

Privacy Policy Notice – Emperor Investments, Inc.

Our Commitment to Privacy

At Emperor Investments, Inc. (“Emperor Investments”), our most important asset is our relationship with you.

We are required by law to ask for certain information in order to perform our services for you, but we are committed to protecting your personal and financial information. This Privacy Policy answers some questions about what information we collect, what we do with it, and how we protect it. It also describes the choices available to you regarding our use of your personal information and how you can access and update this information.

Our Policy

Welcome to the web site www.EmperorInvests.com (the “Site” or “Mobile Application”). (Henceforward “Emperor Investments”, “Adviser”, “we,” “us,” and/or “our”). This Site has been created to provide information about our company and its web-based portfolio management services (the “Services”) to all users of the Site or Service who in any way use the Site or Mobile Application provided by Emperor Investments (in each case, a “User”). This Privacy Policy sets forth Emperor Investments’ s policy with respect to information, including personally identifiable data (“Personal Data”), and other information that is collected from Site visitors and users of the Services.

Information We Collect

When you interact with us through the Site or the App Services, we may collect Personal Data and other information from you, as further described below:

Personal Data That You Provide Through the Site: We collect Personal Data from you when you voluntarily provide such information such as when you register for access to the Services (for example, contact information such as your name, email address, and mailing address; and when you wish to use certain Services (for example financial information such as bank account numbers, your Date of Birth and your social security number).

By voluntarily providing us with Personal Data, you are consenting to our use of it in accordance with this Privacy Policy. If you provide Personal Data, you acknowledge and agree that such Personal Data may be transferred from your current location to the offices and servers of Emperor Investments and the authorized third parties referred to herein located in the United States.

Non-Identifiable or Aggregated Data: When you interact with Emperor Investments through the Site or App Services, we receive and store certain non-identifiable information about you. Such information, which may be collected passively using various technologies or through other means, cannot be used to specifically identify you.

As is true of most web sites, we gather certain information automatically and store it in log files. This information includes internet protocol (IP) addresses, browser type, internet service provider (ISP), referring/exit pages, operating system, date/time stamp, and clickstream data. Emperor Investments may store such information itself or such information may be included in databases owned and maintained by

Emperor Investments' affiliates, agents or service providers. This Site may use such information and pool it with other information to track, for example, the total number of visitors to our Site, the number of visitors to each page of our Site, the domain names of our visitors' Internet service providers, and how our users use and interact with the Services. Also, in an ongoing effort to better understand and serve the users of the Services, Emperor Investments often conducts research on its customer demographics, interests and behavior based on the Personal Data and other information provided to Emperor Investments by Issuers and Users.

This research may be compiled and analyzed on an aggregate basis. Emperor Investments may share this non-identifiable and aggregate data with its affiliates, agents and business partners, but this type of non-identifiable and aggregate information does not identify you personally. Emperor Investments may also disclose aggregated user statistics in order to describe our Services to current and prospective business partners and to other third parties for other lawful purposes.

In operating this Site, we may also use a technology called "cookies." A cookie is a piece of information that the computer that hosts our Site gives to your browser when you access the Site. Our cookies help provide additional functionality to the Site and help us analyze Site usage more accurately. For instance, our Site may set a cookie on your browser that allows you to access the Site without needing to remember and then enter a password more than once during a visit to the Site. In all cases in which we use cookies, we will not collect Personal Data except with your permission. On most web browsers, you will find a "help" section on the toolbar. Please refer to this section for information on how to receive notification when you are receiving a new cookie and how to turn cookies off. We recommend that you leave cookies turned on because they allow you to take advantage of some of the Site's features.

The use of cookies by our any of our affiliates or service providers is not covered by our privacy policy. We do not have access or control over these cookies. Our partners use session ID cookies to make it easier for you to navigate our site and use our Services.

This Site Uses Google Analytics and AdWords. This Site uses Google Analytics to track viewing trends and help analyze how visitors use the Emperor Investments Site. This information will help us to improve the Emperor Investments Site and the overall user experience. Google Analytics uses cookies to collect standard internet log information and visitor behavior information in an anonymous form. None of the information gathered is personally identifiable in nature without your expressed permission.

Our Use of Your Personal Data and Other Information

Emperor Investments uses the Personal Data you provide in a manner that is consistent with this Privacy Policy. If you provide Personal Data for a certain reason, we may use the Personal Data in connection with the reason for which it was provided. For instance, if you contact us by email, we will use the Personal Data you provide to answer your question or resolve your problem. Also, if you provide Personal Data in order to obtain access to the Services, we will use your Personal Data to provide you with access to such services and to monitor your use of such services. We, our custodian, and business partners also may obtain information about you from third party sources to verify your identity so we can properly process your requests and prevent fraud. By accessing our Site and using our Services, you hereby explicitly consent that we may use your submitted and obtained Personal Information for such purposes.

Emperor Investments and its subsidiaries and affiliates (the "Related Companies") may also use your Personal Data and other personally non-identifiable information collected through the Site to help us

improve the content and functionality of the Site, to better understand our users and to improve the Services. Emperor Investments and its Related Companies may use this information to communicate with you regarding the Services or to tell you about services we believe will be of interest to you. If at any time you wish not to receive any future marketing communications or you wish to have your name deleted from our mailing lists, please contact us as indicated below. Note however that as user of the App Service we and/or our custodian will send you administrative communications that are reasonably necessary to the Service, such as billing, brokerage or service notifications. If Emperor Investments intends on using any Personal Data in any manner that is not consistent with this Privacy Policy, you will be informed of such anticipated use prior to or at the time at which the Personal Data is collected.

