAS CAPITAL RESOURCES II (A5) LP (DELAWARE)	87,035.
ATLAS CAPITAL RESOURCES II (A7) LP	
AUDAX PRIVATE EQUITY FUND III, LP	-14,185.
AUDAX PRIVATE EQUITY FUND V-B, LP	-7,429.
BAUPOST VALUE PARTNERS, LP	-145,394.
BAYNORTH REALTY FUND VI, LP	
BAYNORTH REALTY FUND VII, LP	-9,661.
CB OP AGGREGATOR I, LP	-3,621.
BARKSHIRE FUND VII, LP	
CA RESOURCES FUND, LP - SERIES BAIN X	
CHARLESBANK EQUITY FUND VI, LP	-1,647.
CHARLESBANK EQUITY FUND VII, LP	79,859.
CHARLESBANK EQUITY FUND VIII, LP	-31,076.
CHARLESBANK EQUITY FUND IX, LP	-351,471.
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	1,111.
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI, LP	-2,341.
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VII, LP	-5,408.
D3 FAMILY BULLDOG FUND	
DENHAM COMMODITY PARTNERS FUND V LP	-33,800.
DENHAM COMMODITY PARTNERS FUND VI LP	-82,759.
DENHAM OIL & GAS FUND LP	-536,275.
GLENDON OPPORTUNITIES FUND, LP	-22,046.
GLENDON OPPORTUNITIES FUND, LP	-8,359.
GREENFIELD AQUISITION PARTNERS V, LP	-3,070.
H.I.G. MIDDLE MARKET LBO FUND II, LP	
HELLMAN & FRIEDMAN CAPITAL PARTNERS VIII, LP	
HIGHFIELDS CAPITAL IV LP	-1,379.
LIME ROCK RESOURCES III-C LP	
LIME ROCK RESOURCES IV-C LP	
LUBERT-ADLER REAL ESTATE FUND VI, LP	-10,370.
PARK STREET CAPITAL NATURAL RESOURCES FUND	-1,222.
POLARIS GROWTH FUND I, L.P.	1,264.
POLARIS PARTNERS VII AIV, L.P.	5,041.
POLARIS PARTNERS VII (AIV II), L.P.	6,108.
POLARIS PARTNERS VIII (AIV), L.P.	-24,530.
POLARIS PARTNERS VIII (AIV II), L.P.	-5,804.

ATTACHMENT 2 (CONT'D)

SENTINEL CAPITAL PARTNERS VI, LP	-165.
SENTINEL JUNIOR CAPITAL I, LP	-2,427.
SEQUOIA CAPITAL GROWTH FUND III, LP	-10,979.
SILVERSMITH CAPITAL PARTNERS II-A, LP	-101,536.
TAILWATER ENERGY FUND II, LP	-764,820.
THE D3 FAMILY BULLDOG FUND, LP	34,204.
TIFF REAL ESTATE PARTNERS II, LLC	380.
TIGER GLOBAL PIP X PARTNERS, LP	-365.
SEQUOIA CAPITAL US VENTURE FUND XV, LP	
TRG FORESTRY FUND 8-B LP	-5,908.
TIFF REAL ESTATE PARTNERS II, LLC	
TIGER GLOBAL PIP X PARTNERS, LP	
TRG FORESTRY FUND 8-B LP	
ADD BACK DEPLETION	
INCOME (LOSS) FROM PARTNERSHIPS	<u>-2,206,636.</u>

FORM 990T - PART II - LINE 28 - TOTAL OTHER DEDUCTIONS

DOMESTIC PRODUCTION ACTIVITIES DEDUCTION UNDER SECTION 199

TAX PREPARATION FEES
INVESTMENT MANAGEMENT FEES

30,459.
2,364,942.

PART II - LINE 28 - OTHER DEDUCTIONS

2,395,401.

DANA-FARBER, INC.

FIN: 04-3102433

FOR YEAR ENDED SEPTEMBER 30, 2018

FEDERAL FORM 990-T, NET OPERATING LOSS DEDUCTION

FISCAL YEAR	AMOUNT GENERATED	AMOUNTS UTILIZED OR EXPIRED	CY UTILIZATION	NOL CARRYFORWARD	EXPIRATION
PRE-2008	(151,296)	(151,296)	-	-	
09/30/08	(340,778)	(340,778)	-	-	09/30/28
09/30/09	(464,170)	(464,170)	-	-	09/30/29
09/30/10	(122,844)	(122,844)	-	-	09/30/30
09/30/11	(946,527)	(802,650)	-	(143,887)	09/30/31
09/30/12	-	-	-	-	09/30/32
09/30/13	-	-	-	-	09/30/33
09/30/14	-	-	-	-	09/30/34
09/30/15	-	-	-	-	09/30/35
09/30/16	-	-	-	-	09/30/36
09/30/17	-	-	-	-	09/30/37
09/30/18	(1,442,987)	-	-	(1,442,987)	09/30/38
09/30/19	(4,603,585)	-	-	(4,603,585)	09/30/39
	<u>(8,072,187)</u>	<u>(1,881,738)</u>	<u>-</u>	<u>(6,190,459)</u>	