EQUITY TRUST COMPANY®

QUALIFIED PLANS

Full Service Solo 401(k) Fee Schedule

1 INSTALLATION FEE (Payable in advance, non-refundable)

ETC Prototype Plan Document *(Includes Adoption Agreement, Adopting Plan Resolution, Summary Plan Description)*	$300

2 QUALIFIED PLAN SERVICE FEES

Plan Fees:	
Plan Takeover	$300
Plan Document Restatement *(Adoption Agreement, Adopting Resolution, Summary Plan Description)*	$300
Plan Amendments *(Adopting Resolution and Summary Plan Description or Summary of Material Modifications)*	$150
Recordkeeping of Assets Held Outside the Custody of Equity Trust Company	$25

Participant Account & Loan Fees:	
Participant Distribution (*includes Form 1099-R)*	$90
Participant Loan	$200
Participant Deemed Loan (*includes Form 1099-R)*	$75
Participant Loan Corrections	$75
Qualified Domestic Relations Order (QDRO) Processing	$200
Other Fees:	
Account Corrections	$75
IRS Form 5558	$35
IRS Model Amendments (*Adopting Resolutions and Summary of Material Modifications)*	$25
IRS Plan Audit - Providing Plan Document Copies	$25
Form 5500 EZ (*optional - plan assets < $250,000)*	$250

3 ANNUAL CUSTODY & RECORDKEEPING FEES

$350 / YEAR + MARKET VALUE BASED FEES

ACCOUNT VALUE	ANNUAL FEE
$1 - $14,999	$225
$15,000 - $24,999	$320
$25,000 - $49,999	$350
$50,000 - $99,999	$425
$100,000 - $199,999	$500
$200,000 - $299,999	$700
$300,000 - $399,999	$750
$400,000 - $499,999	$1,075
$500,000 - $599,999	$1,750
$600,000 - $699,999	$1,850
$700,000 -$799,999	$1,950
$800,000 - $899,999	$2,000
$900,000 - $999,999	$2,050
$1,000,000 - $199,999,999	$2,150
$2,000,000+	$2,250

4 SPECIAL SERVICES FEES (Charged at time service is rendered)

Service Fees:	
Cashier's Check, Certified Mail	$30 each
Overnight Mail	$50 each
Paper Bill Pay *(Avoided by enrolling in electronic bill pay)*	$15 each
Paper Statement Fee *(Avoided by enrolling in eStatements)*	$60 annually
Special Document Processing	$10 each
Miscellaneous Activity Request	$75 / hour
Special Handling Fee	$25 each
Asset Specific Fees:	
Precious Metals Storage* & Ongoing Fees	
Segregated Precious Metals Storage	$160
Non-Segegrated Precious Metals Storage	$110
Precious Metals Coin Shipping	Cost + $10
In-Kind Distribution or Transfer Out	$125 / transaction
Life Insurance Policy Fee - per year, per policy	$50 / each
Foreign Currency Fee	$100 annually
Funds Transfer Fees:	
Wire Transfer Fee (Domestic & International)	$30 each
Stop Payment, Return Check Fee	$30 each
Voided Check Fee - per check	$10 each
Late Fees:	
Late Fee *(Failure to pay Annual Fee by provided deadline)*	$50 each
Second Late Fee *(Failure to pay Annual Fee 30+ days post deadline)*	$75 each
990-T Processing Fee:	See 990-T Schedule

5 TERMINATION FEES

Plan Termination Fee *(Plan Amendments, Resolution to Terminate, Final Participant Valuation Summary, Participant Distributions, Form 1099-R, Final IRS Form 5500 Preparation)*	$500
Plan Deconversion *(Participant Valuation Summary and Transfer of Funds to New Service Provider)*	$300
Re-Registration of Alternative Asset	$100 / asset

Account custody fees are not prorated. Qualified Plan fees may be deductible as a business expense. Please consult with your tax professional for further guidance.

Make annual fee payment with check, automatic deduction from account or with Visa or Mastercard.

**Precious Metals storage fees are charged at the time the metals are received at the elected depository and each January thereafter. Precious Metals storage fees may be assessed by each depository utilized.*

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