Our Disclosure of Your Personal Data and Other Information

We will share personal and other information with third parties only in the ways that are described in this privacy policy. We consider this information to be a vital part of our relationship with you. There are, however, certain circumstances in which we may share your Personal Data and other information with certain third parties without further notice to you, as set forth below:

Business Transfers: As we develop our business, we might sell or buy businesses or assets. In the event of a corporate sale, merger, reorganization, dissolution or similar event, Personal Data may be part of the transferred assets and you will be notified via email and/or a prominent notice on our Web site of any change in ownership or uses of your personal information, as well as any choices you may have regarding your personal information.

Related Companies: We may also share your Personal Data with our Related Companies for purposes consistent with this Privacy Policy.

Legal Requirements: Emperor Investments may disclose your Personal Data if required to do so by law or in the good faith belief that such action is necessary to (i) comply with a legal obligation, (ii) protect and defend the rights or property of Emperor Investments, (iii) act in urgent circumstances to protect the personal safety of users of the Site or the public against fraud or other harm, or (iv) protect against legal liability.

Agents, Consultants and Related Third Parties: Emperor Investments, like many businesses, sometimes hires other companies to perform certain business-related functions. Examples of such functions include website hosting, mailing information, maintaining databases, ID verification, processing applications, processing payments and completing transactions. When we employ another company to perform a function of this nature, we only provide them with the information that they need to perform their specific function. These companies are authorized to use your personal information only as necessary to provide these services to us.

Your Choices

If your personal information changes, or if you no longer desire our service, you may correct, update, amend, delete or request deletion by making the change in your registered account settings page, by emailing our Customer Support at support@emperorinvests.com or by contacting us at the contact information listed below. We will respond to your request to access within 10 business days.

We will retain your information for as long as your account is active or as needed to provide you services. We will retain and use your information as necessary to comply with our legal obligations, resolve disputes, and enforce our agreements.

When you sign up for our App Services, we will send periodic emails to you regarding the Services or to tell you about services we believe will be of interest to you. Out of respect for your privacy, you may choose to stop receiving marketing emails by following the unsubscribe instructions included in these emails or you can contact us at support@emperorinvests.com

Security

The security of your personal information is important to us. When you enter sensitive information (such as bank account information) on our website, we encrypt the transmission of that information using secure socket layer technology (SSL). We and our custodian also use third party ID verification to ensure our users' true identities are validated.

We follow generally accepted standards to protect the personal information submitted to us, both during transmission and once we receive it. No method of transmission over the Internet, or method of electronic storage is 100% secure. Therefore, we cannot guarantee its absolute security. If you have any questions about security on our Web site, you can contact us at support@emperorinvests.com

Exclusions

This Privacy Policy does not apply to any Personal Data collected by Emperor Investments other than Personal Data collected through the Site or App Services. This Privacy Policy shall not apply to any unsolicited information you provide to Emperor Investments through this Site or through any other means. This includes, but is not limited to, information posted to any public areas of the Site (collectively, "Public Areas"), any ideas for new products or modifications to existing products, and other unsolicited submissions (collectively, "Unsolicited Information"). All Unsolicited Information shall be deemed to be non-confidential and Emperor Investments shall be free to reproduce, use, disclose, distribute and exploit such Unsolicited Information without limitation or attribution.

Testimonials

We do not display personal testimonials of satisfied customers on our site.

Suspicious Email

We do not email you or send you other electronic communications to request non-public information or account information (such as username and password). If you receive an unsolicited email or other electronic communication that appears to be from Emperor Investments but you suspect it may be from some other source or fraudulent, please email us at support@emperorinvests.com

Children

Our service is not directed towards anyone under the age of 18. If a parent or guardian becomes aware that his or her child under the age of 18 has created an account with Emperor Investments and/or provided us with personally identifiable information, please contact us at support@emperorinvests.com. Additionally, if we become aware at any point that a child under the age of 18 is using our service, we will terminate his or her account.

Links to Other Web Sites

This Privacy Policy applies only to the Site. This Site may contain links to other web sites not operated or controlled by Emperor Investments (the “Third Party Sites”). The policies and procedures we described here do not apply to the Third-Party Sites. The links from this Site do not imply that Emperor Investments endorses or has reviewed the Third-Party Sites. We suggest contacting those sites directly for information on their privacy policies.

Other Terms and Conditions

Your access to and use of this Site is subject to the Terms of Use.

Changes to Emperor Investments’ Privacy Policy

We may update this privacy policy to reflect changes to our information practices. If we make any material changes we will notify you by email (sent to the e-mail address specified in your account) or by means of a notice on this Site prior to the change becoming effective. We encourage you to periodically review this page for the latest information on our privacy practices.

Contacting Emperor Investments

Please feel free to contact us if you have any questions about Emperor Investments’ Privacy Policy or the information practices of this Site:

In writing:

276 5th Avenue, Suite 704

New York, NY

10001, USA

Or via email at:

support@emperorinvests.com